

THE BOARD OF SUPERVISORS OF THE COUNTY OF STANISLAUS
ACTION AGENDA SUMMARY

DEPT: Alliance Worknet

BOARD AGENDA # *B-11

Urgent

Routine

AGENDA DATE June 5, 2012

CEO Concurs with Recommendation YES NO
(Information Attached)

4/5 Vote Required YES NO

SUBJECT:

Approval to Receive and Expend Workforce Investment Act (WIA) Funds in Accordance with the Approved Stanislaus County Strategic Five-Year Local Plan and Contracted StanWORKS Funds as Authorized by the Director of the Community Services Agency in Program Year 2012-2013

STAFF RECOMMENDATIONS:

1. Authorize the Director of the Alliance Worknet or his designee to receive and expend Program Year 2012-2013 WIA funds in accordance with the approved Stanislaus County Strategic Five-Year Local Plan.
2. Authorize the Director of the Alliance Worknet to sign all WIA-related sub-grant agreements, and any modifications or adjustments as required by the State of California.
3. Authorize the Director of the Alliance Worknet or his designee to receive and expend Program Year 2012-2013 contracted StanWORKS funds as authorized by the Director of the Community Services Agency.
(Continued on Page 2)

FISCAL IMPACT:

Local WIA programs are funded from Federal and State sources. Stanislaus County will receive a total of \$6,920,136 in new WIA funding for the 2012-2013 Program Year. Stanislaus County Alliance Worknet will receive from the Community Services Agency a contracted total of \$5,308,376 in StanWorks funds. Approval of this item will have no impact on the County General Fund. The Recommended Proposed Budget for Fiscal Year 2012-2013 established the Alliance Worknet budget based on an estimate of WIA funding. The Alliance Worknet anticipates adjusting its budget during the Final Budget Addendum process
(Continued on Page 2)

BOARD ACTION AS FOLLOWS:

No. 2012-275

On motion of Supervisor Chiesa, Seconded by Supervisor Monteith
and approved by the following vote,

Ayes: Supervisors: Chiesa, Withrow, Monteith, De Martini, and Chairman O'Brien

Noes: Supervisors: None

Excused or Absent: Supervisors: None

Abstaining: Supervisor: None


1) Approved as recommended

2) Denied

3) Approved as amended

4) Other:

MOTION:



ATTEST: CHRISTINE FERRARO TALLMAN, Clerk

File No.

Approval to Receive and Expend Workforce Investment Act (WIA) Funds in Accordance with the Approved Stanislaus County Strategic Five-Year Local Plan and Contracted StanWORKS Funds as Authorized by the Director of the Community Services Agency in Program Year 2012-2013

STAFF RECOMMENDATIONS: (Continued)

4. Authorize the Director of the Alliance Worknet or his designee to enter into and sign agreements and contracts to expend Program Year 2012-2013 WIA funds and contracted StanWORKS Funds.

FISCAL IMPACT: (Continued)

to accurately reflect the County's actual WIA allocation based on the recent notification by the California Employment Development Department and after the StanWORKS Program Year 2012-2013 contract is finalized.

DISCUSSION:

Workforce Investment Act Formula Funds:

The Workforce Investment Division of the California Employment Development Department (EDD), the designated State Grant Manager of the Department of Labor's Workforce Investment Act (WIA) funding, has announced allocations to Local Workforce Investment Areas for Program Year (PY) 2012-2013. This year's allocation for Stanislaus County is \$6,920,136, a decrease of \$277,764 or approximately 4% in comparison to the prior year allocation. This decrease in funding is due to an allocation formula that drives funding to local areas with relatively higher increases in unemployment rates. Stanislaus County's unemployment rate as of April 2012 was 16.4%.

The Stanislaus County Board of Supervisors must annually authorize the receipt of WIA funds and designate the fund administrator. With approval of this recommendation, the Alliance Worknet will serve as fiduciary agent on behalf of the Stanislaus Economic Development and Workforce Alliance (Local Workforce Investment Board) and the Board of Supervisors in administrating these funds. The Alliance Worknet Director will administer the program based on Board direction and approval.

The Alliance Worknet provides employment services to over 15,780 Stanislaus County residents annually at the following four locations: 629 12th St., Modesto, 1405 West F St. #1, Oakdale, 125 N. Broadway, Turlock, 66 N. El Circulo Ave., Patterson. Services include access to job openings, résumé and application assistance, job search workshops, skill assessment, career planning and counseling, and job training. In addition, Alliance Worknet staff provides "Rapid Response" assistance to workers who are being laid off due to business closures and downsizing in the effort to quickly re-establish them in the workforce. To date, Alliance Worknet has offered Rapid Response assistance to 1,169 workers this fiscal year.

Approval to Receive and Expend Workforce Investment Act (WIA) Funds in Accordance with the Approved Stanislaus County Strategic Five-Year Local Plan and Contracted StanWORKS Funds as Authorized by the Director of the Community Services Agency in Program Year 2012-2013

Approval of staff's recommendation will allow the Alliance Worknet Director to enter into and sign contracts and expend 2012-2013 WIA funds in the general administration of the program. Individual contract amounts for the provision of these employment and training services are expected to exceed \$100,000. Contracts will be approved by the Local Workforce Investment Board and will follow the Board of Supervisors policies regarding the reporting of contracts and agreements.

Contracted StanWorks Funds:

Alliance Worknet has provided employment services to StanWORKS participants under contract with the Community Services Agency (CSA) for over 15 years. For the upcoming 2012-2013 Program Year, CSA is providing Alliance Worknet additional funding targeted for subcontracts with local community based organizations (CBOs) to provide ancillary services necessary for these clients to obtain employment.

With approval of this recommendation, the Alliance Worknet will serve as fiduciary agent on behalf of the Stanislaus County Community Services Agency and the Board of Supervisors in administrating these funds. The Alliance Worknet Director will administer the program based on Board direction and approval.

Approval of staff's recommendation will allow the Alliance Worknet Director to enter into, sign contracts and expend 2012-2013 contracted StanWORKS funds with local CBOs. Individual contract amounts for the provision of these ancillary employment and training services are expected to exceed \$100,000 and will follow the Board of Supervisors policies regarding the reporting of contracts and agreements.

POLICY ISSUE:

The recommended action supports the Board of Supervisors' goals and priorities as follows:

A Strong Local Economy

WIA funds are invested in workforce development in coordination with economic development through the Alliance Board. This represents a unique approach to improving economic conditions in Stanislaus County

Efficient Delivery of Public Services

Approval of the recommendations allows for contracts for employment and training services to be implemented expeditiously in response to the needs of employers and job seekers alike. Approval of the recommendations also allows for the continued operation of the Alliance Worknet One-Stop Resource Centers which provide employment services to Stanislaus County residents.

Approval to Receive and Expend Workforce Investment Act (WIA) Funds in Accordance with the Approved Stanislaus County Strategic Five-Year Local Plan and Contracted StanWORKS Funds as Authorized by the Director of the Community Services Agency in Program Year 2012-2013

Effective Partnerships

Approval of the recommended action allows the Alliance Worknet to deliver employment and training services in cooperation with the following community partners:

The Stanislaus County Community Services Agency, the Employment Development Department, the Stanislaus Economic Development and Workforce Alliance, Modesto Junior College, California Department of Rehabilitation, Central Valley Opportunity Center, Area Agency on Aging, the Stanislaus Literacy Center, and the Stanislaus County Office of Education.

STAFFING IMPACT:

There are no additional staffing impacts associated with this item. Funding provided through the Workforce Investment Act and Community Services Agency support staffing levels as contained in the Recommended Proposed Budget for Fiscal Year 2012-2013.

CONTACT PERSON:

Jeff Rowe, Alliance Worknet Director. Telephone: 209-558-2113.

INFORMATION NOTICE

WORKFORCE SERVICES

Number: WSIN11-48

Date: April 5, 2012

Expiration Date: 5/5/14

69:167:df:15460

TO: WORKFORCE DEVELOPMENT COMMUNITY

SUBJECT: WIA FORMULA ALLOCATIONS—PY 2012-13

The purpose of this Information Notice is to announce the release of Workforce Investment Act (WIA) formula fund allocations for each Local Workforce Investment Area (LWIA) for the Adult, Youth, and Dislocated Worker (DW) funding streams for Program Year (PY) 2012-13. These allocations are based on the allotments issued by the U.S. Department of Labor (DOL) to the states (refer to DOL Training and Employment Guidance Letter [TEGL] 19-11 dated March 16, 2012).

Please note that this notice is being issued for LWIA planning and budgeting purposes only because the state has not yet received the federal notice of obligations (NOO's) for any of the funding streams. Once the NOO's have been received, Youth allocations will be released to LWIAs immediately. The Adult and Dislocated Worker allocations will be released as soon as we receive federal and state spending authority for these funds.

