

THE BOARD OF SUPERVISORS OF THE COUNTY OF STANISLAUS  
ACTION AGENDA SUMMARY

DEPT: Chief Executive Office

BOARD AGENDA # \*B-3

Urgent

Routine

AGENDA DATE August 21, 2012

CEO Concurs with Recommendation YES  NO

4/5 Vote Required YES  NO

(Information Attached)

SUBJECT:

Approval of Labor Agreement Between the County and the County Attorneys' Association Representing the Attorneys' Bargaining Unit

STAFF RECOMMENDATIONS:

1. Approve the provisions contained in the tentative agreement reached between the County and the Stanislaus County Attorneys' Association, representing the Attorneys' Bargaining Unit.
2. Approve a 6.78% salary deduction effective August 11, 2012 and ending June 28, 2013.
3. Approve effective June 29, 2013 a 6% salary deduction that will not expire.
4. Authorize the Chairman of the Board and all parties to sign the agreement.

- Continued on Page 2 -

FISCAL IMPACT:

The Adopted Proposed Budget for Fiscal Year 2012-2013, approved by the Board of Supervisors on June 5, 2012, presented a balanced interim spending plan for Fiscal Year 2012-2013; however, significant challenges remain. Chief Executive Office Senior Staff and County Departments continue to work to develop budget solutions to address ongoing operational deficits and eliminate the need to supplement future operating budgets with one-time funding sources. Ongoing budget modeling includes a continuous

- Continued on Page 2 -

BOARD ACTION AS FOLLOWS:

No. 2012-421

On motion of Supervisor Withdraw, Seconded by Supervisor Chiesa

and approved by the following vote,

Ayes: Supervisors: Chiesa, Withdraw, Monteith, De Martini, and Chairman O'Brien

Noes: Supervisors: None

Excused or Absent: Supervisors: None

Abstaining: Supervisor: None

1) X Approved as recommended

2) \_\_\_\_\_ Denied

3) \_\_\_\_\_ Approved as amended

4) \_\_\_\_\_ Other:

MOTION:



ATTEST: CHRISTINE FERRARO TALLMAN, Clerk

File No.

**STAFF RECOMMENDATIONS (Continued):**

5. Authorize the Chief Executive Officer and County Auditor-Controller to implement all terms and conditions of the approved agreement in compliance with applicable State and Federal laws.

**FISCAL IMPACT (Continued):**

analysis of short-term and long-term financial strategies to support the County's efforts to preserve critical services in the community during a time of unprecedented declines in local discretionary funding. These strategies are continuously evaluated and refined as more information becomes available regarding future fiscal exposures and potential budget resources.

In 2010, in response to declining revenues the County negotiated two-year labor agreements that provided a 5% salary saving in Fiscal Years 2010-2011 and 2011-2012. The County recognized in fall of 2011 that longer term solutions were needed in light of ongoing fiscal challenges facing the County. In response the County has negotiated agreements that provide for a 6% salary savings each Fiscal Year with all bargaining units. These 6% salary savings do not expire providing fiscal stability for the County. The same salary deduction provisions have also been implemented for all unrepresented management and confidential employees and elected officials. The recommended Attorney agreement reflects a salary deduction of 6.78% in the first year of the agreement and a 6% salary deduction in year two. Proposals to CAA during negotiations included language that stated the 6% salary deduction was predicated on the agreement being in place July 1, 2012. Because this agreement is not effective until the third pay period of the fiscal year the increased salary deduction is needed in order to capture the full 6% salary savings during the 2012-2013 Fiscal Year.

The salary savings provided by these agreements is in addition to the health agreement put in place on January 1, 2012, which has provided savings equivalent to a 2.0% reduction in employee wages. This savings was achieved through the County's move to a self-funded insurance model, an expansion of health plan alternatives and a reduction in the County's benefit contribution.

The salary deduction will be taken on the attorneys' base pay and will reduce both the employee and County's retirement contribution along with reducing payroll taxes. Employees will receive 48 hours per year of Special Accrued Leave Time (SALT) or 1.846 hours each pay period tied to the 6% Salary Cost Deduction Agreement. Because the attorneys will be providing the full 6% salary savings in the 2012-2013 Fiscal Year, they will receive the full 48 hours of SALT accruals.

In some situations in non-general fund positions, salary savings may result in a corresponding reduction in revenue. The estimated salary savings for all bargaining units and unrepresented employees is approximately \$16,264,963 County wide and

\$6,082,443 for the General Fund. The estimated 6% salary savings for the County Attorneys' Association (CAA) is \$571,526 County wide and \$496,351 in the General Fund. There are currently 67 allocated positions County wide in this bargaining unit including 58 positions assigned to the General Fund. These savings will allow departments to reduce the amount of one-time funds required to balance their budget and assist in maintaining critical programs and services.

#### **DISCUSSION:**

The Chief Executive Office, with assistance from County Departments, continues to develop budget solutions to address ongoing operational deficits and eliminate the need to supplement future operating budgets with one-time funding sources to ensure critical programs and services continue. The County has already implemented many labor cost reduction strategies during prior fiscal years in an effort to reduce salary and benefit costs in relation to decreased revenues. These labor strategies included two-year agreements with all bargaining units for Fiscal Years 2010-2011 and 2011-2012 that provided for 5% in salary savings. With the County continuing to face funding shortfalls and the 5% salary deduction expiring it became clear that longer term strategies were needed to provide fiscal stability to the organization. The Board of Supervisors previously approved agreements with all County labor groups, with the exception of CAA, that provide for 6% in salary savings each fiscal year starting July 1, 2012. The County has now reached agreement with CAA and is recommending approval of a new two-year agreement with this labor organization providing the same level of salary savings.

The County completed negotiations for a tentative agreement for a new Memorandum of Understanding (MOU) with the County Attorneys' Association at the end of June. This agreement was subject to the ratification of its members. On July 11, 2012 the County was notified that ratification had failed and the parties were at impasse. The County's Employee Relations Ordinance provides for a meeting with the Chief Executive Officer as the first step in the impasse process. The CAA met with the Chief Executive Officer on two occasions. During these meetings the CAA agreed to hold another vote of its membership with the County providing a modification to the provision for Limited Cash Conversion of Vacation (Vacation cash-outs). CAA membership has now ratified the agreement.

The new tentative agreement covers a twenty-four (24) month period from July 1, 2012 through June 30, 2014 and includes a 6.78% salary deduction through June 28, 2013 and a 6% salary deduction effective June 29, 2013 that will not expire. The higher deduction in the first year is required in order to capture the full 6% salary savings during the 2012-2013 Fiscal Year. Because an agreement was not in place on July 1, 2012 the 5% previously negotiated salary deduction expired for CAA. As a result the deduction for attorneys will be in place for only 23 pay periods in Fiscal Year 2012-2103 instead of the 26 pay periods for all other bargaining groups. Proposals to CAA during negotiations included language that stated the 6% salary deduction was predicated on

the agreement being in place July 1, 2012. Language in the tentative agreement for salary states employee shall continue the previously agreed upon 5% salary deduction and shall also receive an additional 1% deduction effective July 1, 2012, for a total 6% deduction. The parties agree that the salary deduction is necessitated by financial difficulties and anticipate and intend an improved economy will mitigate the need for a salary deduction and they will meet and confer in good faith beginning no later than March 2014 regarding the restoration of the 6%. In exchange for the full 6% salary deduction each Fiscal Year, all employees represented by CAA will receive 48 hours of furlough time annually or 1.846 hours of Special Accrued Leave Time each pay period in which the full 6% salary deduction is taken. The tentative agreement also includes a provision that allows employees to be exempted from the salary deduction during their final 12 months of employment upon written notification of their retirement.

The parties also agreed to reinstate vacation cash outs (cash conversion of vacation), which has been suspended for the last two fiscal years. The three departments employing attorneys (District Attorney's Office, Public Defender and Department of Child Support Services) have identified funding to support these cash-outs in Fiscal Years 2012-2013 and 2013-2014.

The agreement also includes the reinstatement of Homicide on-call pay and includes an update to the provision to allow employees working additional hours as a result of call-back the option with Department Head approval to flex their work schedule in the remaining part of the work week in lieu of receiving overtime.

Also, included in the agreement is a change to the Professional Development reimbursement language. Employees in the CAA bargaining unit will continue to receive the current \$700 professional development allowance once per year. The parties have agreed to move the reimbursement schedule from a calendar year to a fiscal year basis for employees in the Public Defender's Office and the Department of Child Support Services. Currently the District Attorney's Office is on a fiscal year reimbursement while the Public Defender's Office and the Department of Child Support services are on a calendar year reimbursement. With this change all three will be on a fiscal year reimbursement allowing departments to better budget this expense. The parties also agreed to update the language in the agreement to allow employees to purchase computer hardware and peripherals to support increased productivity and efficiency of department operations.

Included in the agreement are updates to the language in the following three provisions: Non-Discrimination/Fair Representation; Automatic Resignation and OE3 Medical plan.

The tentative agreement summary is attached (Attachment A) to this agenda item. Unless specifically stated, all negotiated agreements are effective upon Board of Supervisors approval. The new tentative agreement has been ratified by the members of the bargaining unit.

**POLICY ISSUES:**

With approval of the recommendations for reduced salary costs the County will now have agreements in place with all County bargaining units for a 6% reduction in salary. These agreements support the Board of Supervisors' priority of maintaining Efficient Delivery of Public Services through anticipated fiscal savings for County departments.

**STAFFING IMPACT:**

The CAA represents approximately 67 employees in three departments: the District Attorney's Office, the Public Defender's Office, and the Department of Child Support Services. This agreement will provide salary savings equivalent to 6% each year and will not expire at the end of the two-year agreement.

**CONTACT:**

Nancy Bronstein, Deputy Executive Officer. Telephone: (209) 525-6333

**County Attorneys' Association  
Tentative Agreement  
August 21, 2012**

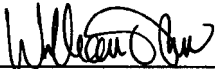
Term	24 months July 1, 2012 through June 30, 2014
Salary	Continuation of the current 5% salary deduction and the implementation of an additional 1.78% salary deduction for a total salary deduction of 6.78% effective with the pay period ending August 25, 2012 and ending June 28, 2013. A 6% salary deduction that will not expire effective June 29, 2013.
Special Accrued Leave Time	48 hours per year or 1.846 hours each pay period tied to 6% salary deduction.
Limited Cash Conversion of Vacation	The District Attorney's Office, the Public Defender's Office and the Department of Child Support Services have identified the funding required in Fiscal Year 2012-2013 and 2013-2014 to provide vacation cash-outs. Cash-out requests submitted prior to September 1, 2012 will be approved. Cash-out requests submitted between September 1, 2012 and June 30, 2013 will be subject to Department Head discretion. In the second year of the contract, cash-out requests submitted prior to September 1, 2013 will be approved. Cash-out requests submitted between September 1, 2013 and June 30, 2014 will be subject to Department Head discretion. The Parties recognize there is no assurance of vacation cash-outs extended to future fiscal years.
Homicide on-Call	Employees working additional hours as a result of call-back have the option with Department Head approval to flex their work schedule in the remaining work week to provide an equivalent amount of time off and to avoid any requirement to pay additional time and one-half compensation in lieu of receiving overtime.
Professional Development	Attorney's continue to receive \$700 professional development allowance, however the dates for reimbursement are changed for the Public Defender's Office and the Department of Child Support from a calendar year reimbursement to a fiscal year reimbursement. Additional language is added to allow reimbursement of professionally related hardware and peripherals that would provide for increased productivity and efficiency.
Language Clean Up	The following sections contain language clean-up: Non-Discrimination/Fair Representation, Automatic Resignation and OE3 Medical Plan.

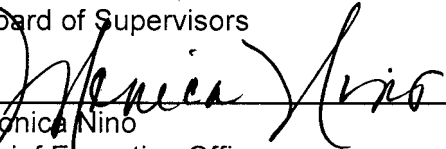
The complete language for all tentative agreements is located in the Memorandum of Understanding (MOU) between the County and the County Attorneys' Association representing the Attorneys' Bargaining Unit. This document is intended to summarize the changes in the MOU agreed to in the negotiations process. The language in the MOU represents the final binding terms of the agreement between the parties.

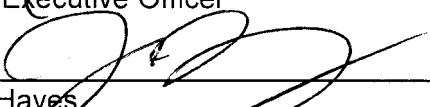
**MEMORANDUM OF UNDERSTANDING BETWEEN  
THE COUNTY OF STANISLAUS AND  
COUNTY ATTORNEY'S ASSOCIATION**

Pursuant to the Employee Relations Ordinance of the County and Section 3500 et seq. of the Government Code, the duly authorized representatives of the County and County Attorney's Association (CAA) having met and conferred in good faith concerning the issues of wages, hours, and terms and conditions of employment as herein set forth, declare their agreement to the provisions of this Memorandum of Understanding.

