THE BOARD OF SUPERVISORS OF THE COUNTY OF STANISLAUS

		HON AGENDA SUMMA		 -
DEPT:	Public Works /////		BOARD AGEN	IDA #
	E			DATE July 17, 2012
CEO Co	oncurs with Recommendation	YES NO (Information Attached)	4/5 Vote Requi	ired YES NO
SUBJECT:			-	
Five of	il to Award a Contract for Bri Sacramento, California for th anal Bridge Replacement Pro	ne Shiells Road over (Central California	a Irrigation District (CCID)
STAFF REC	OMMENDATIONS:			
Sacra	d a contract for Bridge Engine amento, California in the amor e Replacement Project.			
	orize the Director of Public Wo 83,407 and to sign necessary		act with Nolte Ve	ertical Five in the amount
FISCAL IMP	ACT:			
Services for any Authoriz phase o (HBP) fu	time, \$421,748 is needed to s (\$383,407) and a contingen amendments to the agreemation to Proceed (E-76) has left the project in the amount ounds with Toll Credits and are way Bridge Replacement and	ncy not to exceed 10% ment per the discretion been secured from Cal of \$225,000. These fund e not sufficient to fund	(\$38,341). The on of the Direction of the Presents are from the 100% (\$421,74	contingency is to be used stor of Public Works. An eliminary Engineering (PE) Highway Bridge Program
BOARD ACT	ION AS FOLLOWS:		No. 20	12-369
and appro	oved by the following vote, pervisors:_ <u>Chiesa,_Withrow,_Mont</u>	eith, <u>De Martini, and Chair</u>	man Q'Brien	or Withrow

CHRISTINE FERRARO TALLMAN, Clerk

Approval to Award a Contract for Bridge Engineering and Project Delivery Services to Nolte Vertical Five of Sacramento, California for the Shiells Road over Central California Irrigation District (CCID) Main Canal Bridge Replacement Project in Stanislaus County, Federal Project Number: BRLO-5938 (192)

FISCAL IMPACT (continued):

Scope/Cost/Schedule Change Request form will be submitted to Caltrans to secure the additional funding necessary. In the event the additional funding is not realized, the remainder of the project will be funded with existing road funds. These funds are available in the 2012-2013 Fiscal Year Road Projects' budget.

DISCUSSION:

The Shiells Road over CCID Main Canal Bridge Replacement Project is being proposed due to the structurally deficient bridge rating of 52.4, per the California Department of Transportation (Caltrans) Bridge Investigation Report (BIR). Bridges must be rated structurally deficient or functionally obsolete with a structural rating of less than 80 to be eligible candidates for rehabilitation and in special cases for replacement. The Shiells Road Bridge was constructed in 1928 as a continuous 3-span reinforced concrete "T" girder with reinforced concrete abutments and solid pier walls on spread footings. The bridge is approximately 62 feet in length and 22 feet wide. According to recent BIRs, the bridge has concrete spalls on various locations, some with exposed reinforcing. The existing bridge has a narrow travel deck width allowing no room for shoulders on either side. Caltrans has listed the status of this bridge as Functionally Obsolete and has programmed it for full replacement.

The scope of design services includes:

- Strategy determination and strategy report;
- Comprehensive project management services;
- Geotechnical investigation;
- Topographical survey;
- Comprehensive environmental services;
- Structural engineering services;
- Comprehensive civil engineering services:
- Traffic system design;
- Utilities design and relocation coordination;
- Comprehensive right of way services; and
- Bidding and construction support services.

On February 16, 2012, three proposals were submitted for review by various consulting firms. All proposals were evaluated based on qualifications only. Along with the proposal, consultant fees were submitted in a separate sealed fee envelope and were not part of the evaluation process. A sealed fee envelope was opened for the most qualified proposal. Below is a list of consultants that submitted proposals:

- MGE
- Nolte V5
- TRC Engineers, Inc.

Approval to Award a Contract for Bridge Engineering and Project Delivery Services to Nolte Vertical Five of Sacramento, California for the Shiells Road over Central California Irrigation District (CCID) Main Canal Bridge Replacement Project in Stanislaus County, Federal Project Number: BRLO-5938 (192)

Public Works staff reviewed the proposals. TRC Engineers, Inc. was declared non-responsive, and staff selected Nolte Vertical Five of Sacramento, California as the most qualified consultant based on the results of the following evaluation criteria:

- Understanding of the work to be performed;
- Experience with similar projects;
- Qualifications and availability of staff;
- Project schedule;
- Familiarity with State and Federal procedures;
- Demonstrated technical ability;
- Demonstration of professional and financial responsibility; and
- References.

POLICY ISSUES:

The recommended actions are consistent with the Board's priorities of providing A Safe Community, A Healthy Community, and A Well Planned Infrastructure System by replacing a structurally deficient bridge in Stanislaus County.

STAFFING IMPACT:

There are no staffing impacts associated with this item.

CONTACT PERSON:

Matt Machado, Public Works Director. Telephone: (209) 525-4130.

TG/sn

L:\BRIDGES\9609 - Shiells Rd at CCID\Design\BOS\7-17-12 BOS item_9609-Shiells Rd Bridge Apvl to Awrd Cntrct_from TGeiss

STANISLAUS COUNTY PROFESSIONAL DESIGN SERVICES AGREEMENT

Nolte Vertical Five
Shiells Road over C.C.I.D. Main Canal Bridge Replacement Project – Bridge No. 38C-180
Federal Project No. BRLO-5938 (192)

This Agreement is made and entered into by and between the County of Stanislaus, a political subdivision of the State of California, hereinafter referred to as "County" and Nolte Vertical Five, hereinafter referred to as "Consultant".

NOW, THEREFORE, for and in consideration of the mutual covenants and conditions contained herein, the parties hereby agree as follows:

1.0 PROFESSIONAL SERVICES TO BE PROVIDED BY CONSULTANT

- 1.1. <u>Scope of Services</u>: Consultant shall provide the professional services described in the County's Request for Proposal ("RFP") attached hereto as <u>Exhibit "A"</u> and incorporated herein by reference and Consultant's Response to County's RFP (the "Response"). A copy of said Response is attached hereto as <u>Exhibit "B"</u> and incorporated herein by this reference.
- 1.2. <u>Professional Practices</u>: All professional services to be provided by Consultant pursuant to this Agreement shall be provided by personnel experienced in their respective fields and in a manner consistent with the standards of care, diligence and skill ordinarily exercised by professional consultants in similar fields and circumstances in accordance with sound professional practices. Consultant also represents that it is familiar with all laws that may affect its performance of this Agreement and shall advise County of any changes in any laws that may affect Consultant's performance of this Agreement.
- 1.3. Representations: Consultant represents that it has reviewed the RFP and that in its professional judgment the services to be performed under this Agreement can be performed within the maximum fee set forth herein below and within the time specified in the Project Schedule attached hereto. Consultant represents that it is qualified to perform the professional services required by this Agreement and possesses the necessary licenses and permits required to perform said services. Consultant represents that it has no interest and shall not acquire any interest direct or indirect which conflicts, or has the appearance of conflicting, in any manner or degree with the performance of the work and services under this Agreement.
- 1.4. <u>Compliance with Laws</u>. Consultant agrees that it shall perform the services required by this Agreement in compliance with all applicable Federal and California laws including, but not limited to, those laws related to minimum hours and wages; occupational health and safety; fair employment and employment practices; workers' compensation insurance and safety in employment; and all other Federal, State and local laws and ordinances applicable

to the services required under this Agreement.