As in previous years, the allocations for the Adult and Youth funding streams were calculated using the "hold-harmless" factor. Additionally, as approved by the California Workforce Investment Board on November 30, 2006, the DW funding now contains a "hold-harmless" factor. This hold-harmless provision for DW helps mitigate the year-to-year volatility by ensuring each LWIA receives no less than 90 percent of their average percentage share from the prior two years. The LWIAs that receive more than 100 percent of their average percentage share from the prior two years were proportionately reduced by an amount to total funding necessary for those LWIAs that were below the 90 percent threshold.

Additionally, the DW allocation formula contains the following four factors and their weights:

- Long-Term Unemployment Insurance (UI) claims—weighted at 40 percent
- Mid-Term UI Claims—weighted at 30 percent
- Short-Term UI Claims—weighted at 10 percent
- Long-Term Civilian Unemployment—weighted at 20 percent

The allotments to California and the amounts available for formula allocation to the LWIAs are listed below. Attachment 1 reflects the total amounts each LWIA will receive

EDD is an equal opportunity employer/program. Auxiliary aids and services are available upon request to individuals with disabilities. Special requests for services, aids, and/or special formats need to be made by calling (916) 654-8055 (Voice). TTY users, please call the California Relay Service at 711.

for each of the funding streams during the PY 2012-13. Additionally, Attachment 2 provides a breakdown of how each of the three funding streams is allocated between formula and Governor's Discretionary amounts.

<u>PY 2012-13</u>	<u>Total Allotment</u>	<u>Amount Available for Formula Allocation</u>
Adult Program (Title I)	\$120,000,208	\$ 114,000,198
Youth Program (Title I)	\$123,857,750	\$117,664,863
Dislocated Worker Program (Title I)	\$167,279,720	\$117,095,804

Youth allotments to the states will be issued in one sum with an April 1, 2012, effective date under grant code 301.

If you have any questions about this notice, please contact Viviana Neet at Viviana.Neet@edd.ca.gov or by phone (916) 653-9150.

/S/ MICHAEL EVASHENK, Chief
Workforce Services Division

Attachments

	Youth	Adult	Dislocated Worker
Alameda	\$2,240,539	\$2,085,869	\$3,226,430
Anaheim City	\$1,011,855	\$1,034,338	\$947,813
Contra Costa	\$1,981,803	\$1,949,913	\$2,688,211
Foothill	\$635,317	\$670,120	\$670,234
Fresno	\$4,822,932	\$4,503,506	\$4,162,281
Golden Sierra	\$1,253,992	\$1,228,430	\$1,666,778
Humboldt	\$428,604	\$408,083	\$358,202
Imperial	\$1,658,145	\$1,603,736	\$1,831,000
Kern, Inyo, Mono	\$3,513,286	\$3,404,694	\$3,370,790
Kings	\$670,028	\$653,588	\$583,071
Los Angeles City	\$15,444,183	\$15,478,219	\$11,245,762
Los Angeles	\$12,097,961	\$11,764,844	\$10,552,026
Madera	\$590,458	\$620,044	\$580,828
Marin	\$406,122	\$506,753	\$521,536
Mendocino	\$263,400	\$259,489	\$263,130
Merced	\$1,357,060	\$1,275,420	\$1,252,556
Monterey	\$1,726,085	\$1,684,911	\$1,950,439
Mother Lode	\$524,509	\$491,922	\$558,343
Napa-Lake	\$531,122	\$540,400	\$603,497
NoRTEC	\$2,655,587	\$2,516,580	\$2,622,669
NCC	\$1,206,476	\$1,175,363	\$1,138,988
NOVA	\$936,007	\$917,653	\$1,307,257
Oakland City	\$1,785,300	\$1,812,347	\$1,406,247
Orange	\$4,425,962	\$4,292,718	\$5,828,669
Pacific Gateway	\$2,207,416	\$2,118,910	\$1,778,850
Richmond City	\$469,137	\$475,485	\$355,609
Riverside	\$6,416,984	\$6,296,897	\$7,156,716
Sacramento	\$4,190,017	\$4,084,030	\$4,655,551
San Benito	\$212,412	\$201,388	\$260,046
San Bernardino City	\$957,538	\$919,755	\$672,682
San Bernardino	\$5,264,544	\$5,212,396	\$5,623,150
San Diego	\$8,227,604	\$7,721,004	\$8,655,051
San Francisco	\$1,826,032	\$2,047,635	\$2,406,007
San Joaquin	\$2,881,365	\$2,655,418	\$2,909,975
San Jose - Silicon Valley	\$3,317,975	\$3,104,611	\$3,786,866
San Luis Obispo	\$873,452	\$643,849	\$657,812
San Mateo	\$1,262,256	\$1,287,516	\$1,714,141
Santa Ana City	\$1,416,092	\$1,372,608	\$901,162
Santa Barbara	\$1,446,438	\$1,019,941	\$1,020,500
Santa Cruz	\$1,044,889	\$875,346	\$1,055,366
SELACO	\$1,139,733	\$1,131,608	\$1,252,689
Solano	\$1,127,334	\$1,185,101	\$1,413,686
Sonoma	\$1,169,347	\$1,116,555	\$1,437,278
South Bay	\$1,534,794	\$1,604,402	\$1,524,088
Stanislaus	\$2,342,444	\$2,274,499	\$2,303,193
Tulare	\$2,434,919	\$2,284,255	\$2,183,889
Ventura	\$2,088,170	\$1,992,164	\$2,511,395
Verdugo	\$808,318	\$898,154	\$880,568
Yolo	\$838,920	\$597,731	\$642,777
TOTAL	\$117,664,863	\$114,000,198	\$117,095,804

**Workforce Investment Act (WIA) Funding
State Fiscal Year (SFY) 2012-13**

ATTACHMENT 2

Funding Stream	Round 1	Share	Round 2	Share	Total
Youth Total	\$ 123,857,750		\$ -		\$ 123,857,750
Formula	\$ 117,664,863	95%	\$ -	95%	\$ 117,664,863
Governor's Discretionary	\$ 6,192,887	5%	\$ -	5%	\$ 6,192,887
Adult Total	\$ 9,155,671		\$ 110,844,537		\$ 120,000,208
Formula	\$ 8,697,888	95%	\$ 105,302,310	95%	\$ 114,000,198
Governor's Discretionary	\$ 457,783	5%	\$ 5,542,227	5%	\$ 6,000,010
Dislocated Worker Total	\$ 24,582,353		\$ 142,697,367		\$ 167,279,720
Formula	\$ 17,207,648	70%	\$ 99,888,156	70%	\$ 117,095,804
Rapid Response	\$ 6,145,588	25%	\$ 35,674,342	25%	\$ 41,819,930
Governor's Discretionary	\$ 1,229,117	5%	\$ 7,134,869	5%	\$ 8,363,986
Total WIA Funds	\$ 157,595,774		\$ 253,541,904		\$ 411,137,678
Formula	\$ 143,570,399		\$ 205,190,466		\$ 348,760,865
Rapid Response	\$ 6,145,588		\$ 35,674,342		\$ 41,819,930
Governor's Discretionary	\$ 7,879,787		\$ 12,677,096		\$ 20,556,883

* SFY 2012-13 WIA allotments based on TEGL 19-11, dated March 16, 2012.



Edmund G. Brown Jr.
Governor

June 06, 2012

Mr. Jeffrey Rowe, Director
Stanislaus County Alliance Network
251 E. Hackett Road, C-2
Modesto, CA 95358

Dear Mr. Rowe:

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT K386334

Enclosed is a copy of your new WIA Subgrant Agreement. This new agreement initiates the Program Year (PY) 2012-13 Workforce Investment Act subgrant and incorporates the WIA Youth Formula funding under grant code 301. The term of this agreement is from 04/01/2012 to 06/30/2014.

If you have any questions, please contact your Regional Advisor.