**FOR THE COUNTY:**

  
\_\_\_\_\_  
William O'Brien, Chairman  
Board of Supervisors

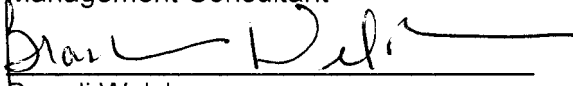
  
\_\_\_\_\_  
Monica Nino  
Chief Executive Officer

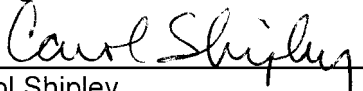
  
\_\_\_\_\_  
Jody Hayes  
Deputy Executive Officer


  
\_\_\_\_\_  
Nancy Bronstein  
Deputy Executive Officer

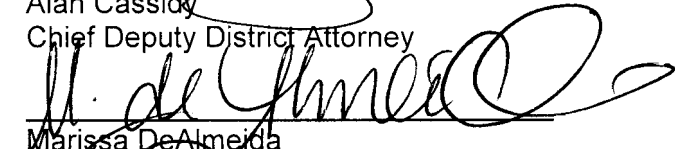
  
\_\_\_\_\_  
Jim Kwartz  
Senior Management Consultant

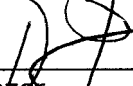
  
\_\_\_\_\_  
Doris Foster  
Management Consultant

  
\_\_\_\_\_  
Brandi Welsh  
Management Consultant


  
\_\_\_\_\_  
Carol Shipley  
Assistant District Attorney

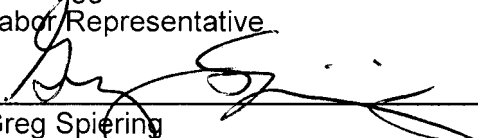
  
\_\_\_\_\_  
Alan Cassidy  
Chief Deputy District Attorney

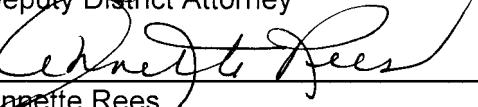
  
\_\_\_\_\_  
Marissa DeAlmeida  
Chief Attorney, Child Support Services

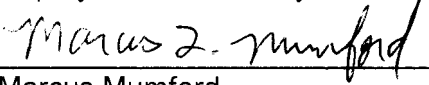
  
\_\_\_\_\_  
Tim Bazar  
Public Defender

**FOR CAA:**

 4/12/13  
\_\_\_\_\_  
Mike Eggener  
Labor Representative

  
\_\_\_\_\_  
Greg Spiering  
Deputy District Attorney

  
\_\_\_\_\_  
Annette Rees  
Deputy District Attorney

  
\_\_\_\_\_  
Marcus Mumford  
Deputy Public Defender

4/22/13  
\_\_\_\_\_  
Date Signed

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### Attachments

Attachment A – Reduction-in-Force Policy

Attachments B-1, B-2, and B-3 – Grievance Procedure and Binding Arbitration of Discipline and Grievances  
(Excluding EEO Grievances)

Attachment C – Special Accrued Leave Time Provisions

Attachment D – Stanislaus County Counsel Memorandum  
Defense and Indemnification of Employees

1. SCOPE OF THE BARGAINING UNIT

This Agreement covers the wages, hours, terms and conditions of employment for the term of the agreement for all regular county employees in the classification series of Attorney I, II, III, IV and V. The scope of the bargaining unit as defined herein may be modified consistent with the provisions of the Stanislaus County Employee Relations Ordinance.

2. TERM OF THE AGREEMENT

This agreement shall remain in full force and effect for twenty-four (24) months commencing on July 1, 2012 and ending at midnight, June 30, 2014.

3. COUNTY RIGHTS

Stanislaus County retains the exclusive right, except as expressly stated herein, to operate and direct the affairs of the departments of County government and all of their various aspects, including, but not limited to the right to direct the work force; to plan, direct and control all of the operations and services of the County; to determine the methods, means, organization and schedule by which such operations and services are to be conducted; to assign and transfer employees within the various departments to hire, promote, suspend, demote, discharge, reprimand, and evaluate employees; to relieve employees from duty due to lack of work or other legitimate reasons set forth in the County reduction-in-force policy; to change or eliminate existing methods, equipment, or facilities in order to maintain or increase the efficiency of governmental operations; and to exercise complete control and discretion over its organization and the technology of performing its work. Nothing contained herein shall be construed to preclude meeting and conferring between employer and employee regarding the practical consequences that decisions on these matters may have on wages, hours and terms and conditions of employment.

4. CAA RIGHTS

The County recognizes the County Attorney's Association as the exclusive representative for bargaining terms and conditions of employment for the Attorney Bargaining Unit, and agrees to adhere to all meet and confer requirements as identified in California State Government Code Section 3500 (Meyers-Milias-Brown Act).

5. SAFETY AND LOSS CONTROL

County Attorney Association (CAA) agrees to support without qualification the County's safety and loss control efforts. The parties agree to strive to reduce the number of industrial injuries among employees and maintain a safe place of employment and to encourage employees to perform their work in a safe

manner. The County acknowledges its responsibility to provide safe working conditions, and agrees to provide such alternative working arrangements as become necessary, when designated work areas become unsafe or otherwise hazardous to employee health and welfare as determined by the Stanislaus County Chief Executive Officer or his designee. The County recognizes that the employee's personal medical physician may recommend that for documented health reasons the employee is unable to work in a designated work area. As a means to verify the employee's physician recommendation, the County may seek additional information from the employee's physician and/or seek a second medical opinion by directing the employee to undergo a medical examination conducted by a physician of the County's choice and at County expense.

6. NON-DISCRIMINATION/FAIR REPRESENTATION

The parties agree that the provisions of this agreement shall be applied without favor or discrimination based upon protected classifications recognized by Federal and State laws and recognized in the County's Equal Opportunity/Non-Discrimination Statement approved annually by the Board of Supervisors. The parties agree to recognize, respect, and support the County's commitment to nondiscrimination in employment as set forth in the County's Equal Employment Opportunity Program. The CAA agrees to encourage its members to assist in the implementation of that program.

The CAA acknowledges its responsibility to fairly represent all employees in the bargaining unit without regard to a protected classification as described in the County's Equal Opportunity/Non-Discrimination Statement and in compliance with Federal and State laws. The County acknowledges and agrees that it shall not discriminate or take adverse action against employees on the basis of their choice of labor representation.

The County's Equal Opportunity/Non-Discrimination Statement may be found on the County's website, the Personnel Manual, or with each Department's Equal Rights Officer.

7. NO STRIKE

The CAA, its members and representatives, agree that it and they shall not engage in, authorize, sanction or support any strike, sick-in, slowdown, stoppage of work, curtailment of services, or refusal to perform the customary duties of CAA members.

8. COMPENSATION

A. Salary

The parties agree that all employees represented by CAA, for the term of this agreement, in accordance with this paragraph shall continue the previously agreed upon five percent (5%) salary deduction and shall also receive an additional 1.78% deduction effective the pay period ending August 25, 2012 and ending on June 28, 2013 for a total deduction of 6.78%. A six percent (6%) salary deduction is effective June 29, 2012 without an expiration date.

The parties agree that the salary wage deduction is necessitated by financial difficulties and the parties anticipate and intend an improved economy will mitigate the need for a salary deduction, and they will meet and confer in good faith beginning no later than March 2014 regarding the restoration of the six percent (6%). The parties agree that the County shall not impose any further salary reduction/deduction for the term of this agreement.

In exchange, for the six percent (6%) salary deduction, all employees represented by CAA will receive 1.846 hours of Special Accrued Leave Time (SALT) each pay period in which the six percent (6%) deduction is taken or an equivalent amount of Special Accrued Leave Time pro-rated based upon the number of hours paid to the employee. The total Special Accrued Leave Time earned each fiscal year will be forty-eight (48) hours based upon a six percent (6%) deduction for each eighty (80) hours of paid time during twenty-six (26) pay periods. Additional SALT provisions are in accordance with Attachment D.

This proposal is predicated on the six percent (6%) being effective July 1, 2012. The six percent (6%) deduction will increase each pay period to reflect savings lost as a result of any potential delay in implementation. For example, if the deduction is not implemented until the pay period starting July 28, 2012 the six percent (6%) will increase to six and one-half percent (6.5%).

B. Retirement

The parties agree that the County's retirement benefits in effect prior to the commencement of this agreement will remain in effect for employees of the bargaining unit employed prior to January 1, 2011. The current retirement benefit is approximately two percent (2%) at age 55 (per Government Code Section 31676.14), with final average salary calculated on the employee's highest consecutive 12-months of service.

The parties agree to reinstate the former Tier Two level of retirement benefits for all newly hired members of the bargaining unit effective January 1, 2011. Tier Two benefits are established per Government Code Section 31676.1 (approximately 2% at age 61) and three (3) year final average salary.

Employees who are rehired/reinstated with the County after the implementation of Tier Two on January 1, 2011 and have met the necessary membership criteria to be placed in their former retirement tier shall be eligible for placement in that former tier. In general, current legal standards allow rehired employees the opportunity to reinstate into their former retirement tier as long as the individual member either has left his retirement contributions on deposit since his prior period of County service or elects and completes the redeposit of withdrawn retirement contributions plus applicable interest. The membership tier will depend on the employee's/member's individual circumstances and prior retirement selections. Employee's who are rehired/reinstated with the County after January 1, 2011 are encouraged to confirm their membership status and retirement options with StanCERA.

C. Deferred Compensation

The County shall continue to compensate the employee one and one half percent (1.5%), of each employee's base, biweekly salary which shall be contributed by the employee to the deferred compensation plan. This compensation shall be paid by the County in recognition of the fact that the attorneys, as professionals, are not compensated for work performed beyond the regular forty hour week. In addition, the County agrees to compensate the employee an additional one half percent (.5%) effective January 1, 1998, for a total of two percent (2.0%).

(language has been moved from Section 19 to provide all retirement language in the same section. Actual language has not been changed).

D. Third Retirement Tier

The parties acknowledge implementation of an optional employer funded third tier in the County Miscellaneous Retirement System. The plan was optional for all employees to switch from Tier 1 or 2 on a one time basis through October 10, 1986.

E. County Retirement Contribution

Effective the start of the first pay period after January 1, 1996, the County shall pay to the retirement system, all or a portion of the employee's retirement contribution up to a maximum of 3.0% of the employees' salary, vested in the employee. Employees in Tier I, Tier IV and Tier III of the retirement system who are not making contributions to the system, shall receive the equivalent of 3.0% of their salary in lieu of this 3% County retirement contribution. Tier I or IV employees whose retirement contribution is less than 3.0% of his or her salary, shall have the difference paid to the employee.

9. BI-WEEKLY PAYROLL

A bi-weekly payroll system will remain in effect during the term of this agreement.

10. GROUP INSURANCE BENEFITS

A. Group Plans Available

The parties agree that health, dental and vision plans are made available to County employees and, where applicable, their dependents through a cafeteria plan. The parties acknowledge these plans are, except the self-insured dental and vision plans, independent group health plans which may adjust their respective premiums or benefits as deemed necessary by the plan provider. OE3 health insurance is available for selection by union members. Unless otherwise agreed to by the parties, the County's contribution is fixed and any increase in premiums is the responsibility of the employee.

B. Health Insurance

Employee health insurance benefits are negotiated in a separate meet and confer process between the County and all represented employee bargaining units. A copy of the health insurance agreement covering January 1, 2010 through December 31, 2010 is attached to this agreement.

C. Group Dental and Vision

The parties agree that group dental and vision care insurance benefits and dollar amounts that the County contributes for dental and vision insurance premiums through the flexible benefit plan shall remain in effect during the term of this agreement.

D. Term Life Insurance

The parties agree that the County will provide \$50,000 in term life insurance coverage for employees in the bargaining unit with premiums for such insurance paid by the County. Such insurance will include a double indemnity provision in case of accidental death.