- 1.5. Non-Discrimination. During the performance of this Agreement, Consultant and its officers, employees, agents, representatives or subcontractors shall not unlawfully discriminate in violation of any federal, state or local law, rule or regulation against any employee, applicant for employment or person receiving services under this Agreement because of race, religion, color, national origin, ancestry, physical or mental disability, medical condition (including genetic characteristics), marital status, age, political affiliation, sex or sexual orientation. Consultant and its officers, employees, agents, representatives or subcontractors shall comply with all applicable Federal, State and local laws and regulations related to non-discrimination and equal opportunity, including without limitation the County's nondiscrimination policy; the Fair Employment and Housing Act (Government Code sections 12900 et seq.); California Labor Code sections 1101, 1102 and 1102.1; the Federal Civil Rights Act of 1964 (P.L. 88-352), as amended; and all applicable regulations promulgated in the California Code of Regulations or the Code of Federal Regulations.
- 1.6. Non-Exclusive Agreement. Consultant acknowledges that County may enter into agreements with other consultants for services similar to the services that are subject to this Agreement or may have its own employees perform services similar to those services contemplated by this Agreement.
- 1.7. <u>Delegation and Assignment</u>. This is a personal service contract, and the duties set forth herein shall not be delegated or assigned to any person or entity without the prior written consent of County. Consultant may engage a subcontractor(s) as permitted by law and may employ other personnel to perform services contemplated by this Agreement at Consultant's sole cost and expense.
- 1.8. Covenant Against Contingent Fees. Consultant warrants that he/she has not employed or retained any company or person, other than a bona fide employee working for the consultant; to solicit or secure this agreement; and that he/she has not paid or agreed to pay any company or person other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award, or formation of this agreement. For breach or violation of this warranty, the local agency shall have the right to annul this agreement without liability, or at its discretion; to deduct from the agreement price or consideration, or otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

2.0 COMPENSATION AND BILLING

2.1. <u>Compensation</u>. Consultant shall be paid in accordance with the fee schedule set forth in <u>Exhibit "C"</u>, attached hereto and made a part of this Agreement (the "Fee Schedule"). Consultant's compensation shall in no case exceed Three Hundred Eighty-Three Thousand Four Hundred Seven Dollars (\$383,407). Consultant will be compensated on a time and materials

basis, based on the hours worked by the Consultant's employees or subcontractors at the hourly rates specified in the Fee Schedule. The Fee Schedule rates include direct salary costs, employee benefits, and overhead. The rates stated in the Fee Schedule are not adjustable during the term of this Agreement. The County may retain ten percent of all periodic or progress payments made to the Consultant until completion and acceptance of all work tasks and County shall have right to withhold payment from Consultant for any unsatisfactory service until such time service is performed satisfactorily.

- 2.2. <u>Reimbursements</u>. In addition to the aforementioned fees, Consultant will be reimbursed for any expenses specifically set forth in each Project Scope of Work. All such reimbursement amounts are limited to those costs and expenses that are reasonable, necessary and actually incurred by the Consultant in connection with the services provided. The County shall not pay a mark up on any item of reimbursement. The County shall not pay for any item of overhead such as telephone, facsimile, postage, etc. All requests for reimbursement shall be accompanied by a copy of the original invoice.
- 2.3. Additional Services. Consultant shall not receive compensation for any services provided outside the scope of services specified in Exhibits A and B unless the County or the Project Manager for this Project, prior to Consultant performing the additional services, approves such additional services in writing. It is specifically understood that oral requests and/or approvals of such additional services or additional compensation shall be barred and are unenforceable.
- 2.4. Method of Billing. Consultant may submit invoices to County's Project Manager for approval on a progress basis, but no more often than once each calendar month. Said invoice shall be based on the total of all Consultants' services that have been completed to County's sole satisfaction. County shall pay Consultant's invoice within forty-five (45) days from the date County receives said invoice. Each invoice shall describe in detail, the services performed and the associated percentage of tasks completed. Any additional services approved and performed pursuant to this Agreement shall be designated as "Additional Services" and shall identify the number of the authorized change order, where applicable, on all invoices.
- 2.5. Records and Audits. Records of Consultant's services relating to this Agreement shall be maintained in accordance with generally recognized accounting principles and shall be made available to County or its Project Manager for inspection and/or audit at mutually convenient times for a period of three (3) years from the termination of this Agreement.

3.0 TIME OF PERFORMANCE

3.1. <u>Commencement and Completion of Work</u>. The professional services to be performed pursuant to this Agreement shall commence within five (5) days after County delivers its Notice to Proceed. Said services shall be performed in strict compliance with the Project Schedule approved by County as set forth in <u>Exhibit "D"</u>, attached hereto and incorporated

herein by this reference. The Project Schedule may be amended by mutual agreement of the parties. Failure to commence work in a timely manner and/or diligently pursue work to completion may be grounds for termination of this Agreement.

3.2. Excusable Delays. Neither party shall be responsible for delays or lack of performance resulting from acts beyond the reasonable control of the party or parties. Such acts shall include, but not be limited to, acts of God, fire, strikes, material shortages, compliance with laws or regulations, riots, acts of war, or any other conditions beyond the reasonable control of a party.

4.0 TERM OF CONTRACT AND TERMINATION

- 4.1. <u>Term.</u> This Agreement shall commence upon approval by the County's Board of Supervisors and continue until the work required herein is completed, unless previously terminated as provided herein or as otherwise agreed to in writing by the parties.
- 4.2. <u>Notice of Termination</u>. The County reserves and has the right and privilege of canceling, suspending or abandoning the execution of all or any part of the work contemplated by this Agreement, with or without cause, at any time, by providing written notice to Consultant. The termination of this Agreement shall be deemed effective upon receipt of the notice of termination. In the event of such termination, Consultant shall immediately stop rendering services under this Agreement unless directed otherwise by the County.
- 4.3. <u>Compensation</u>. In the event of termination, County shall pay Consultant for reasonable costs incurred and professional services satisfactorily performed up to and including the date of County's written notice of termination. Compensation for work in progress shall be prorated as to the percentage of work completed as of the effective date of termination in accordance with the fees set forth in Exhibit "C. In ascertaining the professional services actually rendered hereunder up to the effective date of termination of this Agreement, consideration shall be given to both completed work and work in progress, to complete and incomplete drawings, and to other documents pertaining to the services contemplated herein whether delivered to the County or in the possession of the Consultant.
- 4.4. <u>Documents</u>. In the event of termination of this Agreement, all documents prepared by Consultant in its performance of this Agreement including, but not limited to, finished or unfinished design, development and construction documents, data studies, drawings, maps and reports, shall be delivered to the County within ten (10) days of delivery of termination notice to Consultant, at no cost to County. Any use of uncompleted documents without specific written authorization from Consultant shall be at County's sole risk and without liability or legal expense to Consultant.