Sincerely,

MICHAEL EVASHENK
Chief
Workforce Services Division

Enclosure

cc: Mr. Dick Monteith, Chairman
Regional Advisor
Julie Martin, FMU

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K386334
 MODIFICATION NO: NEW
 SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
 Employment Development Dept.
 Workforce Services Division
 P.O. Box 826880, MIC 69
 Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
 251 E. HACKETT ROAD C-2
 MODESTO, CA 95358
 GOVERNMENTAL ENTITY: YES

-KS
WIA 5/29/12

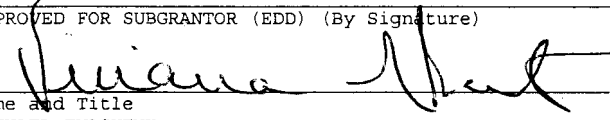
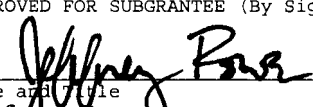

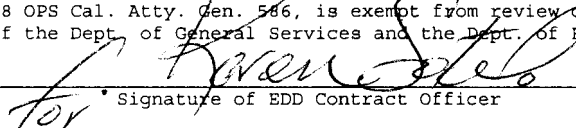
This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart	Exhibit AA, pages 1 through 1
General Provisions and standards of Conduct	Exhibit BB, pages 1 through 14
Title I-Y (WIA TITLE I YOUTH FORMULA)	Exhibit DD, pages 1 through 1

ALLOCATION(s): The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter "TOTAL":	PRIOR AMOUNT: \$0.00 INCREASE/DECREASE: \$2,342,444.00 TOTAL: \$2,342,444.00 ✓
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TERMS OF AGREEMENT: From 04/01/2012 to 06/30/2014	Terms of Exhibits are as designated on each exhibit
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PURPOSE: To initiate the Program Year (PY) 2012-13 WIA subgrant and incorporate WIA Youth formula funding under grant code 301. Term of these funds are from 04-01-12 to 06-30-14.

APPROVED FOR SUBGRANTOR (EDD) (By Signature) 	APPROVED FOR SUBGRANTEE (By Signature) 
Name and Title MICHAEL EVASHENK CHIEF WORKFORCE SERVICES DIVISION	Name and Title Jeffrey Rowe Director Alliance Worknet
I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein: 	This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California, and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance: 
Signature of EDD Accounting Officer	Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: NEW

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-Y: YOUTH				
9610/3 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 ✓ Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$0.00	\$2,342,444.00 ✓	\$0.00	\$2,342,444.00
TOTAL TITLE I-Y	\$0.00	\$2,342,444.00	\$0.00	\$2,342,444.00
GRAND TOTAL:	\$0.00	\$2,342,444.00	\$0.00	\$2,342,444.00

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 00

EXHIBIT DD
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA TITLE I YOUTH FORMULA 301

TERM OF THESE FUNDS: 04/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this action is to initiate this Local Workforce Investment Area's (LWIA) new Program Year (PY) 2012-13 Workforce Investment Act (WIA) Title I subgrant agreement and to incorporate WIA Youth formula funding into Grant Code (GC) 301. The amount in GC 301 represents this LWIA's entire youth formula allocation for PY 2012-13. The term dates for these funds is April 1, 2012 to June 30, 2014.

The LWIA will operate the WIA program in accordance with the approved Workforce Investment Plan on file in the Workforce Services Division of the Employment Development Department, P.O. Box 826880, MIC 50, Sacramento, CA 92480-0001.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

1. Compliance

In performance of this subgrant agreement, Subgrantee will fully comply with:

- a). The provisions of the Workforce Investment Act (WIA) and all regulations, legislation, directives, policies, procedures and amendments issued pursuant thereto.
- b). All State legislation and regulations to the extent permitted by federal law and all policies, directives and/or procedures, which implement the WIA.
- c). The provisions of Public Law 107-288, Jobs for Veterans Act, as the law applies to Department of Labor (DOL) job training programs
- d). Subgrantee will ensure diligence in managing programs under this subgrant agreement, including performing appropriate monitoring activities and taking prompt corrective action against known violations of the WIA. Subgrantee agrees to conform to the provisions of the WIA and the contract requirements as referenced in 29 CFR Part 95, Appendix A and 29 CFR, Part 97.36(i)(1-13).

This subgrant agreement contains the entire agreement of the parties and supersedes all negotiations, verbal or otherwise and any other agreement between the parties hereto. This subgrant agreement is not intended to and will not be construed to create the relationship of agent, servant, employee, partnership, joint venture or association between the Subgrantor and the Subgrantee. Subgrantee represents and warrants it is free to enter into and fully perform this subgrant agreement.

2. Certification/Assurances

Except as otherwise indicated, the following certifications apply to all Subgrantee's.

- a). Corporate Registration: The Subgrantee, if it is a corporation, certifies it is registered with the Secretary of State of the State of California.
- b). The Subgrantee agrees to comply with the Americans with Disabilities Act (ADA) of 1990, which, prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to ADA. (42 U.S.C.12101 et seq.
- c). Sectarian Activities: The Subgrantee certifies that this subgrant agreement does not provide for the advancement or aid to any religious sect, church or creed, or sectarian purpose nor does it help to support or sustain any school, college, university, hospital or other institution controlled by any religious creed, church, or sectarian denomination whatsoever, as specified by Article XVI, Section 5, of the Constitution, regarding separation of church and state.
- d). National Labor Relations Board: The Subgrantee (if not a public entity), by signing this subgrant agreement, does swear under penalty of perjury, that no more than one final unappeasable finding of contempt of court by a federal court has been issued against the Subgrantee within the immediately preceding two-year period because of Subgrantee's failure to comply with an order of a federal court, which orders the Subgrantee to comply with an order of the National Labor Relations Board (PCC10296).
- e). Prior Findings: Subgrantee, by signing this subgrant agreement, does swear under penalty of perjury, that it has NOT failed to satisfy any major condition in a current or previous subgrant agreement with the DOL or the State of California and has not failed to satisfy conditions relating to the resolution of a final finding and determination, including repayment of debts.
- f). Drug-Free Workplace Certification: By signing this subgrant agreement the Subgrantee hereby certifies under penalty of perjury under the laws of the State of California that the Subgrantee will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
 - (1). Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
 - (2). Establish a Drug-Free Awareness Program as required to inform employees about:
 - the dangers of drug abuse in the workplace;
 - the person's or organization's policy of maintaining a drug-free workplace;
 - any available counseling, rehabilitation and employee assistance programs; and,
 - penalties that may be imposed upon employees for drug abuse violations.
 - (3). Every employee who works on this subgrant agreement will:
 - receive a copy of the company's drug-free policy statement; and,
 - agree to abide by the terms of the company's statement as a condition of employment on the subgrant/contract.
- g). Child Support Compliance Act: In accordance with the Child Support Compliance Act, the

Subgrantee recognizes and acknowledges:

- (1). The importance of child and family support obligations and shall fully comply with applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with Section 5200) of Part 5 of Division 9 of the Family Code; and that to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Employee Registry maintained by the California Employment Development Department (EDD).
- h). Debarment and Suspension Certification: By signing this subgrant agreement, the Subgrantee hereby certifies under penalty of perjury under the laws of the State of California that the Subgrantee will comply with regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98.510, that the prospective participant (i.e., grantee), to the best of its knowledge and belief, that it and its principals:
 - (1). Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.
 - (2). Have not within a three-year period preceding this subgrant agreement been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction, violation of federal or state antitrust statutes, or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property.
 - (3). Are not presently indicted for or otherwise criminally or civilly charged by a government entity (federal, state or local) with commission of any of the offenses enumerated in Section 2 of this certification.
 - (4). Have not within a three year period preceding this subgrant agreement had one or more public transactions (federal, state or local) terminated for cause of default.

Where the Subgrantee is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this agreement.

- i). Lobbying Restrictions: By signing this subgrant agreement the Subgrantee hereby assures and certifies to the lobbying restrictions which are codified in the DOL regulations at 29 CFR Part 93.
 - (1). No federal appropriated funds have been paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, in connection with this federal contract, grant loan, or cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
 - (2). If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress, in connection with this subgrant agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions.
 - (3). The undersigned shall require that the language of the lobbying restrictions be included in the award documents for subgrant agreement transactions over \$100,000 (per OMB) at all tiers (including subgrant agreements, contracts and subcontracts, under grants, loan, or cooperative agreements), and that all subrecipients shall certify and disclose accordingly.
 - (4). This certification is a material representation of fact upon which reliance is placed when this transaction is executed. Submission of the Lobbying Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, and U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.

j). Priority Hiring Considerations:

If this subgrant includes services in excess of \$200,000, the Subgrantee shall give priority consideration in filling vacancies in positions funded by the subgrant to qualified recipients of aid under Welfare and Institutions Section Code 11200 in accordance with Public Contract Code 10353.

k). Sweatfree Code of Conduct:

- 1). All Subgrantees contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other

than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The Subgrantee further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

- 2). The Subgrantee agrees to cooperate fully in providing reasonable access to the subgrantees' records, documents, agents or employees, or premises if reasonably required by authorized officials of the Subgrantor, the Department of Industrial Relations, or the Department of Justice to determine the subgrantees' compliance with the requirements under paragraph a of the Sweatfree Code of Conduct.
- 1). Unenforceable Provision: In the event that any provision of this subgrant agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this subgrant agreement have force and effect and shall not be affected hereby.

m). Nondiscrimination Clause

- 1). The conduct of the parties to this subgrant agreement will be in accordance with Title VI of the Civil Rights Act of 1964, and the Rules and Regulations promulgated there under and the provisions of WIA, Section 188.