E. Payroll Deduction for Disability Insurance

The parties agree that the County withholds the disability premiums for each current and all future hired attorneys in the CAA from said employees' biweekly gross pay, in the amount requested by the CAA, and forward the collected total to the disability insurance company designated by the CAA. Unless the CAA gives written notice of a change to the Auditor-Controller, said premiums are .0040% of gross pay rounded to the nearest penny, and the insurance company is:

Paul Revere Life Insurance Company  
File #53917  
Los Angeles, CA 90074-3917

The foregoing deduction, however, is subject to sufficient funds being due to the member of the bargaining unit for whom deductions are to be made after the County has withheld all of the legally required or employee-authorized payroll deductions.

The CAA agrees to defend, indemnify and hold harmless Stanislaus County, its employees and agents against damages and claims for whatever nature arising out of deductions from employee paychecks made for the purpose of the CAA sponsored long term disability insurance protection.

F. Dependent Care/Medical Expense Reimbursement Program

Pursuant to I.R.S. section 125, the County offers the Dependent Care Assistance Plan and the Medical Expense Reimbursement Program to all represented employees at a monthly cost to the employee.

G. OE3 Medical Plan

Union member employees may elect to participate in the Operating Engineers Health Plan. This plan includes medical, dental and vision coverage. The parties agree that County contribution rates equivalent to the County's EPO Plan shall apply for the term of this agreement to employees choosing to participate in the group health insurance program offered by Operating Engineers.



11. PROFESSIONAL DEVELOPMENT ALLOWANCE

The parties agree that the County shall directly pay California State Bar dues and attorneys shall be reimbursed for professional development expenses related directly to the enhancement of their ability to practice as effective Attorneys.

A. Bar Dues

The County agrees to the full payment of basic bar dues for each attorney. This will be done by the County by direct payment to the California State Bar of attorney bar dues. Each attorney must provide to the department head or his designee, his or her individual bar due bill so that the County can submit the reimbursement to the State directly on behalf of the attorney.

B. Professional Development Reimbursement

1. CAA Attorney staff will be entitled to an annual professional development allowance of \$700.00 based upon the County's fiscal year (July 1 to June 30). It may not be cashed out. This reimbursement may only be applied to the following categories of allowable expense: (1) legal books (including electronic legal books); (2) legal software; (3) productivity software (including electronic applications for use on an electronic reader, phone or tablet); (4) conference fees and costs (including travel, lodging, meals, and tuition); (5) legal association fees and dues; (6) computer hardware and peripherals used to increase the members productivity and efficiency (e.g. E-readers, tablets, laptop/desktop computers, and monitors). Computer hardware and peripherals does not include any cellular phone hardware (smart phones, etc.), digital cameras or camcorders, GPS devices, gaming devices, data/internet service charges, etc. The above items must be within the reasonable scope of the members who work for the County.
2. Attorneys appointed on or after July 1 of each fiscal year will be entitled to a professional development allowance of \$700.00 in reimbursement for the year based upon a pro-rated amount equivalent to \$58.33 for each full month of service during the year. Attorneys terminating from County service will not be eligible for cash-out of any professional development money unspent as of the effective date of their termination, and shall reimburse the County for any amount of the allowance used which exceeds the monthly proportionate amount which would have been earned at the time of resignation.

The parties acknowledge that Attorneys in the District Attorney's Office previously converted from a calendar year professional development calculation to a fiscal year calculation beginning July 1, 2010. The parties agree to transition the remaining Attorneys in the Public Defender's Office and Department of Child Support Services during the term of the new agreement. For the time period January 1, 2012 through June 30, 2013 current Attorneys assigned to the Public Defender's Office or the Department of Child Support Services will receive \$1,050 for professional development (equivalent of 18 months professional development allowance). Newly hired Public Defender or Child Support Attorneys will receive professional development on a pro-rated monthly amount of \$558.33 for the same time period. Effective July 1, 2013 all Attorneys will be on the same schedule to receive a maximum of \$700.00 in professional development allowance each fiscal year.

The reimbursement shall be approved by the Department Head for purposes set out in Paragraph Number 1 above. Purchases shall be reimbursed in accordance with the requirements of the Auditor-Controller as stipulated by applicable tax guidelines.

3. The \$700.00 may be utilized by the attorney for reimbursement of professional development expenses as described in the first paragraph of this Section. Reimbursement will be made through the established County "claim" procedures, with the modification that the attorney may submit his or her claim for reimbursement along with the required receipts/canceled checks/credit card receipts, to the department for processing of all necessary paperwork. Attorneys may request payment reimbursements over two (2) fiscal years (for example, an Attorney purchases productivity software in the amount of \$1,000.00. He/she may request \$700.00 reimbursement the first fiscal year and the remaining \$300.00 in the second year). Requests and approvals for carry-over reimbursements must be done at the time the original claim is submitted. Professional development reimbursement claims may be submitted anytime within the fiscal year. However, any professional development reimbursement claims incurred for the previous fiscal year must be submitted no later than the first two weeks of the new fiscal year (first two weeks of July). Claims for the previous year's reimbursements not received within this time frame will be denied, unless the claims were previously approved for the carry-over amount over \$700.00 as described above. In any event, the employee may not be reimbursed for over \$700.00 in each fiscal year.

4. Any law books, periodicals or other material purchased under this provision shall remain the property of the attorney. This provision shall be viewed as independent of consideration of budget accounts in the Department.
5. The County agrees to make a good faith effort to schedule and pay for the legal training mandated for each attorney, by state law. Such expenditures shall be spent at the sole discretion of the Department Head in each Department, based upon the needs of the Department, the availability of funds, and the availability of qualified training relevant to an individual's job assignment. The Department Head will attempt to equitably apportion training seminars. This paragraph of the Memorandum of Understanding is exempt from the grievance procedure.

## 12. LEAD ASSIGNMENT

### A. Definition

Under the direction of the Chief Deputy Assistant or Department Head, provides direction and guidance to Attorneys and other staff assigned to a particular work unit. Attorneys in Lead assignment shall counsel, train and coach Attorneys. They may review and critique work as well as distribute work and delegate assignments. They may also evaluate personnel leave requests and schedule time off. The Lead Attorneys shall not initiate personnel disciplinary actions evaluate personnel or initiate other personnel actions. They may also perform special projects, develop policies/ procedures or perform any other tasks directed by a Chief Deputy Assistant or Department Head.

### B. Minimum Qualifications

To be eligible for the lead assignment, Attorneys must be classified a grade equal or greater than the personnel s/he leads and shall have background in the work area of the unit to be assigned.

### C. Selection and Term

Attorneys in the lead assignment shall be selected on an in-house competitive basis from those qualified for the assignment. Personnel occupying this assignment shall be limited to not more than two (2) years, which can be extended based upon operational necessity and the approval of the Department Head. Personnel can be removed from the assignment at the discretion of the Chief Deputy Assistant or Department Head based upon unsatisfactory performance or the discontinued need to staff the assignment.

D. Compensation

The compensation for the lead assignment shall be at two and one-half percent (2.5%) of the attorney base wages. The compensation shall remain in effect for the duration of the person's assignment to the lead position.

13. REQUIRED PROFESSIONAL ASSOCIATION DUES

The County agrees to continue its past practice of providing reimbursement for members of the bargaining unit required to join and pay dues to either the California District Attorneys' Association or the California Deputy Public Defenders' Association. Reimbursement may be made not more than once in a 12 month period upon presentation of a receipt by the attorney evidencing that dues have been paid.

14. HOLIDAY/VACATION TIME PROVISIONS

A. Designated Holidays

- January 1, New Year's Day
- The third Monday in January, Martin Luther King Day
- The third Monday in February, Washington's Birthday
- The last Monday in May, Memorial Day
- July 4, Independence Day
- The first Monday in September, Labor Day
- November 11, Veterans Day
- November \_\_\_\_, (the Thursday designated as Thanksgiving Day)
- The day after Thanksgiving Day
- December 24, Christmas Eve, 4 hours when Christmas Eve fall on any day of the week except Saturday or Sunday
- December 25, Christmas Day

When January 1st, July 4th, November 11th or December 25th fall on a Sunday the holiday shall be observed on the following Monday.

When January 1st, July 4th, or November 11th fall on a Saturday the holiday shall be observed on the preceding Friday.

B. Working on a Holiday

Employees who work on a holiday will receive equivalent vacation time credit. Equivalent vacation time credit will also apply when a holiday falls on a Saturday for those working regular weekday schedules.

C. County Holiday Policy

The parties agree that only the immediate days of mourning or holiday declared by the President of the United States and the Governor of California will be considered County holidays in addition to the specific list of holidays already present in the County Code.

D. Combining Optional Holiday Time with Vacation

The parties recognize that on December 31, 1983, any optional holiday time was combined with vacation benefits. The rate of accrual of vacation hours was increased on January 1, 1984 by 16 hours of special vacation time each calendar year in lieu of optional holiday time. See sub-division F of this section for vacation accrual rates.

Optional holiday time on the books as of December 31, 1983 for an employee, was frozen on the books and may be:

1. taken as time off,
2. cashed out with the approval of the employee, the department head and the County Auditor-Controller, or
3. may be cashed out upon the employees termination

E. Vacation Accumulation Maximum

The parties agree that employees who have reached the six hundred (600) hour vacation accumulation maximum shall not accrue any additional vacation time. Accrual of vacation time shall again commence in the pay period that the employee's vacation time has fallen below the six hundred (600) hour maximum. It is the policy of the County that employees take at least their normal vacation each year; provided, however, that for reasons deemed sufficient by their Department Head, an employee may, with the consent of the department head, take less than the normal vacation time with a correspondingly longer vacation the following year.

Employees shall receive notification of accrual balances through the employee's paycheck advice notice. Employees are encouraged to request vacation upon nearing the vacation accumulation maximum of six hundred (600) hours pursuant to department procedures

Failure by the employee to make a good faith effort to request vacation in accordance with departmental procedures will result in vacation accrual stoppage at six hundred (600) hours.

If the employee does make a good faith effort to request vacation time and the request is denied by the department or if an approved vacation is canceled, the employee will receive up to eighty (80) hours of vacation cash-out. It is understood employees may have to request vacation time outside of high use times, i.e., holiday seasons and summer months.

F. Vacation Accumulation Rate

The parties agree that consistent with the county code the following vacation accumulation rates are in effect during the term of the agreement:

3.08 hours per pay period (ten days a year) for the first through completion of the second year of continuous services.

4.62 hours per pay period (fifteen days a year) for the third year through and including the tenth year of continuous service.

6.16 hours per pay period (twenty days a year) for the start of the eleventh year through and including the twentieth year of continuous service.

7.70 hours per pay period (twenty-five days a year) for the Twenty-first year of continuous service and thereafter until separation from County service.

In addition, employees shall earn 16 hours of special vacation time each calendar year in lieu of optional holiday time. Special vacation shall be earned in addition to the regular vacation and shall be earned by prorating said amount over twenty-six pay periods.

G. Limited Cash Conversion

Employees covered by this memorandum with 100 or more hours of accrued vacation recorded on the payroll records may request cash out of up to 65 hours of accrued vacation once per fiscal year. Such conversion will be granted upon approval of the Department Head and Auditor-Controller and the availability of funds in consideration of the Department's budget constraints. Requests for vacation conversion shall not be unreasonably denied.

The District Attorney's Office, the Public Defender's Office, and the Department of Child Support Services have identified the funding required in Fiscal Year 2012-2013 and 2013-2014 to provide vacation cash-outs. Cash-out requests submitted prior to September 1, 2012, will be approved. Cash-out

out requests submitted prior to September 1, 2012, will be approved. Cash-out requests submitted between September 1, 2012 and June 30, 2013 will be subject to Department Head discretion. In the second year of the contract, cash-out requests submitted prior to September 1, 2013 will be approved. Cash-out requests submitted between September 1, 2013 and June 30, 2014 will be subject to Department Head discretion. The Parties recognize there is no assurance of vacation cash-outs extended to future fiscal years.

#### H. Vacation Credit for Holidays

Eight hours of vacation credit for holidays will be given during the bi-weekly period in which the holiday occurs. The exceptions to this are:

1. New hires or employees who return from leave of absence will receive vacation credit for a holiday if the first day worked is on or before the holiday.
2. Terminated or discharged employees, or those beginning an unpaid leave of absence, will accrue vacation credit for a holiday if the last day for which pay is received falls after the holiday, or if the last day worked falls on the holiday.
3. Employees on disciplinary suspension without pay will not receive vacation credit for a holiday occurring during the period without pay.
4. Employees taking time off without pay will not accrue the holiday if they are on an unpaid status during the major portion of the pay period.
5. Optional (floating) holidays will continue to be credited to vacation balances at the first of the year.