5.0 INSURANCE REQUIREMENTS

- 5.1. Minimum Scope and Limits of Insurance. Consultant, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain, at minimum, compliance with all of the following insurance coverage(s) and requirements. If Consultant normally carries insurance in an amount greater than the minimum amount listed below, that greater amount shall become the minimum required amount of insurance for purposes of this Agreement. The insurance listed below shall have a retroactive date of placement prior to, or coinciding with, the date services are first provided that are governed by the terms of this Agreement:
 - (a) Comprehensive general liability, including premises-operations, products/completed operations, broad form property damage, blanket contractual liability, independent contractors, personal injury with a policy limit of not less than One Million Dollars (\$1,000,000), combined single limits, per occurrence and aggregate. If Commercial General Liability Insurance or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to any act or omission by Consultant under this Agreement or the general aggregate limit shall be twice the required occurrence limit.
 - (b) Automobile liability for owned vehicles, hired, and non-owned vehicles, with a policy limit of not less than One Million Dollars (\$1,000,000), combined single limits, per occurrence and aggregate.
 - (c) Workers' compensation insurance as required by the State of California.
 - (d) Professional errors and omissions ("E&O") liability insurance with policy limits of not less than One Million Dollars (\$1,000,000), combined single limit for each occurrence. If Consultant cannot provide an occurrence policy, Consultant shall provide insurance covering claims made as a result of performance of Work on this Project and shall maintain such insurance in effect for not less than three years following Final Completion of the Project.
- 5.2. Endorsements. The Consultant shall obtain a specific endorsement to all required insurance policies, except Professional Liability insurance and Workers Compensation insurance, naming the County of Stanislaus, its Officers, Directors, Officials, Agents, Employees and Volunteers as additional insureds for at least three years after the completion of the work to be performed under this Agreement, but, to the extent that any insurance issued to Consultant in effect after the expiration of three years provides additional insured coverage to parties Consultant agreed in writing to name as an additional insured, then Consultant shall have the obligation under this contract to obtain such additional insured coverage for the County, under any and all policies Consultant has regarding:
 - (a) Liability arising from or in connection with the performance or omission to perform any term or condition of this Agreement by or on behalf of the Consultant, including the insured's general supervision of its subcontractors;
 - (b) Ongoing services, products and completed operations of the Consultant;
 - (c) Premises owned, occupied or used by the Consultant; and

- (d) Automobiles owned, leased, hired or borrowed by the Consultant.
- (e) For Workers' Compensation insurance, the insurance carrier shall agree to waive all rights of subrogation against the County, its officers, officials and employees for losses arising from the performance of or the omission to perform any term or condition of this Agreement by the Consultant.
- 5.3. Deductibles: Any deductibles, self-insured retentions or named insureds must be declared in writing and approved by County. At the option of the County, either: (a) the insurer shall reduce or eliminate such deductibles, self-insured retentions or named insureds, or (b) the Consultant shall provide a bond, cash, letter of credit, guaranty or other security satisfactory to the County guaranteeing payment of the self-insured retention or deductible and payment of any and all costs, losses, related investigations, claim administration and defense expenses. The County, in its sole discretion, may waive the requirement to reduce or eliminate deductibles or self-insured retentions, in which case, the Consultant agrees that it will be responsible for and pay any self-insured retention or deductible and will pay any and all costs, losses, related investigations, claim administration and defense expenses related to or arising out of the Consultant's defense and indemnification obligations as set forth in this Agreement.
- 5.4. <u>Certificates of Insurance</u>: At least ten (10) days prior to the date the Consultant begins performance of its obligations under this Agreement, Consultant shall furnish County with certificates of insurance, and with original endorsements, showing coverage required by this Agreement, including, without limitation, those that verify coverage for subcontractors of the Consultant. The certificates and endorsements for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. All certificates and endorsements shall be received and, in County's sole and absolute discretion, approved by County. County reserves the right to require complete copies of all required insurance policies and endorsements, at any time.
- 5.5. <u>Non-limiting</u>: Nothing in this Section or the insurance described herein shall be construed as limiting in any way, the indemnification provisions contained in this Agreement, or the liability of Consultant and Consultant's officers, employees, agents, representatives or subcontractors for payments of damages to persons or property.
- 5.6. Primary Insurance: The Consultant's insurance coverage shall be primary insurance regarding the County of Stanislaus, its Officers, Directors, Officials, Agents, Employees and Volunteers. Any insurance or self-insurance maintained by the County of Stanislaus, its Officers, Directors, Officials, Agents, Employees and Volunteers shall be excess of the Consultant's insurance and shall not contribute with Consultant's insurance. Any failure to comply with reporting provisions of the policies shall not affect coverage provided to the County or its officers, officials and employees. The Consultant's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability. Any and all insurances carried by it shall be deemed liability coverage for any and all actions it performs in connection with this Contract.
 - 5.7. <u>Cancellation of Insurance</u>: Each insurance policy required by this section shall be

endorsed to state that coverage shall not be suspended, voided, canceled by either party except after thirty (30) days prior written notice has been given to County. The Consultant shall promptly notify, or cause the insurance carrier to promptly notify, the County of any change in the insurance policy or policies required under this Agreement, including, without limitation, any reduction in coverage or in limits of the required policy or policies. Consultant shall maintain such coverage in effect for three (3) years after substantial completion of the project to the extent it is commercially available at reasonable rates.

- 5.8. <u>California Admitted Insurer</u>: Insurance shall be placed with California admitted insurers (licensed to do business in California) with a current rating by Best's Key Rating Guide of no less than A-:VII; provided, however, that if no California admitted insurance company provides the required insurance, it is acceptable to provide the required insurance through a United States domiciled carrier that meets the required Best's rating and that is listed on the current List of Eligible Surplus Line Insurers maintained by the California Department of Insurance.
- 5.9. <u>Subcontractors</u>: Consultant shall require that all of its subcontractors are subject to the insurance and indemnity requirements stated herein, or shall include all subcontractors as additional insureds under its insurance policies.

6.0 INDEMNIFICATION

- Indemnification: To the fullest extent allowed by law, Consultant shall defend, indemnify, and hold harmless the County and its officers, directors, officials, agents, employees, volunteers and representatives (collectively, "Indemnitee") from and against any and all claims, suits, actions, losses, injuries, damages or expenses of every name, kind, and description, including litigation costs and reasonable attorney's fees incurred, (collectively, "losses") which are founded upon, arise out of, pertain to, or relate to, directly or indirectly, in whole or in part, the alleged negligence, recklessness, or willful misconduct of Consultant, its officers, agents, employees, volunteers, representatives, contractors and subcontractors, excluding, however, such liabilities caused in part by the sole negligence, active negligence or willful misconduct of the County, its agents, employees, and representatives. These indemnification obligations shall not be limited by any assertion or finding that (1) the person or entity indemnified is liable by reason of non-delegable duty, or (2) the losses were caused in part by the negligence of, breach of contract by, or violation of law by Indemnitee. Nothing in this Agreement, including the provisions of this paragraph, shall constitute a waiver or limitation of any rights which Indemnitee may have under applicable law, including without limitation, the right to implied indemnity.
- 6.2. <u>Duty to Defend</u>: The duty of Consultant to indemnify and save harmless as set forth herein, shall include both the duty to indemnify and at Consultant's own cost and expense the duty to defend as set forth in Section 2778 of the California Civil Code and as limited in section 2782.8 of the California Civil Code. This duty to defend arises immediately when such

claim is made and shall be independent of any finding of negligence and shall arise regardless of any claim or assertion that Indemnitee caused or contributed to the Losses. Consultant shall provide legal counsel acceptable to the County.