- (a). As a condition to the award of financial assistance from the Department of Labor under Title I of WIA, the grant applicant assures that it will comply fully with the nondiscrimination and equal opportunity provisions of the following laws:

Section 188 of the Workforce Investment Act of 1998 (WIA), which prohibits discrimination against all individuals in the United States on the basis of race, color, religion, sex, national origin, age, disability, political affiliation or belief, and against beneficiaries on the basis of either citizenship/status as a lawfully admitted immigrant authorized to work in the United States or participation in any WIA Title I - financially assisted program or activity;

Title VI of the Civil Rights Act of 1964, as amended, which prohibits discrimination on the basis of race, color, and national origin;

Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits discrimination against qualified individuals with disabilities;

The Age Discrimination Act of 1975, as amended, which prohibits discrimination on the basis of age; and

Title IX of the Education Amendments of 1972, as amended, which prohibits discrimination on the basis of sex in educational programs.

The grant applicant also assures that it will comply with 29 CFR part 37 and all other regulations implementing the laws listed above. This assurance applies to the grant applicant's operation of the WIA Title I-financially assisted program or activity, and to all agreements that grant applicant makes to carry out the WIA Title I-financially assisted program or activity. The grant applicant understands that the United States has the right to seek judicial enforcement of this assurance.

- (b). This Subgrantee shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the subgrant agreement.
- (c). This Subgrantee agrees to conform to nondiscrimination provisions of the WIA and other federal nondiscrimination requirements referenced in 29 CFR, Part 37.

n). Indemnification:

- 1). The following provision applies only if the Subgrantee is a governmental entity:

Pursuant to the provision of Section 895.4 of the California Government Code, each party agrees to indemnify and hold the other party harmless from all liability for damage to persons or property arising out of or resulting from acts or omissions of the indemnifying party.

- 2). The following provision applies only if the Subgrantee is a non-governmental entity:

The Subgrantee agrees to the extent permitted by law, to indemnify, defend and save harmless the Subgrantor, its officers, agents and employees from any and all claims and

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losses accruing or resulting to any and all contractors, subcontractors, materials persons, laborers and any other persons, firms or corporations, furnishing or supplying work, services, materials, or supplies in connection with the performance of this agreement, and from any and all claims and losses accruing or resulting to any persons, firms or corporations which may be injured or damaged by the Subgrantee in the performance of this subgrant agreement.

Failure to comply with all requirements of the certifications in Section 2 may result in suspension of payment under this subgrant agreement or termination of this subgrant agreement or both, and the Subgrantee may be ineligible for award of future state subgrant agreements/contracts if the department determines that any of the following has occurred: (1) false information on the certifications, or (2) violation of the terms of the certifications by failing to carry out the requirements as noted above.

o). Salary and Bonus Limitations:

In compliance with Public Law 109-234, none of the funds appropriated in Public Law 109-149 or prior Acts under the heading "Employment and Training" that are available for expenditure on or after June 15, 2006, shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II, except as provided for under section 101 of Public Law 109-149. This limitation shall not apply to vendors providing goods and services as defined in OMB Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the States, the compensation levels for programs involved including Employment and Training Administration programs. See Training and Employment Guidance Letter number 5-06 for further clarification.

The incurrence of costs and receiving reimbursement for these costs under this award certifies that your organization has read the above special condition and is in compliance.

p). Clean Air and Water Act:

For subgrants in excess of \$100,000, compliance with all applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 U.S.C. 1857 {h}); Section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and the U.S. Environmental Protection Agency regulations (40 CFR 15, revised as of July 1, 1989).

3. Standards of Conduct

The following standards apply to all Subgrantees.

- a). General Assurance: Every reasonable course of action will be taken by the Subgrantee in order to maintain the integrity of this expenditure of public funds and to avoid favoritism and questionable or improper conduct. This subgrant agreement will be administered in an impartial manner, free from efforts to gain personal, financial or political gain. Subgrantee agrees to conform to the nondiscrimination requirements as referenced in WIA, Section 188.
- b). Avoidance of Conflict of Economic Interest: An executive or employee of the Subgrantee, an elected official in the area or a member of the Local Board, will not solicit or accept money or any other consideration from a third person, for the performance of an act reimbursed in whole or part by the Subgrantee or Subgrantor: Supplies, materials, equipment or services purchased with subgrant agreement funds will be used solely for purposes allowed under this subgrant agreement. No member of the Local Board will cast a vote on the provision of services by that member (or any organization, which that member represents) or vote on any matter which would provide direct financial benefit to that member (or immediate family of the member) or any business or organization which the member directly represents.

4. Coordination

Subgrantee will, to the maximum extent feasible, coordinate all programs and activities supported under this part with other programs under the WIA, including the Wagner-Peyser Act, Title 38 of the United States Code, and other employment and training programs at the state and local level.

Subgrantee will consult with the appropriate labor organizations and/or employer representatives in the design, operation or modification of the programs under this subgrant agreement.

5. Subcontracting

- a). Any of the work or services specified in this subgrant agreement which will be performed by other than by the Subgrantee will be evidenced by a written agreement specifying the terms and conditions of such performance.
- b). The Subgrantee will maintain and adhere to an appropriate system, consistent with federal,

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state and local law, for the award and monitoring of contracts which contain acceptable standards for insuring accountability.

- c). The system for awarding contracts will contain safeguards to insure that the Subgrantee does not contract with any entity whose officers have been convicted of fraud or misappropriation of funds within the last two years.

6. Insurance

Except for city and county governmental entities, Subgrantees must provide the Subgrantor evidence of the coverage specified in a, b, c and d below. The evidence of coverage shall include the registration number of the subgrant agreement for identification purposes.

- a). Subgrantee will obtain a fidelity bond in an amount of not less than _____, prior to the receipt of funds under this subgrant agreement. If the bond is canceled or reduced, Subgrantee will immediately so notify the Subgrantor. In the event the bond is canceled or revised, the Subgrantor will make no further disbursements until it is assured that adequate coverage has been obtained.
- b). Subgrantee will provide general liability insurance with a combined limit of \$1,000,000 or public liability and property damage coverage with a combined limit of not less than \$1,000,000.
- c). Subgrantee will provide broad form automobile liability coverage with limits as set forth in (b) above, which applies to both owned/leased and non-owned automobiles used by the Subgrantee or its agents in performance of this subgrant agreement, or, in the event that the Subgrantee will not utilize owned/leased automobiles but intends to require employees, trainees or other agents to utilize their own automobiles in performance of this subgrant agreement, Subgrantee will secure and maintain on file from all such employees, trainees or agents a self-certification of automobile insurance coverage.
- d). Subgrantee will provide Worker's Compensation Insurance, which complies with provisions of the California Labor Code, covering all employees of the Subgrantee and all participants enrolled in work experience programs. Medical and Accident Insurance will be carried for those participants not qualifying as "employee" (Section 3350, et seq. of the California Labor Code) for Worker's Compensation.
- e). The Subgrantor will be named as "Certificate Holder" of policies secured in compliance with paragraphs a-d above and will be provided certificates of insurance or insurance company "binders" prior to any disbursement of funds under this subgrant agreement, verifying the insurance requirements have been complied with. The coverage noted in b and c above must contain the following clauses:

- (1). Insurance coverage will not be canceled or changed unless 30 days prior to the effective date of cancellation or change written notice is sent by the Subgrantee to:

Employment Development Department
WIA - Financial Management Unit
P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001

- (2). State of California, its officers, agents, employees and servants are included as additional insured, but only insofar as the operations under this subgrant agreement are concerned.
- (3). The State of California is not responsible for payment of premiums or assessments on this policy

7. Resolution

A county, city, district or other local public body must provide the state with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of this subgrant agreement. Preferably resolutions should authorize a designated position rather than a named individual.

8. Funding

It is mutually understood between the parties that this subgrant agreement may have been written before ascertaining the availability of congressional and legislative appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays which would occur if the subgrant agreement was executed after that determination was made.

This subgrant agreement is valid and enforceable only if (1) sufficient funds are made available by the State Budget Act of the appropriate state fiscal years covered by this subgrant agreement for the purposes of this program and; (2) sufficient funds available to the state by the United States Government for the fiscal years covered by this subgrant agreement for the purposes of this program. In addition, this subgrant agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress and Legislature or any statute enacted by the

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Congress and Legislature which may affect the provisions, terms, or funding of this subgrant agreement in any manner.