#### I. Vacation Requests at the District Attorney's Office

Vacation requests shall be responded to by management within fifteen (15) calendar days of receipt in writing and will either be approved, denied, or placed on hold pending operational needs of the Department. If the supervisor has not responded in fifteen (15) days, or the employee wishes to have the decision reviewed, the employee will make the request for review in writing to the Assistant District Attorney and will provide the Assistant District Attorney all writings regarding the request that have been made.

### 15. SICK LEAVE

#### A. Cash-out Provisions

All employees whose service with the County is terminated after one (1) year of continuous service shall be paid a sum of money equal to twenty-five percent (25%) of their hourly rate of pay at the time of their termination

multiplied by their total number of accumulated and unused hours of sick leave. Termination of service shall include death, in which event payment shall be made to the person or persons entitled to succeed to the estate of the deceased employee. This section, as amended, shall include all accumulated or unused sick leave acquired while in the service of the County.

The maximum amount of sick leave that shall be applied toward the cash out provisions as provided for in the MOU shall be six hundred (600) hours. For example if an employee retires from County service, he or she would be cashed out for twenty-five percent (25%) of 600 hours or one hundred fifty hours (150) hours. Time in excess of the 600 hours may continue to accrue and be used in the case of illness.

In addition, any current employee who has accrued time in excess of 600 hours may, upon retirement, consistent with current MOU provisions, cash out the amount of time accrued as of the pay period ending January 6, 1995. The total sick leave accrual on this date shall become the employee's individual maximum or cap for sick leave cash-out purposes while the employee remains in the continuous employment of the County. For example, if the employee has 1000 hours on the date the cash-out maximum takes effect, he or she would be cashed out for twenty-five percent (25%) of 1000 hours or 250 hours upon retirement. Any time accrued and in excess of this time will not be subject to cash-out.

The purpose of this provision is to place a ceiling on the County's cash-out liability for sick leave while maintaining unlimited accrual of sick leave for catastrophic illness. Furthermore, the County agrees all sick leave accrued above the employees individual cash-out maximum shall be converted toward service credit upon retirement on an hour-for-hour basis.

B. Conversion of Sick Leave Cashout Benefits to Health Insurance Upon Retirement

If the County establishes a program which allows for the conversion of sick leave cash-out benefits to cover the cost of health premiums upon retirement, that program will be made available to all employees covered by this agreement. The parties agree to meet and confer prior to implementation. This program must meet the criteria of the Auditor-Controller and Internal Revenue Codes for tax purposes.



16. PAID LEAVE TIME

The parties recognize that Attorneys are required, as a condition of their employment, to frequently work more than eight (8) hours per day or forty (40) hours per week. It is further recognized that Attorneys do not receive compensation other than as provided by this agreement for homicide on-call work, either in cash or in compensatory time off for overtime. Recognizing this situation, the parties agree that in lieu of any other compensation for overtime, the Department Head shall approve paid leave time not to exceed sixty (60) hours in a twelve (12) month period effective each calendar year. The parties recognize that this leave time is not cumulative and must be taken within a twelve (12) month period or is lost. The parties further recognize that the Department Head or his designee has full discretion to grant time off under this section consistent with office workload and staffing requirements. Departments will be responsible to maintain records of time off.

17. WASH TIME

Employees shall be authorized with the approval of the Department Head or designee, the ability to use a wash time policy. Wash time can be used for up to two (2) work hours and shall be done within a week (seven days) (as practical). It is recognized by the attorneys that paid leave time is granted because time worked beyond the regular work week will not be compensated nor will it always be possible to use wash time within the work schedule. Attorneys who have not worked time in excess of the work week and who are absent from work, are required to use accrued vacation or sick leave to cover the absence. Wash time shall not be unreasonably denied.

18. IMPLEMENTATION OF INTERNAL REVENUE SERVICE CODE SECTION 414 (H2)

CAA agrees to the implementation of Section 414 (H2) of the Internal Revenue Service Code.

19. NOTE: language has been moved (not changed) to Retirement Section. MOU had two sections on retirement

20. ON CALL AND HOMICIDE AND ENVIRONMENTAL PROTECTION CALL BACK

A. Regular on Call

Attorneys in the District Attorney's office (other than members of the Homicide Team) shall be paid \$250.00 in addition to regular salary for each seven day period during which the attorney is

required to remain "on-call" beyond regular working hours when such "on-call" duty is ordered by the District Attorney and approved by the Chief Executive Officer.

B. Homicide On-Call/Homicide and Environmental Protection Call-Back Pay

Homicide On-Call shall be compensated at \$150.00 per week. A memorandum between County Counsel and the District Attorney is attached to the MOU regarding the defense and indemnification of attorneys fulfilling this role of On-Call Homicide Deputy District Attorney (Attachment E).

In addition, the Homicide On-call Attorney shall receive time and one half compensation at the regular hourly rate of compensation, for each hour that he or she is called back to work outside of the normal business hours of 8:00 a.m. to 5:00 p.m., Monday through Friday. Provided however, that the attorney performing approved call back work shall not be compensated for less than three hours upon each occasion that the employee is called upon to perform such service, irrespective of the fact that the actual amount of overtime service performed may be less than three hours, and no employee shall be compensated for more than eight hours work in any one eight-hour period. The employee has the option with Department Head approval to flex their work schedule in the remaining work week to provide an equivalent amount of time off and to avoid any requirement to pay additional time and one-half compensation. Example - if the employee works four hours on-call on a Tuesday night, the employee can request to forgo the additional time and one-half compensation in exchange for an additional four hours of time off on Friday with the approval of the Department Head. At the option of the Department Head or his designee the compensation shall be in cash or compensatory time off. Cash out of the compensatory time accrued shall be consistent with existing county policy. This compensation is limited to the performance of emergency homicide call back duties.

Environmental Protection Attorney Call-Back

Upon the determination of the District Attorney, based upon need and appropriateness, the Environmental Protection Attorney shall be eligible to receive call-back compensation only, as provided in this section. Furthermore, the District Attorney or his designee shall be empowered to determine whether compensation shall or shall not be authorized. The parties understand that approval or denial of compensation will occur after the callback work has been performed.

No on-call compensation for the environmental protection assignment shall be granted.

C. Public Defender On-Call

When on-call duty is ordered by the Public Defender and approved by the Chief Executive Officer, the on-call Attorney shall be paid \$28.57 for each day that he or she is required to remain "on-call" beyond the regular working hours.

D. Holiday On Call

When an Attorney is on-call on a day which is designated as a holiday pursuant to Section 3.48.010 of the Ordinance Code, the attorney shall receive, in addition to the on-call pay described above, equivalent vacation hours credit for the holiday.

21. MALPRACTICE INDEMNIFICATION

The parties agree that the County will provide malpractice defense and indemnity for attorneys against whom malpractice claims may arise. It is recognized that this defense and indemnification applies only to claims which arise in and out of the course of the attorney's County employment.

22. BINDING ARBITRATION

The County and the CAA agree to binding arbitration of grievances (excluding EEO grievances) and discipline as provided by the attached procedures.

23. CLASSIFIED STATUS

All represented classifications assigned to the bargaining unit are included in the classified service of the County. Probation periods for covered employees shall be consistent with current County Code provisions. With the exception that attorneys employed immediately prior to the effective date of implementation of classified status, who held their current classification or any previous classification included in the bargaining unit, for one year or longer, excluding any unpaid leaves, and whose overall performance was evaluated as "Satisfactory" or better, were granted permanent status effective.

Members of the bargaining unit who did not hold a classification assigned to this unit for one year or longer, or who did not receive the necessary overall performance rating, were eligible for permanent appointment, consistent with County Code Provisions, effective on the employee's next step advancement eligibility date, limited to the classification held at the time of the transition.

The parties agree the Stanislaus County Discipline Procedure, as amended by MOU Section 24, "Binding Arbitration", shall apply to members of this bargaining unit who have permanent status. Further, CAA agrees that there shall be a 45 day maximum suspension limit which may be ordered by the Department Head. However, the Hearing Board, or arbitrator, upon making the finding not to sustain a discharge action, may find that in lieu of a discharge a suspension in excess of 45 days is appropriate, and may make such a finding in accordance with existing practice.

24. NO CHARGES ON PROBATIONARY TERMINATIONS

The parties agree that the County will no longer be required to prepare a statement to the file as to why an individual's probationary period was terminated.

25. ACCESS TO PERSONNEL FILES

The parties agree that the Stanislaus County Chief Executive Office policy on access by an employee to the contents of his or her Chief Executive Office personnel file will continue. That policy provides that upon request, an employee may review in the presence of a CEO staff member, the contents of his or her file and be provided with a copy of any materials in that file. Requests for copies of material contained within the file must be reasonable and should not typically include a request for copies of all documents contained within this file. The intent is to provide the employee with copies of documents which he or she may have not received previously. With the written consent of the employee, a designated representative of the employee may review the contents of the file.

26. BARGAINING UNIT LISTS

The parties agree that the County will provide the CAA a list of the bargaining unit showing members of the bargaining unit by department and classification. This list shall be provided to the CAA upon request and no more than twice per calendar year. CAA agrees to take all due precautions to insure that the information on the list will not be used for purposes other than CAA representation of its bargaining unit members and will not be used in any manner so as to harm the confidentiality or right of privacy of members of the bargaining unit. CAA agrees to indemnify, defend and hold harmless Stanislaus County, its employees and agents against damages or claims of whatever nature arising out of CAA control and use of bargaining unit lists.

27. BEST EFFORTS IN CONTRACT ADMINISTRATION

The parties agree to mutually utilize their best efforts in the administration of this agreement. Best efforts shall mean expeditiously meeting together, communicating with employees and department heads and taking other such actions to attempt to minimize disputes arising over administration of these provisions.

28. LABOR MANAGEMENT COMMITTEE

The County and CAA shall establish committees comprised of labor and management representatives to deal with specific areas of concern as specified by the parties. Such committees shall not have the authority to reach binding agreements, but the members thereof shall be free to conduct discussions in their individual capacities on topics under the area of Myers Milias Brown Act (MMBA), as well as other matters involving employer-employee relationship.

29. JOB STEWARDS

One attorney in each department will be permitted reasonable time off (i.e. no more than an average of one hour per week) with prior supervisor approval, to assist in the investigation of any alleged MOU violations or grievances of employees within the department and in the bargaining unit.

The CAA agrees to keep the County and each Department Head advised of the Attorneys designated by the CAA for the above duties.

30. MEMO/DOCUMENTS

The County agrees that OE 3 may obtain from bargaining unit employees, a copy of memos and documents related to employee wages, hours and other terms and conditions of employment which are given to members of the bargaining unit.

31. PERFORMANCE EVALUATION POLICY

Performance evaluations should be completed on a regular basis and shall not cover a period of greater than one year. For any employee who has not received an annual evaluation for a period of time greater than one year, a current performance evaluation may be completed which will encompass no more than the prior twelve months of actual performance. For example, if an employee has not been evaluated for four years, the employee would be given only one evaluation covering the most recent performance, i.e. no more than twelve months of actual work time. Performance evaluations shall be reviewed with the employee on a timely basis. Late or missed evaluations shall not be subject

to the grievance procedure. The due date is normally tied to the employee's salary anniversary date, even if the employee is at the top step of the salary schedule. Department Heads are encouraged to evaluate all classified employees on a regular basis, even those who have reached the top step.

Performance reviews shall not deviate substantially from established standards of evaluation within the Office and applicable County policies and guidelines.

No adverse comment shall be introduced into an employee's performance evaluation that has not been previously discussed with the employee and documented pursuant to Performance Evaluation Policy at Tab 19, Page 1 of the County Personnel Manual.

In the Office of the District Attorney, all performance reviews shall be reviewed and approved by the Assistant District Attorney or designee of the District Attorney.

## 32. PAYROLL DEDUCTIONS

### A. Operating Engineers Credit Union Deduction

The parties agree that the County will maintain a payroll deduction for the Operating Engineers Credit Union. This deduction shall be taken in accordance with the policy of the Auditor-Controller. It is further acknowledged that the monthly fee required by the Auditor-Controller for fewer than fifty participants will be waived if additional OE 3 represented bargaining units negotiate the deduction and there is a total of fifty or more participants from the OE 3 bargaining units when combined.

### B. Voluntary Dues Deduction

The County will deduct CAA membership dues using the appropriate authorization form which must be completed by any member of the Attorneys bargaining unit who voluntarily elects to have the dues deduction. Notwithstanding that the County recognizes the CAA as the recognized employee organization representing the Attorney's bargaining unit, the County agrees to also deduct OE 3 dues using the appropriate authorization form which must be completed by any member of the Attorney's bargaining unit voluntarily electing to have the deduction. The County will forward such deductions to Operating Engineers at the address on file with the County Auditor-Controller's Office. The CAA deduction will be forwarded by the Auditor-Controller to the address on file. OE 3 and CAA agree to defend, indemnify and hold harmless the County and its employees or agents against claims of whatever nature arising out of deductions from employee paychecks.