- 6.3. <u>Duty to Cooperate</u>: Each party shall notify the other party within ten (10) days in writing of any claim or damage related to activities performed under this Agreement. The parties shall cooperate with each other in the investigation and disposition of any claim arising out of the activities under this Agreement. Specifically, Consultant shall take all steps necessary to assist the County in the defense of any claim brought by a contractor hired to construct the Project regarding any errors, flaws, and/or omissions in the plans or specifications of the Project.
- 6.4. Patent Rights: Consultant represents that professional services provided by Consultant pursuant to this Agreement does not infringe on any other copyrighted work. Consultant shall defend, indemnify and hold harmless the County from all loss, cost, damage, expense, liability or claims, including attorneys' fees, court costs, litigation expenses and expert consultant or witness fees, that may at any time arise for any infringement of the patent rights, copyright, trade secret, trade name, trademark, service mark or any other proprietary right of any person or persons in consequence of the use by the County of any articles or services supplied under this agreement.
- 6.5. The foregoing provisions shall survive the term and termination of this Agreement.

7.0 GENERAL PROVISIONS

- 7.1. Entire Agreement: This Agreement constitutes the entire Agreement between the parties with respect to any matter referenced herein and supersedes any and all other prior writings and oral negotiations. This Agreement may be modified only in writing, and signed by the parties in interest at the time of such modification. The terms of this Agreement shall prevail over any inconsistent provision in any other contract document appurtenant hereto, including exhibits to this Agreement.
- 7.2. Representatives. The Director of the Stanislaus County Department of Public Works, or his designee, shall be the representative of County for purposes of this Agreement and may issue all consents, approvals, directives and agreements on behalf of the County, called for by this Agreement, except as otherwise expressly provided in this Agreement. Consultant shall designate a representative for purposes of this Agreement who shall be authorized to issue all consents, approvals, directives and agreements on behalf of Consultant called for by this Agreement, except as otherwise expressly provided in this Agreement.
- 7.3. <u>Project Managers</u>. County shall designate a Project Manager to work directly with Consultant in the performance of this Agreement. Consultant shall designate a Project Manager who shall represent it and be its agent in all consultations with County during the term of this

Agreement. Consultant or its Project Manager shall attend and assist in all coordination meetings called by County.

- 7.4. <u>Designated Personnel</u>: A material covenant of this agreement is that the Consultant shall assign the individuals designated below to perform the functions designated so long as they continue in the employ of the Consultant. The designated individuals shall, so long as their performance continues to be acceptable to County, remain in charge of the services for the Project from beginning through completion of services.
 - a. Project Manager: Chandu Shenoyb. Lead/Manager: Michael Pugh, PE, SE
- 7.5. Removal of Personnel or Sub-Consultants: If the County, in its sole discretion at any time during the term of this agreement, desires the removal of any person or sub-consultant assigned by Consultant to perform services, then the Consultant shall remove such person or consultant immediately upon receiving notice from the County.
- 7.6. Notices: Any notices, documents, correspondence or other communications concerning this Agreement or the work hereunder may be provided by personal delivery, facsimile or mail and shall be addressed as set forth below. Such communication shall be deemed served or delivered: a) at the time of delivery if such communication is sent by personal delivery; b) at the time of transmission if such communication is sent by facsimile; and c) 48 hours after deposit in the U.S. Mail as reflected by the official U.S. postmark if such communication is sent through regular United States mail.

If to County:

Stanislaus County Department of Public Works Attn: Linda Allsop, Contracts Administrator 1716 Morgan Road Modesto, California 95358

If to Consultant:

Nolte Vertical Five Attn: Michael Pugh, PE, SE 2495 Natomas Park Drive, 4th Floor Sacramento, CA 95833

- 7.7. Attorneys' Fees: In the event that litigation is brought by any party in connection with this Agreement, the prevailing party shall be entitled to recover from the opposing party all costs and expenses, including reasonable attorneys' fees, incurred by the prevailing party in the exercise of any of its rights or remedies hereunder or the enforcement of any of the terms, conditions, or provisions hereof.
- 7.8. Governing Law: This Agreement shall be governed by and construed under the laws of the State of California without giving effect to that body of laws pertaining to conflict of laws. In the event of any legal action to enforce or interpret this Agreement, the parties hereto agree that the sole and exclusive venue shall be a court of competent jurisdiction located in Stanislaus County, California.
 - 7.9. Assignment: Consultant shall not voluntarily or by operation of law assign,

transfer, sublet or encumber all or any part of Consultant's interest in this Agreement without County's prior written consent. Any attempted assignment, transfer, subletting or encumbrance shall be void and shall constitute a breach of this Agreement and cause for termination of this Agreement. Regardless of County's consent, no subletting or assignment shall release Consultant of Consultant's obligation to perform all other obligations to be performed by Consultant hereunder for the term of this Agreement.

- 7.10. <u>Independent Contractor</u>: Consultant is and shall be acting at all times as an independent contractor and not as an employee of County. Consultant shall secure, at his expense, and be responsible for any and all payment of Income Tax, Social Security, State Disability Insurance Compensation, Unemployment Compensation, and other payroll deductions for Consultant and its officers, agents, and employees, and all business licenses, if any are required, in connection with the services to be performed hereunder. Consultant hereby indemnifies and holds County harmless from any and all claims that may be made against County based upon any contention by any third party that an employer-employee relationship exists by reason of this Agreement.
- 7.11. <u>Confidentiality</u>: The Consultant agrees to keep confidential all information obtained or learned during the course of furnishing services under this Agreement and to not disclose or reveal such information for any purpose not directly connected with the matter for which services are provided, unless such disclosure is required by law.
- 7.12. Ownership of Documents: Any interest, including copyright interests, of Consultant or its contractors or subconsultants in studies, reports, memoranda, computational sheets, drawings, plans or any other documents, including electronic data, prepared in connection with the Services, shall be the property of County. To the extent permitted by law, work product produced under this Agreement shall be deemed works for hire and all copyrights in such works shall be the property of the County. In the event that it is ever determined that any works created by Consultant or its subconsultants under this Agreement are not works for hire, Consultant hereby assigns to County all copyrights to such works. With the County's prior written approval, Consultant may retain and use copies of such works for reference and as documentation of experience and capabilities.
- 7.13. Reuse of Design Documents: Should the County desire to reuse the documents specified above and not use the services of the Consultant, then the County agrees to require the new consultant to assume any and all obligations for the reuse of the documents, and the County releases Consultant and its subconsultants from all liability associated with the reuse of such documents.
- 7.14. Public Records Act Disclosure: Consultant has been advised and is aware that all reports, documents, information and data including, but not limited to, computer tapes, discs or files furnished or prepared by Consultant, or any of its subcontractors, and provided to County may be subject to public disclosure as required by the California Public Records Act (California Government Code Section 6250 et. seq.). Exceptions to public disclosure may be those documents or information that qualifies as trade secrets, as that term is defined in the California

Government Code Section 6254.7, and of which Consultant informs County of such trade secret. The County will endeavor to maintain as confidential all information obtained by it that is designated as a trade secret. The County shall not, in any way, be liable or responsible for the disclosure of any trade secret including, without limitation, those records so marked if disclosure is deemed to be required by law or by order of the Court.