- a). At the expiration of the terms of this subgrant agreement or upon termination prior to the expiration of this subgrant agreement, funds not obligated for the purpose of this subgrant agreement will be immediately remitted to the Subgrantor, and no longer available to the Subgrantee.
- b). The Subgrantor retains the right to suspend financial assistance, in whole or in part, to protect the integrity of the funds or to ensure proper operation of the program, providing the Subgrantee is given prompt notice and the opportunity for an informal review of the Subgrantor's decision. The Chief Deputy Director or his designee will perform this informal review and will issue the final administrative decision within 60 days of receiving the written request for review. Failure on the part of the Subgrantee or a Subcontractor of the Subgrantee to comply with the provisions of this subgrant agreement, or with the WIA or regulations, when such failure involves fraud or misappropriation of funds, may result in immediate withholding of funds.
- c). The local Chief Elected Official (CEO) of a unit of general local government designated as a Local Workforce Investment Area (LWIA) shall be liable to the EDD for all funds not expended in accordance with WIA, and shall return to the EDD all of those funds. If there is more than one unit of general local government in a LWIA, the CEO(s) will be the individual(s) designated under an agreement executed by the CEO(s) of the local units of government. The CEO(s) designated under the agreement shall be liable to the EDD for all funds not expended in accordance with the WIA, and shall return to the EDD all of those funds.

9. Accounting and Cash Management

- a). Subgrantee will comply with controls, record keeping and fund accounting procedure requirements of WIA, federal and state regulations and directives to ensure the proper disbursement of, and accounting for, program funds paid to the Subgrantee and disbursed by the Subgrantee, under this subgrant agreement.
- b). Subgrantee will submit requests for cash to coincide with immediate cash needs and assure that no excess cash is on deposit in their accounts or the accounts of any sub-contracting service provider in accordance with procedures established by the Subgrantor. Failure to adhere to these provisions may result in suspending cash draw down privileges and providing funds through a reimbursement process.
- c). The Subgrantor retains the authority to adjust specific amounts of cash requested if the Subgrantor's records and subsequent verification with the Subgrantee indicate that the Subgrantee has an excessive amount of cash in its account.
- d). Income (including interest income) generated as a result of the receipt of WIA activities, will be utilized in accordance with policy and procedures established by the Subgrantor. Subgrantee will account for any such generated income separately.
- e). Subgrantee shall not be required to maintain a separate bank account but shall separately account for WIA funds on deposit. All funding under this subgrant agreement, will be made by check or wire transfer payable to the Subgrantee for deposit in Subgrantee's bank account or city and county governmental bank accounts. To provide for the necessary and proper internal controls, funds should be withdrawn and disbursed by no less than two representatives of the Subgrantee. The Subgrantor will have a lien upon any balance of WIA funds in these accounts, which will take priority over all other liens or claims.

10. Amendments

This subgrant agreement may be unilaterally modified by the Subgrantor under the following circumstances:

- a). There is an increase or decrease in federal or state funding levels.
- b). A modification to the Subgrant is required in order to implement an adjustment to a Subgrantee's plan.
- c). Funds awarded to the Subgrantee have not been expended in accordance with the schedule included in the approved Subgrantee's plan. After consultation with the Subgrantee, the Subgrantor has determined that funds will not be spent in a timely manner, and such funds are for that reason to the extent permitted by and in a manner consistent with state and federal law, regulations and policies, reverting to the Subgrantor.
- d). There is a change in state and federal law or regulation requiring a change in the provisions of this subgrant agreement.
- e). An amendment is required to change the Subgrantees' name as listed on this subgrant agreement. Upon receipt of legal documentation of the name change the state will process the amendment. Payment of invoices presented with a new name cannot be paid prior to

approval of said amendment.

Except as provided above, this subgrant agreement may be amended only in writing by the mutual agreement of both parties.

11. Reporting

Subgrantee will compile and submit reports of activities, expenditures, status of cash and closeout information by the specified dates as prescribed by the Subgrantor. All expenditure reports must be submitted upon the accrual basis of accounting. Failure to adhere to the reporting requirements of this agreement will result in funds not being released.

12. Termination

This subgrant agreement may be terminated in whole or in part for either of the two following circumstances:

- a). Termination for Convenience - Either the Subgrantor or the Subgrantee may request a termination, in whole or in part, for convenience. The Subgrantee will give a ninety- (90) calendar-day advance notice in writing to the Subgrantor. The Subgrantor will give a ninety (90) calendar-day advance notice in writing to the Subgrantee.
- b). Termination for Cause - The Subgrantor may terminate this subgrant agreement in whole or in part when it has determined that the Subgrantee has substantially violated a specific provision of the WIA regulations or implementing state legislation and corrective action has not been taken.
 - (1). All notices of termination must be in writing and be delivered personally or by deposit in the U. S. Mail, postage prepaid, "Certified Mail-Return Receipt Requested", and will be deemed to have been given at the time of personal delivery or of the date of postmark by the U. S. Postal Service.

Notices to the Subgrantee will be addressed to:

Jeff Rowe
Director
PO Box 3389
251 E. Hackett Rd, C-2
Modesto, CA 95353-3389

Notices to the Subgrantor will be addressed to:

Employment Development Department
Workforce Services Division
Financial Management Unit
P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001

13. Records

- a). If participants are served under this subgrant agreement, the Subgrantee will establish a participant data system as prescribed by the Subgrantor.
- b). Subgrantee will retain all records pertinent to this subgrant agreement for a period of three years from the date of final payment of this subgrant agreement. If, at the end of three years, there is litigation or an audit involving those records, the Subgrantee will retain the records until the resolution of such litigation or audit.
Refer to 29 CFR, Part 97.42(b)(2) or 29 CFR, Part 95.53(b)(1).
- c). The Subgrantor and/or the U. S. DOL, or their designee (refer to 29 CFR, Part 95.48(d) or 29 CFR Part 97.36(i)(10)) will have access to and right to examine, monitor and audit all records, documents, conditions and activities related to programs funded by this subgrant agreement. For purposes of this section, "access to" means that the Subgrantee shall at all times maintain within the State of California a complete set of records and documents related to programs funded by this agreement. The Subgrantee shall comply with this requirement regardless of whether it ceases to operate or maintain a presence within the State of California before the expiration of the subgrant. Subgrantee's performance under the terms and conditions herein specified will be subject to an evaluation by the Subgrantor of the adequacy of the services performed, timeliness of response and a general impression of the competency of the firm and its staff.

14. Audits

- a). The Subgrantee will maintain and make available to auditors, at all levels, accounting and program records including supporting source documentation and cooperate with all auditors. All governmental and non-profit organizations must follow the audit requirements of OMB (single audit or program-specific audit requirement) Circular A-133 (29 CFR 97.26 and 29 CFR 95.26).

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- b). The Subgrantee and/or auditors performing monitoring or audits of the Subgrantee or its sub-contracting service providers will immediately report to the Subgrantor any incidents of fraud, abuse or other criminal activity in relation to this subgrant agreement, the WIA, or its regulations.

15. Disallowed Costs

Except to the extent that the state determines it will assume liability, the Subgrantee will be liable for and will repay, to the Subgrantor, any amounts expended under this subgrant agreement found not to be in accordance with WIA including, but not limited to, disallowed costs. Such repayment will be from funds (Non-Federal), other than those received under the WIA.

16. Conflicts

- a). Subgrantee will cooperate in the resolution of any conflict with the U. S. DOL that may occur from the activities funded under this agreement.
- b). In the event of a dispute between the Subgrantor and the Subgrantee over any part of this subgrant agreement, the dispute may be submitted to non-binding arbitration upon the consent of both the Subgrantor and the Subgrantee. An election for arbitration pursuant to this provision will not preclude either party from pursuing any remedy for relief otherwise available.

17. Grievances and Complaint System

Subgrantee will establish and maintain a grievance and complaint procedure in compliance with the WIA, federal regulations and state statutes, regulations and policy.

18. Property

All property, whether finished or unfinished documents, data, studies and reports prepared or purchased by the Subgrantee under this subgrant agreement, will be disposed of in accordance with the direction of the Subgrantor. In addition, any tools and/or equipment furnished to the Subgrantee by the Subgrantor and/or purchased by the Subgrantee with funds pursuant to this subgrant agreement will be limited to use within the activities outlined in this subgrant agreement and will remain the property of the United States Government and/or the Subgrantor. Upon termination of this subgrant agreement, Subgrantee will immediately return such tools and/or equipment to the Subgrantor or dispose of them in accordance with the direction of the Subgrantor.