33. COUNTY FACILITIES USE

A. Use of County Buildings

CAA may be granted use of County facilities for meetings composed of County employees within the bargaining unit provided space can be made available without interfering with County needs. CAA shall obtain the permission of the designated County official for the use of such facilities.

B. Use of Department Bulletin Boards

CAA shall be assigned a space for posting communications with its members in each work area. Such space is only for the purpose of such communications, as an aid to CAA under the following conditions:

- Material shall be posted on space as designated
- Posted material shall bear the name of the Association
- Posted material shall not be misleading, contain any deliberate misstatements or violate any Federal, State, or County laws
- Material shall be neatly displayed and shall be removed when no longer timely

It is further acknowledged that CAA may use the County interdepartmental mail system and e-mail to communicate with its members.

34. REDUCTION-IN-FORCE POLICY

The parties agree that the County Reduction-In-Force Policy included as part of this agreement as Attachment A, applies to all employees covered by this agreement.

35. AUTOMATIC RESIGNATION

The parties agree that an employee who is absent without authorization and without contacting his or her supervisor for three (3) consecutive working shifts, or longer, will be presumed to have voluntarily resigned from County service, effective on the date at which the unauthorized absence began.

Prior to invoking the automatic resignation provisions of the MOU, the Department shall serve upon the employee a letter of intent. In the event the employee claims his or her voluntary resignation was by reason of mistake, fraud, duress, undue influence, or that for any other reason it was not his or her free and voluntary act, he or she may submit a written petition to the Department Head to set aside his or her resignation within seven (7) days service of the letter. It shall be presumed that a properly addressed letter is served on the day following the day on which the letter is mailed.

Upon receipt of the petition, the Department Head shall schedule an informal hearing at which the employee may present statements by him/herself, written statements of any witness(es), and other documentary material. He or she may be represented by another individual in presenting this response. The Department Head shall fairly and impartially consider the employee's response, and shall thereafter (1) invoke the automatic resignation, or (2) revoke the intended automatic resignation.

In the event the Department Head determines to invoke the automatic resignation, or the employee has not submitted a petition to set aside resignation to the Department Head, the employee shall be served notice that the Department has invoked the automatic resignation and the effective date of that resignation. The employee acted against, may within seven (7) days service of this notice, appeal the action of the Department Head. An appeal shall be in writing, shall be filed with the Chief Executive Officer, and shall set forth the reason(s) for the appeal.

Within a reasonable time of the filing of the appeal, a hearing shall be held before the chair of the disciplinary proceedings hearing board pursuant to County Code 3.28.060, Hearing Board and Hearing Officer. All parties shall be notified of said hearing. The decision of the Hearing Board is final. If the employee fails to appeal within the time specified, or subsequently withdraws the appeal, the action taken by the Department Head shall be final.

36. COMPLIANCE WITH AMERICANS WITH DISABILITIES ACT (ADA)

The County and the CAA acknowledge and agree that the ADA may require modification of County Policy or MOU provision in order to provide reasonable accommodation to individuals protected under the Act on a case by case basis. The County and the Association agree to meet and confer if the accommodation will require some modification of the MOU or County policy which affects any term or condition of employment or is otherwise a mandatory subject of bargaining. Said meet and confer will be on a case by case basis and no single accommodation shall establish a past practice.

37. ATTORNEY'S RECLASSIFICATION PROJECT

The parties agree that all Attorney positions shall be block budgeted to the V Level so that all Attorneys have an equal opportunity to be promoted to the highest level based on work performed and qualifications as outlined in the new Attorney job descriptions. Attorneys are eligible for promotion to the next level at their established next review date, effective January 1, 2002.

Promotion from level IV to Level V will work the same way as from Level I to Level II, Level II to Level III, and from Level III to Level IV, fully allocated and



noncompetitive, based on the job descriptions and the work done by the employee.

The parties agree during the term of this agreement to meet and confer at the request of either party to discuss the Attorney's classification series.

38. AGENCY SHOP

The parties agree to meet and confer regarding agency shop.

39. CONTINUING OTHER POLICIES

The parties agree that the County Reduction-In-Force Policy, Grievance Procedure, Equal Employment Opportunity Grievance Procedure, sick leave, vacation, holiday, retirement and discipline policies and other written County policies effecting wages, hours and other terms and conditions of employment, excluding workload or caseload issues, in effect immediately prior to the commencement of this agreement shall remain in effect during the term of this agreement unless amended by these provisions or subsequent agreement of the parties.

40. SEVERABILITY

It is not the intent of the parties hereto to violate any laws, ruling or regulations of any governmental authority or agency having jurisdiction over the subjects of this collective bargaining agreement, and the parties hereto agree that in the event that any provisions of this agreement are finally held or determined to be illegal or void as being in contravention of any such laws, rulings or regulations, nevertheless, the remainder of the agreement shall remain in full force and effect unless the parts so found to be void are wholly inseparable from the remaining portion of this agreement.

41. FULL UNDERSTANDING, MODIFICATION AND WAIVER

A. This Agreement sets forth the full and entire understanding of the parties regarding matters set forth herein, and any and all prior or existing Memoranda of Understandings, and Agreements, regarding the matters set forth herein, whether formal or informal are hereby superseded and terminated

B. It is the intent of the parties that Ordinances, Board resolutions, rules and regulations enacted pursuant to this Agreement be administered and observed in good faith.

- C. Nothing in this Agreement shall preclude the parties from mutually agreeing to meet and confer on any subject within the scope of representation during the term of this agreement.
- D. The County acknowledges that in any joint meetings or negotiation between the County, the CAA, and any other employee organization, the rights, duties and obligations of Government Code Section 3505.3, remain in full force and effect, and are in no way waived or limited by any agreement to negotiate jointly.

42. ETHICAL ISSUES

A. Ethical Issues at the District Attorney's Office

A Deputy District Attorney shall not prosecute a case in which he/she believes the defendant is factually innocent. If the Deputy District Attorney believes a defendant to be factually innocent, the Deputy District Attorney should advise their Chief of the same. If the Chief disagrees with the Deputy District Attorney, the Chief will reassign the case to another DDA.

All communication regarding this issue should be memorialized in the case file.

B. Ethical Issues at the Public Defender's Office

A Deputy Public Defender bringing forward issues regarding an employee's assertion of an ethical duty related to requests for investigation and/or expert witnesses shall not suffer any adverse effect or retaliation for bringing forth such issue. Resolution of these issues will be handled by the Department Head.



STANISLAUS COUNTY  
REDUCTION-IN-FORCE  
Classification Seniority Calculation

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The following Reduction-In-Force Policy has been established and agreed to for the following bargaining units effective August 7, 2012:

County Attorneys' Association (CAA)  
Stanislaus County District Attorneys' Association (SCDAIA)  
Stanislaus Regional Emergency Dispatchers' Association (SREDA)

The joint Reduction-In-Force Policy may only be amended by mutual agreement of the County and all represented bargaining units. Issues related to implementing reduction-in-force actions which are not specifically addressed within this policy will be subject to further meet and confer between the County and the affected bargaining unit(s).

**REDUCTION-IN-FORCE**

Whenever in the judgment of the Board of Supervisors, it becomes necessary in the interest of economy or because the necessity for a position no longer exists, the Board of Supervisors may abolish a position or classification, and if necessary, reduce personnel by laying off employees without the filing of disciplinary charges and without granting the employee the right of appeal except as accorded in these provisions. In reducing the number of employees every effort will be made to avoid displacing existing employees by allowing voluntary demotion or transfer to vacant positions. In laying off employees in the Classified Service the order of separation shall be based upon seniority as herein specified.

Alternatives to Layoffs

Upon request of the Union, the County agrees to meet and confer with the Union prior to implementing any reduction-in-force action, to discuss alternatives to lay-off.

**ORDER OF SEPARATION**

Employees in the same classification and department shall be separated considering type of appointment and total continuous seniority with the least senior employee in any category of appointment being the first separated and with tied seniority scores broken as provided herein.

The sequence of separation by appointment types shall be:

1. Provisional
2. Extra-Help/Part-time (Extra Help positions may be maintained by mutual agreement of County and impacted bargaining unit)
3. Trainee
4. Regular Full-time

Within regular full-time appointments, employees with probationary status (either initial County or classification) shall be laid off before employees with permanent status in the same classification regardless of relative seniority.

### **REDUCTION-IN-FORCE SENIORITY PROCEDURE**

In calculating total continuous service for the County, those records which are maintained by the Chief Executive Office shall be utilized. However, should there be a challenge to the validity of the calculations or cases of equal or near equal seniority, the Chief Executive Office may utilize such payroll or other records which may be on file with the Auditor-Controller's Office or other department.

#### **Continuous Service Defined**

Employees on approved leaves of absences without pay, catastrophic leave (donated time) or unpaid suspension shall retain seniority accumulated before the leave of absence. The first 60 calendar days on the unpaid leave, catastrophic leave or suspension will be included in the seniority score computation. Time will be deducted starting the 61<sup>st</sup> calendar day of such leave. Time spent on military leave is not deducted for the purposes of calculating seniority regardless of the length of such leave.

Service to the County including personal services contractor, unpaid volunteer/intern, or any service which is not in an employer-employee relationship does not count toward total County seniority.

Continuous service is defined as all service in the County regardless of classification and department. When there has been a permanent separation of 90 days or more credit shall be given only for full-time employment following such break in services. If an employee has a break in service less than 90 days, only the time before and after the break would count toward seniority time. Persons hired from a reduction-in-force reemployment list regain all previously earned seniority on the date of reemployment.

### **SENIORITY CALCULATIONS**

Among permanent employees the order of layoff will be determined by the employee's seniority calculation in the following order:

1. Classification Seniority (The Attorney I-V classification will be based upon working titles);
2. County Seniority for Regular Full-Time Employees;
3. Department Seniority;
4. County Seniority with extra help service included; and,
5. Lottery

### 1. Calculation of Classification Seniority

Among permanent employees in the affected classification and department, the order of layoff will first be determined by total continuous full-time service in the employee's current classification and higher ranking classification, if applicable.

For block-budgeted classifications (example, Attorney I-V) total continuous service in all levels of the block-budgeted classification will be included in the seniority score. For individuals in the Attorney I-V classification, the seniority score will be calculated utilizing the individual's working title. For example, calculation of classification seniority will be based upon time as a Deputy District Attorney, Deputy Public Defender, or Attorney assigned to Child Support Services.

Extra-help/part-time is not counted in calculating Classification Seniority.

### 2. Calculation of County Seniority for Full-Time Regular Employees

In the case of two or more employees with equal Classification Seniority, the order of layoff will then be determined by County Seniority. Calculation of County Seniority means all continuous service in the County in a regular full-time position. Extra-help/part-time service time is not counted in calculating regular full-time County seniority.

### 3. Calculation of Department Seniority

In the case of two or more employees with equal Classification and County Seniority the order of layoff will then be determined by total continuous full-time service in all positions held in the impacted department. Extra-help/part-time service is not counted in calculating Department Seniority.

### 4. Calculation of County Extra-Help Service

In the event of a tie extra-help hours during continuous service will be included in the total Seniority calculation. Extra-help hours served on or after January 1, 1999, will be counted on an hour-for-hour basis with eight (8) hours as the equivalent of one (1) work day of service. Extra-help hours served prior to January 1, 1999, are not available in the existing payroll system and will be calculated at 2.86 hours a day per seven (7) calendar days of service (equivalent of 20 hours).

### 5. Lottery

Should the order of layoff not be determined in the calculation of Classification, County, County Service with Extra-help included, or Department Seniority an agreed upon lottery system will be used to determine the order of layoff. The County and the impacted bargaining unit(s) will meet and confer over the terms and conditions of the lottery process prior to each lottery.

### **WRITTEN NOTICE**

Written notice of layoff shall be served by the Chief Executive Office on affected employees in person or by certified letter mailed to the last address on file with the Chief Executive Office. Notice will be served or mailed at least twenty-one (21) calendar days prior to the effective date of the separation. Notice shall be deemed served upon return of a delivery receipt or receipt showing attempted delivery.

Notice of probationary release to employees on Probation will be served by the Department Head.