- 7.15. Responsibility for Errors: Consultant shall be responsible for its work and results under this Agreement. Consultant, when requested, shall furnish clarification and/or explanation as may be required by the County's representative, regarding any services rendered under this Agreement at no additional cost to County. In the event that an error or omission attributable to Consultant occurs, then Consultant shall, at no cost to County, provide all necessary design drawings, estimates and other Consultant professional services necessary to rectify and correct the matter to the sole satisfaction of County and to participate in any meeting required with regard to the correction.
- 7.16. Order of Precedence: In the event of an inconsistency in this Agreement and any of the attached Exhibits, the terms set forth in this Agreement shall prevail. If, and to the extent this Agreement incorporates by reference any provision of the RFP or the Response, such provision shall be deemed a part of this Agreement. Nevertheless, if there is any conflict among the terms and conditions of this Agreement and those of any such provision or provisions so incorporated by reference, this Agreement shall govern over both the Response and the RFP and the Response shall govern over the RFP.
- 7.17. <u>Costs</u>: Each party shall bear its own costs and fees incurred in the preparation and negotiation of this Agreement and in the performance of its obligations hereunder except as expressly provided herein.
- 7.18. No Third Party Beneficiary Rights: This Agreement is entered into for the sole benefit of County and Consultant and no other parties are intended to be direct or incidental beneficiaries of this Agreement and no third party shall have any right in, under or to this Agreement.
- 7.19. <u>Construction</u>: The parties have participated jointly in the negotiation and drafting of this Agreement. In the event an ambiguity or question of intent or interpretation arises with respect to this Agreement, this Agreement shall be construed as if drafted jointly by the parties and in accordance with its fair meaning. There shall be no presumption or burden of proof favoring or disfavoring any party by virtue of the authorship of any of the provisions of this Agreement.
- 7.20. <u>Amendments</u>: This Agreement may be amend only by a writing executed by the parties hereto or their respective successors and assigns.
- 7.21. <u>Waiver</u>: The delay or failure of either party at any time to require performance or compliance by the other of any of its obligations or agreements shall in no way be deemed a waiver of those rights to require such performance or compliance. No waiver of any provision of

this Agreement shall be effective unless in writing and signed by a duly authorized representative of the party against whom enforcement of a waiver is sought. The waiver of any right or remedy in respect to any occurrence or event shall not be deemed a waiver of any right or remedy in respect to any other occurrence or event, nor shall any waiver constitute a continuing waiver.

- 7.22. Severability: If any provision of this Agreement is determined by a court of competent jurisdiction to be unenforceable in any circumstance, such determination shall not affect the validity or enforceability of the remaining terms and provisions hereof or of the offending provision in any other circumstance. Notwithstanding the foregoing, if the value of this Agreement, based upon the substantial benefit of the bargain for any party is materially impaired, which determination as made by the presiding court or arbitrator of competent jurisdiction shall be binding, then both parties agree to substitute such provision(s) through good faith negotiations.
- 7.23. <u>Counterparts</u>: This Agreement may be executed in one or more counterparts, each of which shall be deemed an original. All counterparts shall be construed together and shall constitute one agreement.
- 7.24. <u>Corporate Authority</u>: The persons executing this Agreement on behalf of the parties hereto warrant that they are duly authorized to execute this Agreement on behalf of said parties and that by doing so, the parties hereto are formally bound to the provisions of this Agreement.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed by and through their respective authorized officers:

COUNTY OF STANISLAUS

NOLTE VERTICAL FIVE

By:

Matt Machado, Director

Department of Public Works

y:_____

Senior Vice President

APPROVED AS TO FORM:

John P. Doering

County Counsel

 $\mathbf{R}\mathbf{v}$

Thomas E. Boze

Deputy County Counsel

Board Resolution No. 2012 - 369

EXHIBIT A COUNTY'S REQUEST FOR PROPOSAL

THE REFERENCED EXHIBIT IS LOCATED IN THE COUNTY'S FILES.

EXHIBIT B CONSULTANT'S RESPONSE TO COUNTY'S REQUEST FOR PROPOSAL

THE REFERENCED EXHIBIT IS LOCATED IN THE COUNTY'S FILES.

EXHIBIT C CONSULTANT'S FEE SCHEDULE

SHIELLS ROAD BRIDGE REPLACEMENT - STANISLAUS COUNTY FEE ESTIMATE

FEBRUARY 16, 2012

<u> </u>																	
ľ		Principal	Project	Discipline	Senior	Associate	Assistant	Junior	Survey	Two	CADD	Project	Noite	Noite	A1-1-		i
1	1	In Charge	Manager	Lead	Engineer	Engineer	Engineer	Staff	Manager	Man Crew	Tech	Administrator	Labor	Subconsultant Management	Note Total	Subconsultants	Total
TASK	TASK DESCRIPTION	Citage	}					Engineer		CIEW	ļ		Fee	Fee	Fee	Juckonsoners	Fee
	Phase 0 - Project Management	<u> </u>	·	•					1								
	Project Management			1			i	I		T	T						
	I.I Management	8	20	8	0	0	0	0	0	0	0	8	\$8,248	\$0	\$8,248	\$0	\$8,2
	1.2 Meetings	0	10	18	0	0	0	0	0	0	0	12	\$6,482	\$0	\$6,482	\$0	\$6,4
	1.3 Quality Assurance / Quality Control	4	40	20	0	0	0	0	0	0	0	8	\$13,468	\$0	\$13,468	\$0	\$13,4
<u> </u>	1.4 Project Schedule	0	20	0	0	0	0	0	0	0	0	12	\$5,152	\$0	\$5,152	\$0	\$5,15
<u> </u>	Subtotal - Task i	12	90	46	0	0	0	0	0	0	0	40	\$33,350	\$0	\$33,350	\$0	\$33,35
2	Public Outreach Optional)										<u> </u>						
	2.1 Management (Optional)	0	0	0	0	0	0	0	0	0	0	0	\$0	\$0	\$0	\$0	
	2.2 Consensus Building and Outreach (Optional)	0	0	0	0	0	0	0	0	0	0	0	\$0	\$0	\$0	\$0	
L	Subtotal - Task 2	0	0	0	0	0	0	0	0	0		0	\$0	\$0	\$0	\$0	\$I
	Phase I - Strategy Determination																
3	Existing Document Review																
	3.0 Existing Document Review	0	4	2	4	0	0	0	0	0	0	0	\$1,774	\$0	\$1,774	\$0	\$1,77
	Subtotal - Task 3	0	4	2	4	0	0	0	0	0	0	0	\$1,774	şo	\$1,774	\$0	\$1,77
4	Pre-Design Kick Off Meeting																
	4.0 Pre-Design Kick Off Meeting	0	1	8	0	0	0	0	0	0	0	0	\$2,280	\$0	\$2,280	\$0	\$2,28
	Subtotal - Task 4		4	8	0	. 0	0	0	0	0	0		\$2,280	50	\$2,280	\$0	\$2,28
5	Preliminary Geotechnical Memorandum																
	5.0 Preliminary Geotechnical Memorandum	0	2	0	0	0	0	0	0	0	0	0	\$400	\$606	\$1,006	\$12,116	\$13,12
	Subtotal - Task 5	0	2	0	0	0	0	0		0	0	0	\$400	\$606	\$1,006	\$12,116	\$13,12
6	Preliminary Roadway Approach Design																
	6.0 Preliminary Roadway Approach Design		2	0	. 40	0	0	0	0	0	0	0	\$6,440	\$0	\$6,440	\$0	\$6,44
	Subtotal - Task 6	0	2	. 0	40	0	0	0	_ 0	0	0	0	\$6,440	\$0	\$6,440	\$0	\$6,440
-/- 	Bridge Retrofit/Rehabilitation Strategy																
	7.1 Develop Bridge Retrofit/Rehabilitation Strategy	0	18	20	- 0	20	0		. 0	0	16	4	\$12,244	\$0	\$12,244	\$0	\$12,24
	7.2 Prepare Draft Seismic Retrofit/Rehabilitation Report	0	2	-1-+	- 0	- 8	0	0	-0-1	0	. 0	0	\$2,260	\$0	\$2,260	\$0	\$2,26
	Subtotal - Task 7	0	20	24	0	28	0	0	0	0	16		\$14,504	50	\$14,504	\$0	\$14,504
9 1	Bridge Type Analysis & Selection Report 8.0 Bridge Type Analysis & Selection Report														\$8.056	\$0	\$8,05
		0	2	24	0	0	- 0	0	0	0	24	6	\$8,056	\$0 \$0	\$8,056 \$8,056	\$0 \$0	\$8,056
0	Subtotal - Task 8 Project Strategy Meeting / Final Report	0		24		0	0	0	0		24	6	\$8,056	30	\$8,056	- \$01	\$8,036
	9.1 Strategy Meeting / Final Report													\$0	\$1,540	\$0	\$1,54
	9.1 Strategy Pleating 9.2 Finalize Strategy Reports	0	-1		0	- 0	-0	0	0	0	0	0	\$1,540 \$2,300	\$0 \$0	\$1,540	\$0	\$1,540
		0	0	4	-0	8	0	0	0	0	4					\$0	\$2,500
!_	Subtotal - Task 9	0	4		0	8	0 1	0	0	0	4	•	\$3,840	50	\$3,840	\$0	\$3,840