19. Intellectual Property Provisions

a). Federal Funding

In any subgrant funded in whole or in part by the federal government, Subgrantor may acquire and maintain the Intellectual Property rights, title, and ownership, which result directly or indirectly from the subgrant, except as provided in 37 Code of Federal Regulations part 401.14. However, pursuant to 29 CFR section 97.34 the federal government shall have a royalty-free, non-exclusive, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.

b). Ownership

- (1). Except where Subgrantor has agreed in a signed writing to accept a license, Subgrantor shall be and remain, without additional compensation, the sole owner of any and all rights, title and interest in all intellectual property, from the moment of creation, whether or not jointly conceived, that are made, conceived, derived from, or reduced to practice by Subgrantee or Subgrantor and which result directly or indirectly from this subgrant agreement.
- (2). For the purposes of this subgrant agreement, Intellectual Property means recognized protectable rights and interest such as: patents, (whether or not issued) copyrights, trademarks, service marks, applications for any of the foregoing, inventions, trade secrets, trade dress, logos, insignia, color combinations, slogans, moral rights, right of publicity, author's rights, contract and licensing rights, works, mask works, industrial design rights, rights of priority, know how, design flows, methodologies, devices, business processes, developments, innovations, good will, any data or information maintained, collected or stored in the ordinary course of business by Subgrantor, and all other legal rights protecting intangible proprietary information as may exist now and/or hereafter come into existence, and all renewals and extensions, regardless of whether those rights arise under the laws of the United States, or any other state, country or jurisdiction.
 - (a). For the purposes of the definition of Intellectual Property, "works" means all literary works, writings and printed matter including the medium by which they

are recorded or reproduced, photographs, art work, pictorial and graphic representations and works of a similar nature, film, motion pictures, digital images, animation cells, and other audiovisual works including positives and negatives thereof, sound recordings, tapes, educational materials, interactive videos, computer software and any other materials or products created, produced, conceptualized and fixed in a tangible medium of expression. It includes preliminary and final products and any materials and information developed for the purposes of producing those final products. "Works" does not include articles submitted to peer review or reference journals or independent research projects.

- (3). In the performance of this subgrant agreement, Subgrantee may exercise and utilize certain of its Intellectual Property in existence prior to the effective date of this subgrant agreement. In addition, under this subgrant agreement, Subgrantee may access and utilize certain of Subgrantor's intellectual property in existence prior to the effective date of this subgrant agreement. Except as otherwise set forth herein, Subgrantee shall not use any of Subgrantor's Intellectual Property now existing or hereafter existing for any purposes without the prior written permission of Subgrantor. Except as otherwise set forth herein, neither the Subgrantee nor Subgrantor shall give any ownership interest in or rights to its Intellectual Property to the other Party. If, during the term of this subgrant agreement, Subgrantee accesses any third-party Intellectual Property that is licensed to Subgrantor. Subgrantee agrees to abide by all license and confidentiality restrictions applicable to Subgrantor in the third-party's license agreement.
- (4). Subgrantee agrees to cooperate with Subgrantor in establishing or maintaining Subgrantor's exclusive rights in the Intellectual Property, and in assuring Subgrantor's sole rights against third parties with respect to the Intellectual Property. If the Subgrantee enters into any agreements or subcontracts with other parties in order to perform this subgrant agreement, Subgrantee shall require the terms of the agreement(s) to include all Intellectual Property provisions of paragraph nineteen a) through nineteen i). Such terms must include, but are not limited to, the subcontractor assigning and agreeing to assign to Subgrantor all rights, title and interest in Intellectual Property made, conceived, derived from, or reduced to practice by the subcontractor, subgrantee or subgrantor and which result directly or indirectly from this subgrant agreement or any subcontract.
- (5). Pursuant to paragraph nineteen (b) (4) of the Intellectual Property Provisions in Exhibit BB to this subgrant agreement, the requirement for the Subgrantee to include all Intellectual Property Provisions of paragraph nineteen a) through nineteen i) of the Intellectual Property Provisions in all agreements and subcontracts it enters into with other parties does not apply to subgrant agreements or subcontracts that are for customized and on-the-job training as authorized under 20 CFR 663.700-730.
- (6). Subgrantee further agrees to assist and cooperate with Subgrantor in all reasonable respects, and execute all documents and, subject to reasonable availability, give testimony and take all further acts reasonably necessary to acquire, transfer, maintain, and enforce Subgrantor's Intellectual Property rights and interests.

c). Retained Rights / License Rights

- (1). Except for Intellectual Property made, conceived, derived from, or reduced to practice by Subgrantee or Subgrantor and which result directly or indirectly from this subgrant agreement, Subgrantee shall retain title to all of its Intellectual Property to the extent such Intellectual Property is in existence prior to the effective date of this subgrant agreement. Subgrantee hereby grants to Subgrantor, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose of Subgrantee's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this subgrant, unless Subgrantee assigns all rights, title and interest in the Intellectual Property as set forth herein.
- (2). Nothing in this provision shall restrict, limit, or otherwise prevent Subgrantee from using any ideas, concepts, know-how, methodology or techniques related to its performance under this subgrant agreement, provided that Subgrantee's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of Subgrantor or third party, or result in a breach or default of any provisions of paragraph nineteen a) through nineteen i) or result in a breach of any provisions of law relating to confidentiality.

d). Copyright

- (1) Subgrantee agrees that for purposes of copyright law, all works (as defined in Ownership, paragraph nineteen (b) (2) (a) of authorship made by or on behalf of

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Subgrantee in connection with Subgrantee's performance of this subgrant agreement shall be deemed "works made for hire." Subgrantee further agrees that the work of each person utilized by Subgrantee in connection with the performance of this subgrant agreement will be a "work made for hire," whether that person is an employee of Subgrantee or that person has entered into an agreement with Subgrantee to perform the work. Subgrantee shall enter into a written agreement with any such person that: (i) all work performed for Subgrantee shall be deemed a "work made for hire" under the Copyright Act and (ii) that person shall assign all right, title, and interest to Subgrantor to any work product made, conceived, derived from or reduced to practice by Subgrantee or Subgrantor and which result directly or indirectly from this subgrant agreement. Refer to 29 CFR, Part 95, Appendix A 5 or Part 97.34.

- (2) All materials, including, but not limited to, computer software, visual works or text, reproduced or distributed pursuant to this subgrant agreement that include Intellectual Property made, conceived, derived from, or reduced to practice by Subgrantee or Subgrantor and which result directly or indirectly from this subgrant agreement may not be reproduced or disseminated without prior written permission from Subgrantor.

e). Patent Rights

With respect to inventions (refer to 29 CFR, 97.36(i)(8)) made by Subgrantee in the performance of this subgrant agreement, which did not result from research and development specifically included in the Subgrant's scope of work, Subgrantee hereby grants to Subgrantor a license as described under paragraph nineteen c) for devices or material incorporating, or made through the use of such inventions. If such inventions result from research and development work specifically included within the subgrant agreement's scope of work, then Subgrantee agrees to assign to Subgrantor, without addition compensation, all its right, title and interest in and to such inventions and to assist Subgrantor in securing United States and foreign patents with respect thereto.

f). Third-Party Intellectual Property

Except as provided herein, Subgrantee agrees that its performance of this subgrant agreement shall not be dependent upon or include any Intellectual Property of Subgrantee or third party without first: (i) obtaining Subgrantor's prior written approval; and (ii) granting to or obtaining for Subgrantor's, without additional compensation, a license, as described in paragraph nineteen c), for any of Subgrantee's or third-party's Intellectual Property in existence prior to the effective date of this subgrant agreement. If such a license upon these terms is unattainable, and Subgrantor determines that the Intellectual Property should be included in or is required for Subgrantee's performance of this subgrant agreement, Subgrantee shall obtain a license under terms acceptable to Subgrantor.

g). Warranties

- (1). Subgrantee represents and warrants that:

- (a). It has secured and will secure all rights and licenses necessary for its performance of this subgrant agreement.
- (b). Neither Subgrantee's performance of this subgrant agreement, nor the exercise by either Party of the rights granted in this subgrant agreement, nor any use, reproduction, manufacture, sale, offer to sell, import, export, modification, public and private display/performance, distribution, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Subgrantee or Subgrantor and which result directly or indirectly from this subgrant agreement will infringe upon or violate any Intellectual Property right, non-disclosure obligation, or other proprietary right or interest of any third-party or entity now existing under the laws of, or hereafter existing or issued by, any state, the United States, or any foreign country. There are currently no actual or threatened claims by any such third party based on an alleged violation of any such right by Subgrantee.
- (c). Neither Subgrantee's performance nor any part of its performance will violate the right of privacy of, or constitute a libel or slander against any person or entity.
- (d). It has secured and will secure all rights and licenses necessary for Intellectual Property including, but not limited to, consents, waivers or releases from all authors.
- (e). Of music or performances used, and talent (radio, television and motion picture talent), owners of any interest in and to real estate, sites locations, property or props that may be used or shown.
- (f). It has not granted and shall not grant to any person or entity any right that would or might derogate, encumber, or interfere with any of the rights granted to Subgrantor in this subgrant agreement.