### **DEMOTION RIGHTS IN LIEU OF LAYOFF**

In lieu of being laid off, an employee may elect to voluntarily demote within the same department to a lower paid classification in the same series or to a classification previously held; this will require the department to have a vacant position available, or for the demoting employee to have more seniority than existing employees in the position in which they are demoting to. Employee must currently meet the minimum qualifications in order to demote to a classification previously held or within the classification series. Individuals with multiple demotion rights will be demoted to the highest classification previously held or in the classification series. Less senior employees in the department who may be displaced as a result of demotion actions shall in turn be subject to the provisions of this section. In order to exercise these options, the employee affected must so advise the Chief Executive Officer/designee in writing no later than seven (7) working days after receiving notice of layoff.

### **TRANSFER IN LIEU OF LAYOFF - VACANCY**

In lieu of being laid off, an employee may request to voluntarily transfer or demote to a vacant position in another department, in the same or comparable classification or to a classification previously held where the employee presently meets the minimum qualifications. Such requests require completion of the receiving Department's background process and approval by the gaining Department Head. (Department probation, if applicable, may be applied.)

### **TRANSFER ACROSS DEPARTMENT LINES- FILLED POSITIONS**

Employees may bump across department lines in only one circumstance. A permanent employee impacted by a reduction-in-force action, shall have the right to transfer to a position filled by a probationary employee if the position is in the same classification and if the less senior employee is on initial County probation. The employee electing to "bump" to the new County department may be required complete the receiving Department's background process and to serve Department Probation for a period not to exceed six (6) months. The employee shall maintain his or her re-employment rights within the Department he or she transferred from. This provision shall not apply to Attorney I/II/III/IV/V positions assigned to the District Attorney's Office, the Public Defender's Office or the Department of Child Support Services.

## **RE-EMPLOYMENT**

For a period of eighteen (18) months from the effective date of layoff no regular position in the affected classification in the department involved shall be filled without first providing employees possessing rights to re-employment with an opportunity to be rehired. During the period of April 6, 2010 through June 30, 2012, the parties have agreed to extend re-employment rights to three (3) years.

Re-employment lists shall be in inverse order of lay-off with the most senior employee from amongst those laid-off rehired first. Such re-employment would be at the same salary step or the salary range assigned such classification and with the same seniority as the employee had earned at the time of layoff. Benefits paid out at the time of separation such as vacation or sick leave may be bought back at employee expense. Written notice of the re-employment opportunity shall be sent by certified mail to the last known-address of the former employee by the Department Head or designee. The former employee shall have fourteen (14) calendar days to respond to the notice.

## **ADMINISTRATIVE DECISIONS**

The Chief Executive Officer is authorized to render decisions resolving questions of seniority, performance, and continuous service incident to the administration of this section.

## **SPECIAL CIRCUMSTANCES**

Employees assigned to a position on the basis of bona fide occupational qualifications may be exempted from the reduction-in-force list for their classification where those skills are necessary to continue the level of service rendered by the program.

## **APPEALS**

Persons subject to layoff or demotion under these provisions may appeal to the Chief Executive Officer any allegation of error, fraud, irregularity or bias in the application of the reduction-in-force procedures. Any appeal submitted shall include the basis for the appeal.

An informal appeal shall first be filed by the affected person to the County's Deputy Executive Officer of Human Resources within seven (7) days of receiving the notification of the reduction-in-force. The Deputy Executive Officer shall review the applicable MOU, County's Reduction-in-Force Policy, and the seniority calculation methodology. The Deputy Executive Officer shall respond to the appeal request in writing.

The affected person may appeal the Deputy Executive Officer's decision to the County's Chief Executive Officer, within seven (7) days after receipt of the decision Deputy Executive Officer's decision. The Chief Executive Officer shall respond to the appeal request in writing.

Shall the affected individual wish to appeal the Chief Executive Officer's decision he/she may request a hearing with the Hearing Board established pursuant to Stanislaus County Ordinance

Code 3.28.060 within seven (7) days of receipt of the Chief Executive Officer's decision. The appeal shall be filed with the Chief Executive Office Human Resources Division. The Chief Executive Office Human Resources Division shall forthwith transmit the appeal request to the Hearing Board. The Hearing Board shall within a reasonable time from the filing of the appeal, commence the hearing thereof and shall notify the interested parties of the time and place of the hearing at least five (5) days in advance thereof.

At the hearing, both the appellant, and the County shall have the right to be heard publicly, to be represented by Counsel and to participate in the appeal process including presenting evidentiary facts. In certain situations in which an affected employee is disputing the seniority calculation of another employee both the affected employee who is disputing the seniority calculation and the employee whose seniority is being questioned may have the right to be present at the hearing subject to agreement from the affected labor organization and the County. The parties may agree to a hearing closed to the public and the Hearing Board may at any time exclude any person who may be a witness in the appeal under consideration. The hearing shall be informal and the Hearing Board shall not be bound by any of the rules of evidence governing trial procedure and State courts. The Hearing Board shall render a written decision, copy of which shall be transmitted to the Chief Executive Officer. The Chief Executive Officer shall serve a copy of the decision upon the appellant. The decision of the Hearing Board shall be final.

Relevant provisions in Chapter 3.28.060 and 3.28.070 of the Ordinance Code of Stanislaus County shall govern the hearing process.

#### **SICK LEAVE CASH OUT PROVISIONS**

Employees with one (1) year of service or more who are laid off due to a reduction-in-force shall be eligible for twenty-five percent (25%) sick leave cash out upon termination from the County.

#### **UNREPRESENTED COUNTY EMPLOYEES**

The Reduction-in-Force Policy does not apply to unrepresented, unclassified County employees. Unrepresented employees in the Community Services Agency and Department of Child Support Services who have property rights under the approved local merit system will have the reduction-in-force administered in compliance with County policies. In the event a reduction-in-force occurs where an unclassified, unrepresented employee may have demotion rights to a classified position, the County will meet and confer with the affected labor organizations over the impacts to the affected bargaining units.



GRIEVANCE PROCEDURE - MOU PROVISION

Procedure for Settling Grievances Including Binding Arbitration

- A. Intent: It is the intent of this provision of the Memorandum of Understanding to provide orderly and equitable procedures for the presentation and resolution of misunderstandings and disputes between the County and its employees. It is further intended that the exercises of these rights in good faith be available to all County employees, (except as herein provided) without fear or reprisal or coercion.
- B. Definitions:
1. Grievance - A grievance is defined as an employee initiated allegation that a term or condition of employment established by State law, County Ordinance, resolution, Memorandum of Understanding or written departmental policy is being violated provided, however, that such term or condition of employment is not subject to the discretion of the County or is not a subject outside of the scope of representation as defined in Section 3500 et seq of the Government Code or the County's Employee relations Ordinance. This grievance procedure shall not apply to matters within the scope of applicable Federal or State grievance procedures.
  2. Complaints - A complaint is defined as an employee initiated allegation or dispute concerning terms and conditions of employment which are not grievances as defined above. Complaints shall be handled as herein provided except that a complaint may not be appealed to the Chief Executive Officer or to arbitration.
- C. Exclusion of Disciplinary Appeals and Equal Employment Opportunity Grievances - Appeals from disciplinary actions or grievances alleging violation of the County's policies of equal employment opportunity or equal rights or involving allegations of employment discrimination will be handled pursuant to the County's Equal Employment opportunity grievance procedure and does not include binding arbitration as the final step in the procedure.
- D. Representation - In presenting and resolving grievances, employees may represent themselves on County time, within reason, or may designate a representative of their own choosing. Costs associated with such representation, if any, will be borne by the employee.

- E. Time Limits - The time limits herein specified may be extended to a definite date by mutual consent of the parties. Failure to meet time limits by the employee shall constitute withdrawal of the grievance. Such failure by the County shall entitle the employee to request the next step in the procedure.
- F. Grievance Procedure Steps:
1. Informal Discussion - Every effort should be made to settle grievances at the lowest level of supervision possible. The employee should advise his/her immediate supervisor that a grievance is present and explain it to the immediate supervisor no later than fifteen (15) working days after he becomes or should become aware of the issue. The immediate supervisor shall thereafter hear, and decide the matter informing the employee of the decision orally within seven (7) working days.
  2. Written Grievance - If the grievance is not resolved through informal discussion, the employee may within seven (7) working days from the date of the supervisor's informal decision, submit a written grievance to said supervisor with a copy submitted to the Department Head and the Director of Personnel. Such a written grievance, signed by the employee shall set forth the facts as issue, the relief sought and time of occurrence of any alleged incident or violations precipitating the grievance. The supervisor shall thereafter further investigate and consider the grievance and deliver a written decision to the employee within seven (7) working days after receiving the grievance.
  3. Department Head Review - If the grievance is not resolved by the written decision of the supervisor, the employee may request in writing within seven (7) working days after delivery of prior written decision that the grievance be reviewed by the Department Head. If such a request is received, the Department Head or his designee shall conduct such meeting(s) with the employee, informal hearings or investigations as are appropriate in his judgement and deliver to the employee a written decision within seven (7) working days after receipt of the review request.
  4. Advisory Opinion of Director of Personnel - At any point in this procedure after filing a written grievance or complaint, the Director of Personnel may offer, or either party may request, the non-binding advisory opinion verbal or in writing of the Director of Personnel concerning resolution of the grievance or complaint.
  5. Grievance Appeal - If the employee wishes to appeal the Department Head's decision, he/she shall do so in writing within seven working days after receipt of the Department Head's decision. The employee may elect to submit the grievance for final decision to 1) either the Chief Administrative Officer or 2) the employee may request binding arbitration. Within the specified time period the employee

shall specify in writing to the Director of Personnel whether the grievance should be submitted to the Chief Executive Officer or binding arbitration. The decision to utilize either procedure shall be the prerogative of the aggrieved employee(s); access to only one of the two procedures for the purpose of resolving the alleged grievance shall be given the employee(s); the option of procedure utilized shall be binding and irrevocable upon the employee; and the procedure utilized shall be limited to grievances only as defined in Section B, subsection 1 herein, excluding all complaints.

A. Submission of the Grievance Appeal to the Chief Executive Officer

If the employee wishes to appeal the Department Head's decision to the Chief Executive Officer, in lieu of binding arbitration, the employee shall do so in writing to the Director of Personnel specifically stating this option, within seven working days after receipt of the Department Head's decision. The Chief Executive Officer or his/her designee shall thereafter conduct an informal hearing, and any other meetings or investigations as are appropriate in his/her judgement. The written decision of the Chief Administrative Office or his/her designee shall be delivered to the employee within fifteen working days after receipt of the appeal. The decision of the Chief Administrative Officer or his/her designee shall be the final step in the County's procedure for settling grievances.

B. Submission of the Grievance Appeal to Binding Arbitration

If the employee wishes to appeal the Department Head's decision and elects to not refer the matter to the Chief Administrative Officer for final resolution, the employee may elect binding arbitration by writing to the Director of Personnel within seven (7) working days after receipt of the Department Head's decision. Prior to the selection of the arbitrator and submission of the grievance for hearing by an arbitrator, the Director of Personnel shall informally review the grievance and determine whether said grievance may be adjusted to the satisfaction of the parties. The Director of Personnel shall have ten (10) working days in which to review and seek amicable resolution of the grievance.

1. Selection of Arbitrator

If the required steps of the grievance procedure have been exhausted and the grievance remains unresolved and is subject to arbitration, the arbitrator may be selected by mutual agreement between the Director of Personnel and the grievant or his/her representative. However, should the parties fail to mutually agree on an arbitrator they shall make a joint request of the State Conciliation Service for a list of five qualified arbitrators. The arbitrator shall be selected from the list by the parties alternately striking names with the first strike determined by chance, until

only one name remains, and that person shall serve as arbitrator.

2. Arbitration Issues

The parties shall, within 15 working days following the informal review of the Director of Personnel, exchange in writing their understanding of the questions to be submitted to arbitration. Thereafter, the parties to the arbitration shall use their best efforts to exchange a written summary of the evidence they intend to offer and to reach agreement on and reduce to writing the question or questions to be submitted to arbitration. The agreed upon question or questions, if agreement is reached, together with the exchanged summaries of evidence and a list of witnesses to be used by each side, shall be submitted to each other and the arbitrator no later than five (5) working days prior to the arbitration hearing.

3. Arbitration Expenses Shared

The cost of employing the arbitrator shall be borne equally by the parties to the arbitration. All other costs such as, but not limited to, attorney's fees shall be borne only by the party incurring that cost. If both parties agree to the use of a court reporter, or if the arbitrator requires the use of a court reporter, the cost of the court reporter shall be shared equally. Absent mutual agreement the side requesting use of the court reporter shall absorb the cost. The cost of the transcript, if one is prepared, shall be absorbed by the party requesting the transcript, unless both parties mutually agree to share the cost of the transcript. If the arbitrator requests that a copy of the transcript be prepared both parties shall share the cost of the transcript.