SHIELLS ROAD BRIDGE REPLACEMENT - STANISLAUS COUNTY FEE ESTIMATE

FEBRUARY 16, 2012

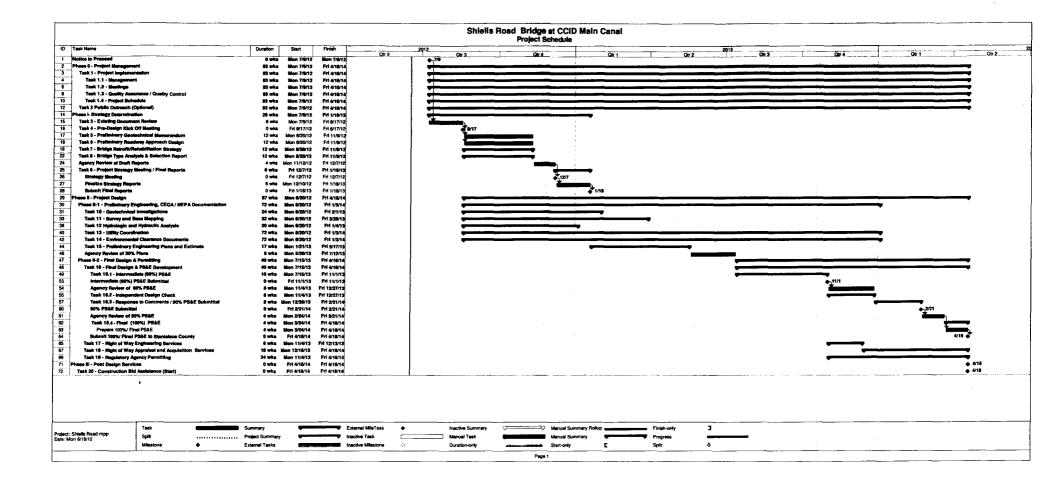
		,					T	,	~~~~							
	Principal	Project	Discipline	Senior	Associate	Assistant	Junior	Survey	Two	CADD	Project	Noite	Note			
	n n	Manager	Lead	Engineer	Engineer	Engineer	Staff	Manager	Man Crew	Tech	Administrator	Labor	Subconsultant Management	Note Total	Subconsultants	Total
TASK DESCRIPTION	Charge						Engineer		Crew		1	Fee	Fee	Fee	SUCCOMMENT	Fee
Phase II - Project Design	L		L	·	·	L				·	·					
Phase II-1 - Preliminary Engineering , NEPA / CEQA Docu	mentat	ion														
10 Geotechnical investigations		1	1		I		F	l	T							·
10.1 Permits / USA Clearance	0	<u> </u>	0	0	0	0	0	0	0	0	0	\$200	\$95	\$295	\$1,900	\$2,195
10.2 Field Exploration	0	 	0	0	0	0	0	0	0	0	0	\$200	\$295	\$495	\$5,900	\$6,395
10.3 Laboratory Testing	0	2	0.	0	0	0		0	0	0	0	\$400	\$215	\$615	\$4,300	\$4,915
10.4 Soil Analysis/Evaluation	0	2	0	0	0	0	0	0	0	0	0	\$400	\$230	\$630	\$4,600	\$5,230
I 0.5 Prepare Draft Foundation Report	0	- 2	0	0	0	0	0	0	0	0	0	\$400	\$125	\$525	\$2,500	\$3,025
I 0.6 Prepare Final Foundation Report	0	0	0	0	0	0	O	0	0	D	0	\$0	\$65	\$65	\$1,290	\$1,355
Subtotal - Task 10	0		0	0	0	0	0	0	0	0	0	\$1,600	\$1,025	\$2,625	\$20,490	\$23,115
II Survey and Base Mapping		1														
II.I Topographic Survey	0	0	0	0	20	0	0	16	35	0	0	\$14,298	\$0	\$19,298	\$0	\$19,298
. I 1.2 Right-of-Way Survey and Constraints Map	0	0	0	0	32	0	0	16	18	0	0	\$11,932	\$0	\$14,432	\$0	\$14,432
Subtotal - Task I I	0	0	0	0	52	0	0	32	53	0	0	\$26,230	\$0	\$33,730	\$0	\$33,730
12 Hydrologic and Hydraulic Analysis																
12.1 Establish Existing Conditions	0	0	0	В	16	32	4	0	0	D	o o	\$7,708	\$0	\$7,708	\$0	\$7,708
12.2 Analyze Flooding Impacts for Alternative Bridge Types	0	0	0	8	10	0	4	0	0	0	0	\$3,028	\$0	\$3,028	\$0	\$3,028
12.3 Bridge Hydraulic Report	0	0	0	16	0	8	16	0	0	0	8	\$5,824	\$0	\$5,824	\$0	\$5,824
12.4 Contract Plans	0	0	0	2	16	16	0	0	0	0	0	\$4,462	\$0	\$4,462	\$0	\$4,462
Subtotal - Task 12	. 0	0	0	34	42	56	24	0	0	0	8	\$21,022	\$0	\$21,022	\$0	\$21,022
13 Utility Coordination																
13.0 Utility Coordination	0	20	0	0	0	0	0	0	0	0	0	\$4,000	\$0	\$4,000	\$0	\$4,000
Subtotal - Task 13	0	20	0	0	0		0	0	0	0	0	\$4,000	\$0	\$4,000	\$0	\$4,000
14 Environmental Clearance Documents																
14.1 Environmental Project Management and Meetings	0	S	0	0	0	0	0	0	0	0	0	\$1,000	\$152	\$1,152	\$3,042	\$4,194
14.2 Preliminary Environmental Studies (PES) Form	0	5	0	0	0	0	0	0	0	0	0	\$1,000	\$158	\$1,1\$	\$3,154	\$4,312
14.3 Technical Studies	0	5	0	0	0	0	0	0	0	0	0	\$1,000	\$1,514	\$2,514	\$30,288	\$32,802
14.4 Initial Study / Mitigated Negative Declaration	0	5	0	0	0	0	0	0	0	0	0	\$1,000	\$905	\$1,905	\$18,097	\$20,002
Subtotal - Task 14	0	20	0	0	0	0	0	0	0	0	0	\$4,000	\$2,729	\$6,729	\$54,581	\$61,310
15 Preliminary Engineering, Plans and Estimate]															
! 1.0 30% Prefiminary Plans and Estimate	0	2	8	8	16	0	0	0	0	40	2	\$9,920	\$0	\$9,920	\$0	\$9,920
Subtotal - Task 15	0	2	8	8	16	0	0	0	0	40	2	\$9,920	\$0	\$9,920	\$0	\$9,920