- (g). It has appropriate systems and controls in place to ensure that state and federal funds will not be used in the performance of this subgrant agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.
 - (h). It has no knowledge of any outstanding claims, licenses or other charges, liens, or encumbrances of any kind or nature whatsoever that could affect in any way Subgrantee's performance of this subgrant agreement.
- (2). SUBGRANTOR MAKES NO WARRANTY, THAT THE INTELLECTUAL PROPERTY RESULTING FROM THIS SUBGRANT AGREEMENT DOES NOT INFRINGE UPON ANY PATENT, TRADEMARK, COPYRIGHT OR THE LIKE, NOW EXISTING OR SUBSEQUENTLY ISSUED.

h). Intellectual Property Indemnity

- (1). Subgrantee shall indemnify, defend and hold harmless Subgrantor and its licensees and assignees, and its officers, directors, employees, agents, representatives, successors, and users of its products, ("Indemnities") from and against all claims, actions, damages, losses, liabilities (or actions or proceedings with respect to any thereof), whether or not rightful, arising from any and all actions or claims by any third party or expenses related thereto (including, but not limited to, all legal expenses, court costs, and attorney's fees incurred in investigating, preparing, serving as a witness in, or defending against, any such claim action, or proceeding, commenced or threatened) to which any of the Indemnities may be subject, whether or not Subgrantee is a party to any pending or threatened litigation, which arise out of or are related to (i) the incorrectness or breach of any of the representations, warranties, covenants or agreements of Subgrantee pertaining to Intellectual Property; or (ii) any Intellectual Property infringement, or any other type of actual or alleged infringement claim, arising out of Subgrantor's use, reproduction, manufacture, sale, offer to sell, distribution, import, export, modification, public and private performance/display, license, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Subgrantee or Subgrantor and which result directly or indirectly from this subgrant agreement. This indemnity obligation shall apply irrespective of whether the infringement claim is based on a patent, trademark or copyright registration that was issued after the effective date of this subgrant agreement. Subgrantor reserves the right to participate in and/or control, at Subgrantee's expense, any such infringement action brought against Subgrantor.
- (2). Should any Intellectual Property licensed by the Subgrantee to Subgrantor under this subgrant agreement become the subject of an Intellectual Property infringement claim, Subgrantee will exercise its authority reasonably and in good faith to preserve Subgrantor's right to use the licensed Intellectual Property in accordance with this subgrant agreement at no expense to Subgrantor. Subgrantor shall have the right to monitor and appear through its own counsel (at Subgrantee's expense) in any such claim or action. In the defense or settlement of the claim, Subgrantee may obtain the right for Subgrantor to continue using the licensed Intellectual Property or, replace or modify the licensed Intellectual Property so that the replaced or modified Intellectual Property becomes non-infringing provided that such replacement or modification is functionally equivalent to the original licensed Intellectual Property. If such remedies are not reasonably available, Subgrantor may be entitled to a refund of all monies paid under this subgrant agreement, without restriction or limitation of any other rights and remedies available at law or in equity.
- (3). Subgrantee agrees that damages alone would be inadequate to compensate Subgrantor for breach of any term of these Intellectual Property provisions of paragraph nineteen a) through nineteen i) by Subgrantee. Subgrantee acknowledges Subgrantor would suffer irreparable harm in the event of such breach and agrees Subgrantor shall be entitled to obtain equitable relief, including without limitation an injunction, from a court of competent jurisdiction, without restriction or limitation of any other rights and remedies available at law or in equity.

i). Survival

The provisions set forth herein shall survive any termination or expiration of this subgrant agreement or any project schedule.

20. Confidentiality Requirements

The State of California and the Subgrantee will exchange various kinds of information pursuant to this subgrant agreement. That information will include data, applications, program files, and information about specific clients receiving services. These data and information are confidential when they define an individual or an employing unit or when the disclosure is restricted or prohibited by any provision of law. Confidential information requires special precautions to protect it from unauthorized use, access, disclosure, modification, and destruction. The sources of information may include, but are not limited to, the Employment

Development Department, the California Department of Social Services, the California Department of Education, the California Department of Corrections, the County Welfare Department(s), the County IV-D Directors Office of Child Support, the Office of the District Attorney, the California Department of Mental Health, the California Office of Community Colleges, the Department of Alcohol and Drug Programs, and individuals requesting program services.

The Subgrantor and Subgrantee agree that:

- a). Each party shall keep all confidential information that is exchanged between them in the strictest confidence and make such information available to their own employees only on a "need-to-know" basis.
- b). Each party shall provide security sufficient to ensure protection of confidential information from improper use and disclosures, including sufficient administrative, physical, and technical safeguards to protect this information from reasonable unanticipated threats to the security or confidentiality of the information.
- c). The Subgrantee agrees that information obtained under this subgrant agreement will not be reproduced, published, sold or released in original or in any other form for any purpose other than those specifically identified in this agreement.
 - i. Aggregate Summaries: All reports and/or publications developed by the Subgrantee based on data obtained under this agreement shall contain confidential data in aggregated or statistical summary form only. "Aggregated" refers to a data output that does not allow identification of an individual or employer unit.
 - ii. Publication: Prior to publication, Subgrantee shall carefully analyze aggregated data outputs to ensure the identity of individuals and/or employer units cannot be inferred pursuant to Unemployment Insurance Code section 1094(c). Personal identifiers must be removed. Geographic identifiers should be specified only in large areas and as needed, and variables should be recorded in order to protect confidentiality.
 - iii. Minimum Data Cell Size: The minimum data cell size or derivation thereof shall be three participants for any data table released to outside parties or to the public.
- d). Each party agrees that no disaggregate data, identifying individuals or employers, shall be released to outside parties or to the public.
- e). The Subgrantee shall notify Subgrantor's Information Security Office of any actual or attempted information security incidents, within 24 hours of initial detection, by telephone at (916) 654-6231. Information Security Incidents include, but are not limited to, any event (intentional or unintentional), that causes the loss, damage, or destruction, or unauthorized access, use, modification, or disclosure of information assets.

The Subgrantee shall cooperate with the Subgrantor in any investigations of security incidents. The system or device affected by an information security incident and containing confidential data obtained in the administration of this program shall be immediately removed from operation upon confidential data exposure or a known security breach. It shall remain removed from operation until correction and mitigation measures are applied.

If the Subgrantee learns of a breach in the security of the system which contains confidential data obtained under this Subgrant, then the Subgrantee must provide notification to individuals pursuant to Civil Code section 1798.82.
- f). The Subgrantee shall provide for the management and control of physical access to information assets (including personal computer systems, computer terminals, mobile computing devices, and various electronic storage media) used in performance of this Subgrant. This shall include, but is not limited to, security measures to physically protect data, systems, and workstations from unauthorized access and malicious activity; the prevention, detection, and suppression of fires; and the prevention, detection, and minimization of water damage.
- g). At no time will confidential data obtained pursuant to this agreement be placed on a mobile computing device, or on any form of removable electronic storage media of any kind unless the data are fully encrypted.
- h). Each party shall provide its employees with access to confidential information with written instructions fully disclosing and explaining the penalties for unauthorized use or disclosure of confidential information found in section 1798.55 of the Civil Code, section 502 of the Penal Code, section 2111 of the Unemployment Insurance Code, section 10850 of the Welfare and Institutions Code and other applicable local, state and federal laws.
- i). Each party shall (where it is appropriate) store and process information in electronic format, in such a way that unauthorized persons cannot reasonably retrieve the information by means of a computer.
- j). Each party shall promptly return to the other party confidential information when its use ends, or destroy the confidential information utilizing an approved method of destroying

confidential information: shredding, burning, or certified or witnessed destruction. Magnetic media are to be degaussed or returned to the other party.