4. Duty of Arbitrator

The arbitrator shall conduct an informal hearing, and any other meetings or investigations as are appropriate in his/her judgement. The arbitrator shall not have the right to amend, modify, nullify, ignore, add to, or subtract from the provisions of the Memorandum of Understanding, County Ordinance, resolution, or written departmental policy. He/she shall consider and make a decision with respect to only the specific issue(s) submitted, and shall not have authority to make a decision on any other issue not so submitted. In the event the arbitrator finds a violation of the Memorandum of Understanding, applicable State or Federal law, County Ordinance, board resolution or written departmental policy, he/she shall decide the appropriate resolution. The arbitrator shall have no authority to substitute his/her judgement for that of the County as to any matter within the County's discretion. The decision and award of the arbitrator shall be based solely upon the evidence and arguments presented to the arbitrator by the respective parties.

Proposals to add to or change the Memorandum of Understanding or written agreements or addenda supplementary hereto shall not be arbitrable and no proposal to modify, amend or terminate this Memorandum of Understanding, nor any matter or subject arising out of or in connection with such proposals, may be referred to arbitration under this section.

5. Binding Decision

The decision of the arbitrator rendered consistent with the terms of the Memorandum of Understanding, applicable State or Federal law, County ordinance, resolution, or written departmental policy shall be binding upon the employee, the employee's duly recognized employee organization and the County.

Based upon significant financial impact of the arbitrator's decision upon the County, within 15 working days of receipt of the arbitrator's decision the County may request that the Union meet with the County to discuss the financial impact of the decision. The Union agrees to meet and consult with the County over the impact upon the County of the decision. Absent agreement between the parties to modify or mitigate the impact of the arbitrator's decision, the decision of the arbitrator shall be final and binding on the parties.

6. Arbitrator's Decision Due

Unless the parties agree otherwise, the arbitrator shall render the decision in writing within 30 days following the close of the hearing to the Director of Personnel. The Director of Personnel shall immediately provide a copy of the decision to the employee, the employee's duly elected representative and the Department Head. If requested by either party, the decision shall be accompanied by findings of fact and conclusions of law.

7. Non-employee Organization Representation

In the event that an employee chooses to represent himself/herself, or arranges for representation independent of the recognized employee organization, including assumption of the costs of arbitration as provided in subsection 3 herein, the recognized employee organization shall have the right to be a party to such proceeding for the sole purpose of protecting the interests of its members under the terms of the Memorandum of Understanding. The employee organization shall be bound by the decision of the arbitrator.

DISCIPLINE OF PERMANENT CLASSIFIED EMPLOYEES

3.28.010 Causes for discipline.

An employee in the classified service who has permanent status shall be subject to the disciplinary action pursuant to this chapter. Each of the following shall constitute cause for discipline:

- A. Omission or willful misrepresentation of a material fact or other fraud in securing employment;
- B. Incompetence;
- C. Inefficiency;
- D. Inexcusable neglect of duties;
- E. Insubordination;
- F. Dishonesty;
- G. Improper use of drugs, including (1) drunkenness on duty, (2) use of drugs while on duty, (3) incapacitation for proper performance of duties by prior use of drugs. The term "drugs" shall mean controlled substances as defined in Division 10 (commencing with Section 11000) of the California Health and Safety Code, and shall also mean alcohol;
- H. Unexcused absence from duty, including but not limited to, participation in unlawful strikes or other job actions, such as sick-ins or slow-downs;
- I. Conviction of a felony or conviction of a misdemeanor involving moral turpitude. A plea or verdict of guilty, or a conviction following a plea of nolo contendere, to a charge of a felony or any offense involving moral turpitude is deemed to be a conviction within the meaning of this subsection;
- J. Discourteous treatment of the public or other employees;
- K. Willful disobedience;
- L. Misuse of county property;

- M. Inconsistent, incompatible or conflicting employment, activity or enterprise;
- N. Violation of a departmental rule;
- O. Other failure of good behavior either during or outside of duty hours which is of such a nature that it causes discredit to the employee's department or employment. (Prior code § 2-240).

#### 3.28.020 Notice of intended discipline.

Prior to discharging, suspending or reducing a permanent employee in rank or compensation for disciplinary purposes, the department head or designee shall:

- A. Review the proposed action with the personnel director;
- B. Prepare and serve a written notice reviewed by the county counsel to inform the employee of the intended action, the reasons therefor, and the right to respond to the department head intending to impose the discipline. The notice shall identify the materials on which the action is based with sufficient certainty as to permit inspection of them by the employee. A copy of the intended charges be attached to the notice;
- C. The employee, given notice of intended disciplinary action, may within seven days after service of the notice, respond to the department head either orally or in writing. The employee shall not be entitled to a formal hearing with examination of witnesses but he may present statements by himself, written statements of any witness and other documentary material. He may be represented by another in presenting his response. The department head shall fairly and impartially consider the employee's response and shall thereafter: (1) impose the intended disciplinary action; (2) notify the employee that the intended disciplinary action will not be imposed; or (3) amend the charges. In the event the department head substantially amends the intended charges or punishment, he shall be given another notice as provided in subsection B of this section. (Ord. CS 557 § 39, 1994; prior code § 2-241).

#### 3.28.030 Notice of action and appeal.

In the event of the department head determines to discharge, suspend or reduce in rank or compensation a permanent employee after completing the procedures provided in Section 3.28.020, he shall serve upon the employee an order in writing stating (A) the nature of the disciplinary action, (B) the effective date of the action, (C) the causes therefor, (D) the specific acts or omissions upon which the causes are based, stated in ordinary and concise language and (E) the right of the employee to appeal. The employee acted against may,

within seven days after service of the order, appeal the action of the department head. If the employee fails to appeal within the time specified, or subsequently withdraws his appeal, the punitive action taken by the department head shall be final. An appeal shall be in writing, shall be filed with the personnel director and shall contain an answer to each charge in the order. The answer shall include any objections the employee may have as to the form or substance of the order or the procedures followed by the department head. The personnel director shall forthwith transmit the order and appeal to the employee disciplinary proceedings hearing board for hearing. The hearing board shall, within a reasonable time for the filing of the appeal, commence the hearing thereof, and shall notify the interested parties of the time and place of hearing at least five days in advance thereof. (Prior code § 2-242).

#### 3.28.040 Amendment of order.

- A. At any time before the hearing, the department head may file with the employee disciplinary proceedings hearing board an amended or supplemental order, which shall be served upon the employee. The hearing board shall afford the employee a reasonable opportunity to prepare his defense to the amended or supplemental order but he shall not be entitled to file a further answer unless the hearing board in its discretion so orders. Any new charges shall be deemed denied by the employee. At any time before the matter is submitted for decision, the hearing board may order or permit amendments to the order or answer.
- B. ~~The hearing board may offer amendment of the order after submission of the case for decision.~~ Each party shall be given notice of the intended amendment and opportunity to show that he will be prejudiced thereby unless the case is reopened to permit the introduction of additional evidence. If such prejudice is shown, the hearing board shall reopen the case to permit the introduction of additional evidence. (Prior code § 2-243).

#### 3.28.050 Notice or order service.

Whenever reference is made in this chapter to service of any notice or order, such service shall be accomplished either by handing a copy thereof to the employee or by mailing a copy to the employee at his last known address by registered or certified mail. It shall be presumed that a properly addressed letter is served on the day following the day on which the letter was mailed. The department head shall promptly furnish the personnel director with a copy of each notice or order and a statement showing by whom, the manner and the date the notice or order was served. (Prior code § 2-244).



3.28.060 Hearing board and hearing officer.

- A. The chairman of the board of supervisors shall appoint a three-member disciplinary proceedings hearing board to hear appeals pursuant to this chapter. The hearing board shall consist of a member of the State Bar of California, who shall act as chairman, a county department head and an employee. Proposed members shall be selected as follows:
1. The personnel director shall submit the name of a member of the State Bar of California who shall not be a member of the county service.
  2. The personnel director shall submit the name of a head of a department of the county.
  3. Upon the request of the personnel director, each recognized employee organization shall, within five working days, nominate a permanent full-time employee of the county, and the personnel director shall submit the name of the employee chosen by lot, provided that if a recognized employee organization fails to nominate an employee, the personnel director shall do so. In the event the appellant is from the same department as a member of the appeal board, the personnel director shall submit another name for appointment to replace such member for that case only. The term of each member shall end on December 31st of each year, but a member shall continue to act on any appeal filed before that date. Two members of the appeal board shall constitute a quorum, provided, however, that the personnel director or the chairman of the appeal board may request the temporary appointment of a member to replace a member who is or will be unavailable on the scheduled hearing date.
- B. Upon written agreement of the county and the appellant made at any time before the hearing board is convened, the appeal shall be heard and decided by the chairman of the appeal board as a hearing officer. The rules and procedures set forth in this chapter for hearing by a hearing board shall also apply to a hearing by a hearing officer. (Prior code § 2-245).

3.28.070 Hearing rules.

At a hearing, both the appealing employee and the department head whose action is reviewed shall have the right to be heard publicly, to be represented by counsel and to present evidentiary facts. The parties may agree to a hearing closed to the public, and the hearing board may at any time exclude any person who may be a witness in the case under consideration. The hearing shall be informal and the hearing board shall not be bound by any of the rules of evidence governing trial procedure in state courts. In arriving at a decision, the hearing board may consider any prior county disciplinary

action including any letters of reprimand filed with the county personnel department. The hearing board shall make an official decision either affirming, modifying, or revoking the order. The decision shall contain findings of fact which may be stated in the language of the pleadings or be reference thereto. A copy of the written decision shall be transmitted to the department head and the personnel director. The personnel director shall serve a copy of the decision upon the employee, and shall notify the employee that the time within which judicial review must be sought is governed by California Code of Civil Procedure Section 1094.6. A copy of the decision shall be placed in the employee's personal history file. The decision of the hearing board shall be final. (Prior code § 2-246).

#### 3.28.080 Immediate termination.

Notwithstanding the provisions of Section 3.28.020, the department head may discharge a permanent employee without prior notice if immediate termination is essential to avert harm to the county or to the public. In such case, the notice of discharge shall inform the employee of his right to reconsideration by the department head who shall follow the procedures of Section 3.28.020, and where appropriate shall follow the procedures of Section 3.28.030. (Prior code § 2-247).

#### 3.28.090 Measures pending final determination.

The department head may, while intended disciplinary action is pending, and with prior review by the personnel director and the chief executive officer, take one or more of the following measures:

- A. Defer the imposition of the punishment until the final order of the hearing board;
- B. Place the employee on leave of absence with compensation;
- C. With the concurrence of any department head involved, require the employee to perform such duties as may be assigned in the same or another county department with no reduction in compensation. Reassignment without the consent of the employee shall not exceed a period of ninety days if accusations against the employee are under investigation, but such assignment may continue until the action becomes final if the employee has been given notice of discharge;
- D. Suspend the employee without pay if accusations against the employee are under investigation, and the accusations are such that, if true, immediate removal is essential to avert harm to the county or to the public, provided: (1) the employee shall be accorded the rights provided by this chapter, and may appeal the order of suspension to the hearing board at any time during the period of suspension; (2) the period of suspension without compensation shall not exceed forty-five days;

(3) that in the event the employee is not served with notice of intended charges during the period of suspension, the employee shall be reinstated in county service as of the initial date of suspension; (4) that in the event the punitive action taken against the employee does not result in termination of employment, the employee shall be restored to county service for the period of the preliminary suspension and any disciplinary suspension or reduction in rank or compensation ordered or approved by the hearing board shall commence on or after the date of the punitive action by the department head. The department head may discontinue an employee's leave of absence with compensation or his suspension without compensation giving the employee forty-eight hours' notice in writing to return to duty. (Ord. CS 557 § 40, 1994; prior code § 2-248).