SHIELLS ROAD BRIDGE REPLACEMENT - STANISLAUS COUNTY FEE ESTIMATE

FEBRUARY 16, 2012

Γ		Principal	Project	Discipline	Senior	Associate	Assistant	Junior	Survey	Two	CADD	Project	Noite	Noke			
		tn	Manager	Lead	Engineer	Engineer	Engineer	Staff	Manager	Man	Tech	Administrator	Labor	Subconsultant	Nolte		Total
		Charge			1			Engineer		Crew	ļ		_	Management	Total Fee	Subconsultants	Fee
TASK			L	L	<u> </u>	<u></u>	L	<u> </u>	<u> L</u>	Ь	<u> </u>		Fee	Fee	ree		100
	Phasell-2 - Final Design & Permitting						,			, 							
16	Final Design and PS&E Development				ļ			<u> </u>		ļ	ļ						
	16.1 intermediate (60%) PS&E					<u> </u>				ļ						•0	
	16.1.1 Bridge Design	0	0	16	0	60	0	0	0	0	0	8	\$12,126	\$0	\$12,128	\$0	\$12,12
	16.1.2 Roadway Design	00	2	8	4	22	0	0	0	0		00	\$6,444	\$0	\$6,114	\$0	\$6,44
	16.1.3 6096 Plans, Special Provisions, & Construction Cost Estimate Preparation	0	0	16	8	38	0	0	0	0	136	6	\$25,024	\$0	\$25,024	\$0	\$25,02
	16,1.4 Intermediate (60%) PS&E Submittal	0	0	8	4	12		0	0	0	16	6	\$6,100	\$0	\$6,100	\$0	\$6,10
	Subtotal - Task 16.1	0	2	48	16	132	0	0	0	0	160	20	\$49,696	\$0	\$49,696	\$0	
	16.2 Independent Design Check	0	0	4	40	0	0	0	0	0	0	00	\$6,780	\$0	\$6,780	\$0	\$6,78
	Subtotal - Task 16.2	0	0	4	40	0	0	0	0	0	0	0	\$6,780	\$0	\$6,780	\$0	\$6,78
	16.3 Response to Reviewer Comments / 9096 PS&E Submittal		_			1		1			Ī						
	16.3.1 Response to Review Comments / 90% PS&E Revisions	0	0	6	8	8	0	0	0	0	0	ı	\$3,534	\$0	\$3,534	\$0	\$3,53
	16.3.2 90% PS&E Submittal	0	0	6	2	8	0	0	0	0	36	5	\$6,972	\$0	\$6,972	\$0	
	Subtotal - Task 16.3	0	0	12	10	16	0	0	0	0	36	6	\$10,506	\$0	\$10,506	50	
	16.4 Final (100%) PS&E Submittal	0	0	6	8	8	0	0	0	0	16	2	\$5,390	\$0	\$5,390	\$0	
	Subtotal - Task 16.4	0	0	6	8		0	0	0	0	16	2	\$5,390	\$0	\$5,390	\$0	\$5,39
17	Right-of-Way Engineering Services					i		i -									
	17.0 Right-of-Way Engineering	0	0	4	0	0	12	0	16	0	16	2	\$7,300	\$0	\$7,300	\$0	\$7,30
	Subtotal - Task 17	0		4		0	12	0	16	0	16	2	\$7,300	\$0	\$7,300	\$0	\$7,30
18	Right-of-Way Appraisal and Acquisition Services	<u>-</u>		7		i —											
	Right-of-Way Appraisal and Acquisition Services		0		0	0	0	0	0	0	0	0	\$0	\$2,389	\$2,389	\$47,783	\$50,17
	Subtotal - Task 18					•			0			0	\$0	\$2,389	\$2,389	\$47,783	\$50,17
19	Regulatory Agency Permitting			J		 		- <u>-</u>		 _							
	19.0 Regulatory Agency Permitting	0	2	,		0	0	0	0	0	0	1	\$866	\$203	\$1,069	\$4,056	\$5,12
	Subtotal - Task 19	•		, -	. 0			<u>v</u>		-	0	,	\$866	\$203	\$1,069	\$4,056	\$5,12
1	Phase III - Final Design & Permitting					·			<u>, </u>	<u> </u>	· · · · ·	·	35551				
	Construction Bid Assistance	1	1			I			1		T						
~"	20.0 Construction Bid Assistance			16	16	0	0			0	-	0	\$6,976	\$0	\$6,976	\$0	\$6,9
		0		10	16	0	0	0	-	0	-	0	\$6,976	\$0	\$6,976	\$0	\$6,9
	Subtotal - Task 20 TOTALS	12	190	212	176	302	68	24	48	53	312	91	\$224,930	\$6,951	\$239,381	\$139,026	\$378,40

EXHIBIT D PROJECT SCHEDULE



STANISLAUS COUNTY

ADDENDUM TO THE PROFESSIONAL DESIGN SERVICES AGREEMENT FOR FEDERALLY FUNDED PROJECTS

Consultant:

Nolte Vertical Five

Project:

Shiells Road over C.C.I.D. Main Canal Bridge Replacement Project

Bridge No. 38C-180

Federal Project No. BRLO-5938 (192)

In addition to, and notwithstanding, the requirements set forth in the Professional Design Services Agreement, CONSULTANT agrees to the following:

1. SUBCONTRACTING

- A. The CONSULTANT shall perform the Services with resources available within its own organization; and no portion of the work pertinent to this agreement shall be subcontracted without written authorization by the COUNTY, except that, which is expressly identified in the approved Cost Proposal.
- B. Any subcontract in excess of \$25,000 entered into as a result of this agreement, shall contain all the provisions stipulated in this agreement to be applicable to subcontractors.
- C. Any substitution of subconcontractors must be approved in writing by the COUNTY.