#### 3.28.100 Maximum suspension.

~~No disciplinary suspension shall be imposed for any period exceeding forty-five days and the order of suspension shall expressly state, in addition to the reasons therefor, the date of the commencement and expiration of suspension. (Ord. CS 107 § 1, 1985; prior code § 2-249).~~

#### 3.28.110 Hearing procedure.

The hearing shall proceed as follows:

- A. The hearing board may adopt rules of procedure. The personnel director shall be ex officio secretary to the hearing board, and the personnel director shall be authorized to issue subpoenas, make necessary orders and administer oaths in connection with the proceedings of the hearing board. Any person failing to obey a subpoena, or subpoena duces tecum, or to be sworn and testify, shall be deemed to be in contempt of the hearing board and the hearing board shall have the power to take such proceedings and impose such punishment thereof as may be taken by the board of supervisors pursuant to Title 3, Division 2, Part 2, Chapter 1, Article 9 (Sections 25170 through 25176) of the Government Code.
- B. The personnel director shall cause the proceedings to be recorded by any method he finds to be appropriate. Any person may purchase all or part of the record provided the request therefor is made within ninety days of the date of service of the final decision of the employee, the department head or the personnel director shall have a right to purchase a transcript of a hearing held in closed session. A request for the record shall be accompanied by payment of the estimated cost thereof as determined by the personnel director, and the person making the request shall be obligated to pay the full cost prior to delivery of the transcript.

- C. The burden of proof shall be on the head of the department issuing the disciplinary order. The quantum of proof required to sustain such action shall be preponderance of the evidence.
- D. At the hearing the employee may be examined under Section 776 of the California Evidence Code. Failure of the employee to appear at the hearing or failure to testify if called as a witness, shall be deemed a withdrawal of the employee's appeal and the action of the department head shall be final.
- E. The hearing board may affirm or revoke the action taken by the department head or may modify such action to a less severe punishment. The hearing board may order the employee returned to his/her position either as of the date of the punitive action by the department head or as of such later date as the hearing board may specify. If the hearing board shall revoke or modify the order of the department head, the appealing employee shall be granted forthwith all rights and privileges pertaining to county service in accordance with the order of the hearing board. (Ord. CS 557 § 41, 1994; prior code § 2-250).

#### 3.28.120 Petition to set aside resignation.

In the event a person claims his resignation was given by reason of mistake, fraud, duress, undue influence, or that for any other reason it was not his free and voluntary act, he may submit a written petition to the personnel director to set aside his resignation and such petition shall be treated in the same manner as an appeal from an order for discharge; provided, however, that no such petition shall be considered by the hearing board unless it is filed with the personnel director within thirty days after (A) the last date upon which services to the county are rendered; or (B) the date the resignation is tendered to the appointing power, whichever is later. (Prior code § 2-252).

Agreement to Provide Binding Arbitration by an Outside Arbitrator  
in Lieu of Section 3.28.060 "Hearing Board and Hearing Officer"  
of the Stanislaus County Discipline Ordinance

A. Submission of the Disciplinary Appeal to the Hearing Board or Hearing Officer.

The parties agree that the employee may elect to have the disciplinary matter heard by the current discipline appeals board as provided by county code section 3.28.060 "Hearing board and hearing officer" in lieu of binding arbitration by an outside arbitrator. Should the employee to utilize the hearing board or hearing officer as provided by Section 3.28.060 of the County Code the decision of the hearing board or hearing officer shall be final and the employee shall forgo the option of arbitration by an outside arbitrator. The employee agrees to assume half of the cost of the hearing officer.

B. Submission of the Disciplinary Appeal to Binding Arbitration

1. Notice of Action and Appeal

In the event the Department Head determines to discharge, suspend or reduce in rank or compensation a permanent employee after completing the procedures provided in Section 3.28.020 he shall serve upon the employee an order in writing stating (A) the nature of the disciplinary action, (B) the effective date of the action, (C) the causes therefor, (D) the specific acts or omissions upon which the causes are based, stated in ordinary and concise language and (E) the right of the employee to appeal. The employee acted against may, within seven days of service of the order appeal the action of the Department Head. If the employee fails to appeal within the time specified, or subsequently withdraws his appeal, the punitive action taken by the Department Head shall be final.

An appeal shall be in writing, shall be filed with the Director of Personnel, shall request specifically the use of binding arbitration in lieu of the discipline appeals board, and shall contain a complete answer to each charge set forth in the order. The answer shall include any objections the employee may have as to the form or substance of the order or the procedures followed by the Department Head.

2. Selection of Arbitrator

If the employee elects to have the disciplinary proceeding heard by an arbitrator the arbitrator may be selected by mutual agreement between the Director of Personnel and the employee or his/her representative. However, should the parties fail to mutually agree on an arbitrator they shall make a joint request of the State Conciliation Service for a list of five qualified arbitrators. The arbitrator shall be selected from the list by the parties alternately

striking names with the first strike determined by chance, until only one name remains, and that person shall serve as arbitrator.

The Director of Personnel shall forthwith transmit the order and appeal to the arbitrator for hearing. The arbitrator shall, within a reasonable time of the filing of the appeal and the election of the arbitrator, commence the hearing thereof, and the Director of Personnel shall notify the interested parties of the time and place of hearing at least five days in advance thereof.

### 3. Arbitration Issues

The parties shall exchange summaries of evidence, and a list of witnesses to be used by each side, shall be submitted to each other and the arbitrator no less than five (5) working days prior to the arbitration hearing.

### 4. Arbitration Expenses Shared

The cost of employing the arbitrator and the court reporter for all discharges excluding the transcript, shall be borne equally by both parties to the arbitration. The cost of the transcript shall be covered as provided by County Code Section 3.28.110, subsection A, "Hearing Procedure". All other costs such as, but not limited to, attorney's fees shall be borne only by the party incurring that cost. If both parties agree to the use of a court reporter other than for discharges, or the arbitrator requires the use of a court reporter the cost of the court reporter shall be shared equally.

### 5. Duty of Arbitrator

The duty of the arbitrator shall be those of the hearing board as referred to throughout the Stanislaus County Disciplinary Ordinance including, but not limited to County Code sections 3.28.070 "Hearing rules" and 3.28.110 "Hearing procedure".

### 6. Arbitrator's Decision Due

Unless the parties agree otherwise, the arbitrator shall render the decision in writing within 30 days following the close of the hearing. A copy of the written decision shall contain findings of fact which may be stated in the language of the pleadings or be referenced thereto. If requested by either party the decision shall be accompanied by findings of fact and conclusions of law.

A copy of the written decision shall be transmitted to the Department Head and the Director of Personnel. The Director of Personnel shall cause to be served a copy of the decision upon the employee. Service by mail at the employee's last known address shall be sufficient for purposes of this section. A copy of the decision shall be placed in the employee's personal history file. The decision of the arbitrator shall be final and binding on both parties.

7. Non-Employee Organization Representation

In the event that an employee chooses to represent himself/herself, or arranges for representation independent of the recognized employee organization, the employee assumes the costs of the hearing officer or arbitration as provided herein.

This includes that the cost of employing the arbitrator and the court reporter for all discharges excluding the transcript, shall be borne equally by both parties to the arbitration. The cost of the transcript shall be covered as provided by County Code Section 3.28.110, subsection A "Hearing Procedure". All other costs such as, but not limited to, attorney's fees shall be borne only by the party incurring that cost. If both parties agree to the use of a court reporter other than for discharges, or the arbitrator requires the use of a court reporter the cost of the court reporter shall be shared equally.

If the employee elects to utilize the hearing officer or panel in lieu of arbitration, the employee agrees to assume half the cost of the hearing officer.

**Attachment C**  
**Special Accrued Leave Time Provisions**

1. All employees receiving a 6% salary deduction will receive 1.846 hours of special accrued leave time each pay period in which the 6% salary deduction is taken or an equivalent amount of special accrued leave time pro-rated based on the number of hours paid to the employee. The total special accrued leave time earned in each fiscal year will be 48 hours based on a 6% salary deduction for each 80 hours of paid time during 26 pay periods. Special accrued leave time will be administered in the same manner as vacation time for purposes of determining overtime eligibility.
2. Special accrued leave time will be tied to the salary deduction. With each 1% increase in salary approved by the Board of Supervisors, the number of SALT hours earned will be reduced by eight hours annually. The expiration date for any accrued time still on the books will be established with the future elimination of special accrued leave time. Special accrued leave time will be reported as a separate accrual amount on each employee's payroll advice notice. Employees may go negative in their special accrual leave time balance up to a maximum of 40 hours, however employees may not go negative in any amount greater than the employee's current vacation accrual amount. Employees may not carry a negative balance over at the end of each fiscal year. If an employee has a negative balance at the end of the fiscal year, or upon separation from employment, the County will reduce the employee's vacation accrual amount by an equal portion to balance the employee's special accrued leave time to zero.
3. Special accrued leave time will be utilized during any period of office closure approved by the Board of Supervisors. Office closure schedules will be communicated by July 1 of each Fiscal Year for the entire Fiscal year. With Department Head approval, employees may work during periods of approved office closures in limited circumstances to provide required County services.

The parties agree that procedures for potential office closures in FY 12-13 and FY 13-14 will be consistent with those procedures used in each individual department in FY 10-11 and FY 11-12.

Any remaining special accrued leave time not utilized during an office closure will be eligible for the employee to use as requested with the approval of their Department Head or designee. Department Heads may substitute the use of vacation with special accrued leave time as necessary, unless an employee is at the maximum level of vacation accrual. When possible departments may allow employees to utilize special accrued leave time to flex their schedule to allow for a shorter day or workweek.

4. Employees and departments are encouraged to schedule and utilize all special accrued leave time within the fiscal year in which it is accrued. Special accrued leave time not utilized will not expire (unless negotiated in the future per paragraph 5), does not have a vested cash value and may not be cashed out during employment or at the time of termination. Employees will not be able to accrue more than 96 hours of special accrued



leave time. Employees who reach 96 hours of accumulation shall not accrue any additional special accrued leave time although the deduction will remain in place.

5. Special accrued leave time will be tied to the salary deduction for negotiation purposes for the term of the agreement and will be reduced as salaries are increased and then eliminated as salaries are restored in full. The expiration date for any accrued time still on the books will be established with the future elimination of special accrued leave time.
6. Employees retiring from County service will be exempted from 6% salary deductions for a one year period prior to their identified date of retirement. In order to receive this exemption, retiring employees will need to sign an irrevocable notice of their retirement/resignation from County service on forms provided by the County. Employees may request Department Head approval to extend their planned retirement/resignation date, however, any approved extension of their planned retirement/resignation date will require an adjustment of salary deductions and special accrued leave time to ensure that the employee is not exempted from the salary deductions for a period greater than 12 months. Employees must be eligible for a regular service retirement in order to receive this exemption.
7. Implementation of the 6% salary deduction will not impact an employee's existing leave time accrual benefits (sick leave, vacation, etc.), retirement service credit or health insurance benefits.



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June 30, 2010

Birgit Fladager  
District Attorney  
County of Stanislaus  
830 12<sup>th</sup> Street, Suite 300  
Modesto, CA 95354

Re: Defense and Indemnification of Employees

Dear Ms. Fladager:

You asked the Office of County Counsel to respond to a concern of some Deputy District Attorneys about whether the County will defend and indemnify them when they are fulfilling the role of On-Call Homicide Deputy District Attorney. The obligation of the County to defend and indemnify its employees for claims and actions arising out of the employees' performance of their job duties is set forth in the Government Code.

The County's duty to defend its employees is found in section 995. That section provides that the County will provide a defense to a current or former employee, upon request, in any civil action or proceeding brought against him or her, in their official or individual capacity, for injury arising out of an act or omission occurring within the scope of his or her employment with the County.

The County will defend an employee as long as the other statutory requirements are met. Those requirements are that the employee acted or failed to act without actual fraud, corruption, or actual malice and that defense of the action does not create a specific conflict of interest with the County. (Government Code § 995.2). A "specific conflict of interest" is defined as a conflict of interest or an adverse or pecuniary interest, as specified by statute or County rule or regulation.

The County's obligation to indemnify an employee is spelled out in government Code section 825. That section provides that the County will indemnify an employee for any claim or action for injury arising out of an act or omission occurring within the scope of employment.

The County shall defend and indemnify employees in the District Attorney's Office in accordance with the applicable law when and if they are sued for acts or omissions within the course and scope of their duties, including, but not limited to, job duties performed while serving

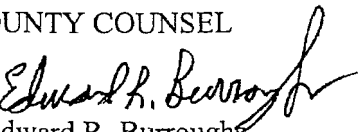
LETTER TO BIRGIT FLADAGER  
JUNE 30, 2010  
Page 2

as the on-call homicide district attorney for search warrants and homicides.

Please contact me if you have any questions.

Very truly yours,

JOHN P. DOERING  
COUNTY COUNSEL

By   
Edward R. Burroughs  
Assistant County Counsel

cc: Jody Hayes, Chief Executive Office