2. REBATES, KICKBACKS OR OTHER UNLAWFUL CONSIDERATION (LOBBYING CLAUSE)

The CONSULTANT warrants that this agreement was not obtained or secured through rebates kickbacks or other unlawful consideration, either promised or paid to any COUNTY employee. For breach or violation of this warranty, COUNTY shall have the right in its discretion; to terminate the agreement without liability; to pay only for the value of the work actually performed; or to deduct from the agreement price; or otherwise recover the full amount of such rebate, kickback or other unlawful consideration.

3. DEBARMENT AND SUSPENSION CERTIFICATION

A. The CONSULTANT'S signature affixed herein, shall constitute a certification under penalty of perjury under the laws of the State of California, that the CONSULTANT has complied with Title 49, Code of Federal Regulations, Part 29, Debarment and Suspension Certificate, which certifies that he/she or any person associated therewith in the capacity of owner, partner, director, officer, or manager, is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency; has not been suspended, debarred, voluntarily excluded, or determined ineligible by any federal agency within the past three (3) years; does not have a proposed debarment pending; and has not been indicted, convicted, or

had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years. Any exceptions to this certification must be disclosed to the COUNTY.

B. Exceptions will not necessarily result in denial of recommendation for award, but will be considered in determining CONSULTANT responsibility. Disclosures must indicate to whom exceptions apply, initiating agency, and dates of action.

4. CONFLICT OF INTEREST

- A. The CONSULTANT shall disclose any financial, business, or other relationship with COUNTY that may have an impact upon the outcome of this agreement, or any ensuing COUNTY construction project. The CONSULTANT shall also list current clients who may have a financial interest in the outcome of this agreement, or any ensuing COUNTY construction project, which will follow.
- B. The CONSULTANT hereby certifies that it does not now have, nor shall it acquire any financial or business interest that would conflict with the performance of services under this agreement.
- C. Any subcontract in excess of \$25,000 entered into as a result of this agreement, shall contain all of the provisions of this Article.
- D. The CONSULTANT hereby certifies that neither CONSULTANT, nor any firm affiliated with the CONSULTANT will bid on any construction agreement, or on any agreement to provide construction inspection for any construction project resulting from this agreement. An affiliated firm is one, which is subject to the control of the same persons through joint-ownership, or otherwise.
- E. Except for subcontractors whose services are limited to providing surveying or materials testing information, no subcontractor who has provided design services in connection with this agreement shall be eligible to bid on any construction agreement, or on any agreement to provide construction inspection for any construction project resulting from this agreement.

5. SALARY ESCALATION

The CONSULTANT will be allowed a yearly escalation of 3% as shown in EXHIBIT C, dated September 8, 2011 and revised January 23, 2012.

6. EQUIPMENT PURCHASE

- A. Prior authorization, in writing, by the COUNTY shall be required before the CONSULTANT enters into any unbudgeted purchase order, or subcontract exceeding \$5,000 for supplies, equipment, or CONSULTANT services. The CONSULTANT shall provide an evaluation of the necessity or desirability of incurring such costs.
- B. For purchase of any item, service or consulting work not covered in the CONSULTANT'S Cost Proposal and exceeding \$5,000 prior authorization by the

COUNTY; three competitive quotations must be submitted with the request, or the absence of bidding must be adequately justified.

- C. Any equipment purchased as a result of this agreement is subject to the following: "The CONSULTANT shall maintain an inventory of all nonexpendable property. Nonexpendable property is defined as having a useful life of at least two years and an acquisition cost of \$5,000 or more. If the purchased equipment needs replacement and is sold or traded in, the COUNTY shall receive a proper refund or credit at the conclusion of the agreement, or if the agreement is terminated, the CONSULTANT may either keep the equipment and credit the COUNTY in an amount equal to its fair market value, or sell such equipment at the best price obtainable at a public or private sale, in accordance with established COUNTY procedures; and credit the COUNTY in an amount equal to the sales price. If the CONSULTANT elects to keep the equipment, fair market value shall be determined at the CONSULTANT'S expense, on the basis of a competent independent appraisal of such equipment. Appraisals shall be obtained from an appraiser mutually agreeable to by the COUNTY and the CONSULTANT, if it is determined to sell the equipment, the terms and conditions of such sale must be approved in advance by the COUNTY."
 - D. All subcontracts in excess \$25,000 shall contain the above provisions.

7. COST PRINCIPLES

- A. The CONSULTANT agrees that the Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., shall be used to determine the allowability of cost individual items.
- B. The CONSULTANT also agrees to comply with federal procedures in accordance with 49 CFR, Part 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.
- C. Any costs for which payment has been made to CONSULTANT that are determined by subsequent audit to be unallowable under 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., are subject to repayment by CONSULTANT to the COUNTY.

8. REIMBURSEMENT OF TRAVEL & SUBSISTENCE

COUNTY agrees to pay pre-approved travel and subsistence expenses per the County's Travel Policy.

9. RETENTION OF RECORDS/AUDIT

For the purpose of determining compliance with Public Contract Code 10115, et seq. and Title 21, California Code of Regulations, Chapter 21, Section 2500 et seq., when applicable and other matters connected with the performance of the contract pursuant to Government Code 8546.7; the CONSULTANT, subcontractors, and the COUNTY shall maintain all books, documents, papers, accounting records, and other evidence pertaining to the performance of the agreement, including but not limited to, the costs of administering the agreement. All parties shall make such materials available at

their respective offices at all reasonable times during the agreement period and for three years from the date of final payment under the agreement. The state, the State Auditor, COUNTY, FHWA, or any duly authorized representative of the federal government shall have access to any books, records, and documents of the CONSULTANT that are pertinent to the agreement for audit, examinations, excerpts, and transactions, and copies thereof shall be furnished if requested.

Subcontracts in excess of \$25,000 shall contain this provision.

10. PERFORMANCE PERIOD

- A. This agreement shall go into effect on July 17, 2012, contingent upon approval by the COUNTY, and the CONSULTANT shall commence work after notification to proceed by the COUNTY. The agreement shall end on July 17, 2014, unless extended by agreement amendment.
- B. The CONSULTANT is advised that any recommendation for agreement award is not binding on the COUNTY until the agreement is fully executed and approved by the COUNTY.

11. **TERMINATION**

The COUNTY reserves the right to terminate this agreement upon thirty (30)calendar days written notice to the CONSULTANT with the reasons for termination stated in the notice.

IN WITNESS WHEREOF, the parties hereto have caused this Addendum to be executed by and through their respective authorized officers:

COUNTY OF STANISLAUS

NOLTE VERTICAL FIVE

Matt Machado, Director

Department of Public Works

Bud Mullanix

Senior Vice President

APPROVED AS TO FORM:

John R. Doering

County Counsel

Thomas E. Boze

Deputy County Counsel