- k). If the Subgrantor or Subgrantee enters into an agreement with a third party to provide WIA services, the Subgrantor or Subgrantee agrees to include these data and security and confidentiality requirements in the agreement with that third party. In no event shall said information be disclosed to any individual outside of that third party's authorized staff, subcontractor(s), service providers, or employees.
- l). The Subgrantee may, in its operation of the One-Stops, permit a One-Stop Operator to enter into a subcontract to manage confidential information. This subcontract may allow an individual to register for resume-distribution services at the same time the individual enrolls in CalJOBS. Subgrantee shall ensure that all such subcontracts comply with the intellectual property requirements of paragraph 19 of this Subgrant, the confidentiality requirements of paragraph 20 of this Subgrant and any other terms of this Subgrant that may be applicable. In addition, the following requirements must be included in the subcontracts:
- (1) All client information submitted over the Internet to the subcontractor's databases must be protected, at a minimum, by 128-bit Secure Socket Layer (SSL) encryption. Clients' social security numbers must be stored in a separate database within the subcontractor's network of servers, and protected by a firewall and a secondary database server firewall or AES data encryption. If a subcontractor receives client social security numbers or other confidential information in the course of business, for example a resume-distribution service that provides enrollment in CalJOBS, social security numbers must be destroyed within two days after the client registers for CalJOBS. If a subcontractor obtains confidential information as an agent of the subgrantee, the subcontract must specifically state the purpose for the data collection and the term of records retention must be stated, and directly related, to the purpose and use of the information. In accordance with 29 Code of Federal Regulations 97.42, social security numbers and other client specific information shall not be retained for more than three years after a client completes services. The subgrantee should extend this period, only if any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the three-year retention period. In this case the records should be maintained until completion of the action and resolution of all issues arising from it, or until the close of the three-year retention period, whichever is later. (29 CFR sec. 97.42 (b)(2).)
 - (2) Client information (personal information that identifies a client such as name and social security number) and/or demographic information of a client (such as wage history, address, and previous employment) shall not be used as a basis for commercial solicitation during the time the client or agency is using the subcontractor's services. Client information and/or demographic information shall not be used for any purposes other than those specific program purposes set forth in the subcontract.
 - (3) A One-Stop client must still be given the option to use the One-Stop's services, including CalJOBS, even if he or she chooses not to use any services of the subcontractor. This option shall be prominently, clearly, and immediately communicated to the client upon registration within the One-Stop or for CalJOBS, the subcontractor's resume-distribution services, or any other services subcontractor offers to the client or the One-Stop Operator.
 - (4) The subcontractor must clearly disclose all of its potential and intended uses of the client's personal and/or demographic information for the services the clients seeks and for any other services the subcontractor offers. The subcontractor shall not use a client's personal and/or demographic information without the client's prior permission. A link to the subcontractor's Privacy Policy shall appear prominently on the registration screens that list the potential and intended uses of the client's personal and/or demographic information.
 - (5) When the Subgrantor modifies State automated systems such as the State CalJOBS System, it shall provide reasonable notice of such changes to the Subgrantee. The Subgrantee shall be responsible to communicate such changes to the One-Stop Operator(s) in the local area.
- m). Each party shall designate an employee who shall be responsible for overall security and confidentiality of its data and information systems and each party shall notify the other of any changes in that designation. As of this date, the following are those individuals:

FOR THE SUBGRANTOR

Name: Art O'Neal
Title: Section Manager
Address: P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001
Telephone: (916) 654-9699

Subgrantee: STANISLAUS COUNTY

WIA SUBGRANT AGREEMENT

Exhibit BB
Page 14 of 14
SUBGRANT NO: K386334
MODIFICATION NO: NEW

Fax: (916) 654-9657

FOR THE SUBGRANTEE

Name: Jeffrey Rowe
Title: Director
Telephone: 209-558-2150
Fax: 209-558-2150
21. Signatures

This subgrant agreement is of no force and effect until signed by both of the parties hereto.
Subgrantee will not commence performance prior to the beginning of this subgrant agreement.

Revised October 2007



Edmund G. Brown, Jr.
Governor

July 31, 2012

Mr. Jeffrey Rowe, Director
Stanislaus County Alliance Network
251 E. Hackett Road, C-2
Modesto, CA 95358

Mr. Rowe:

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT K386334

Enclosed is a copy of modification number one of your WIA Subgrant Agreement. This modification is to incorporate WIA formula funding for PY 2012-13 into grant codes 201, 501, and 540.

If you have any questions, please contact your Regional Advisor.

Sincerely,

/s/ VIVIANA NEET
Manager
Budget and Administration Group

Enclosure

cc: ~~William O'Brien~~, Chairman
Program Region I, MIC 50
Carol Keane, MIC 69

WIA 7/25/12

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K386334
MODIFICATION NO: 01
SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
Employment Development Dept.
Workforce Services Division
P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
251 E. HACKETT ROAD C-2
MODESTO, CA 95358
GOVERNMENTAL ENTITY: YES

This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart	Exhibit AA, pages 1 through 1
Title I-A (WIA TITLE I ADULT FORMULA)	Exhibit CC, pages 1 through 1
Title I-D (RAPID RESPONSE FOR RA&PGM)	Exhibit FF, pages 1 through 1
Title I-D (TITLE I DISLOCATED WORKER)	Exhibit EE, pages 1 through 1
Title I-D (TITLE I RAPID RESPONSE)	Exhibit FF, pages 1 through 1

ALLOCATION(s):	PRIOR AMOUNT: \$2,342,444.00
The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter "TOTAL":	INCREASE/DECREASE: \$573,698.00
	TOTAL: \$2,916,142.00

TERMS OF AGREEMENT:	Terms of Exhibits are as designated on each exhibit
From 04/01/2012 to 06/30/2014	

PURPOSE: To add WIA formula funding for Program Year (PY) 2012-13 into grant codes 201, 501, & 540 and to add grant code 241 for participants only for RR or Add'l Assistance.

APPROVED FOR SUBGRANTOR (EDD) (By Signature) APPROVED FOR SUBGRANTEE (By Signature)

[Signature]
Name and Title
MICHAEL EVASHENK
CHIEF
WORKFORCE SERVICES DIVISION

Unilateral modification. Subgrantee Signature not required.

Name and Title

I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein:

This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance:

[Signature]
Signature of EDD Accounting Officer

[Signature]
Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: 01

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-A: WIA-ADULT				
98153 WIA TITLE I ADULT FORMULA (201) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$0.00	\$173,538.00	\$0.00	\$173,538.00
TOTAL TITLE I-A	\$0.00	\$173,538.00	\$0.00	\$173,538.00
TITLE I-D: WIA-DISLOCATED WORKERS				
98213 RAPID RESPONSE FOR RA&PGM (540) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$61,697.00	\$0.00	\$61,697.00
98203 TITLE I DISLOCATED WORKER (501) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$0.00	\$338,463.00	\$0.00	\$338,463.00
98213 TITLE I RAPID RESPONSE (241) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-D	\$0.00	\$400,160.00	\$0.00	\$400,160.00
TITLE I-Y: YOUTH				
98103 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
TOTAL TITLE I-Y	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
GRAND TOTAL:	\$2,342,444.00	\$573,698.00	\$0.00	\$2,916,142.00

All references are to the Workforce Investment Act of 1998, Title I, unless otherwise noted. For modifications purposes only. All other terms and conditions of this exhibit not included herein remain unchanged.

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 01

EXHIBIT CC
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA TITLE I ADULT FORMULA 201

TERM OF THESE FUNDS: 07/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

These Workforce Investment Act (WIA) formula funds are being incorporated into your Program Year 2012-13 Subgrant Agreement to support the WIA Adult Program. The funds in grant code 201 consist of 1st round funding and are available for expenditures from July 1, 2012 through June 30, 2014. Adult funds are available for expenditures provided an approved plan is on file with the State of California.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 01

EXHIBIT FF
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: RAPID RESP AA PARTCP ONLY 241

TERM OF THESE FUNDS: 07/01/2012 TO: 06/30/2013

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

Please use grant code 241 for participants only for the RR or Additional Assistance purposes. This is a "participant only" grant code with zero funding.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 01

EXHIBIT EE
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA TITLE I DISLOCATED WR 501

TERM OF THESE FUNDS: 07/01/2012 TO: 06/30/2014

| Use of funds added by this modification is limited to this period and
| additionally limited by the recapture provisions applicable to this
| funding source. The state may at its discretion recapture funds obligated
| under this exhibit, if expenditure plans are not being met.
|

PROGRAM NARRATIVE

These Workforce Investment Act (WIA) formula funds are being incorporated into your Program Year 2012-13 Subgrant Agreement to support the WIA Dislocated Worker Program. The funds in grant code 501 consist of 1st round funding and are available for expenditures from July 1, 2012 through June 30, 2014. Dislocated Worker funds are available for expenditures provided an approved plan is on file with the State of California.

| This exhibit adds to and does not replace the terms and conditions of any other exhibit
| included in this agreement which terms and conditions remain in full force and effect.
|

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 01

EXHIBIT FF
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA RAPID RESPONSE 540

TERM OF THESE FUNDS: 07/01/2012 TO: 06/30/2013

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

These Workforce Investment Act (WIA) 25 percent Rapid Response (RR) funds are being incorporated into your Program Year 2012-13 Subgrant Agreement to support the WIA Dislocated Worker Program. The funds in grant code 540 consist of 1st round funding and are available for expenditures from July 1, 2012 through June 30, 2013.

These "formula based" Rapid Response funds (see Information Notice WSIN11-48) must be used for the cost of required and allowable Rapid Response activities in response to layoffs, business closures, and natural disasters.

Please use grant code 241 for participants only for the RR or Additional Assistance purposes. This is a "participant only" grant code with zero funding.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA 11/6/12

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K385334
MODIFICATION NO: 02
SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
Employment Development Dept.
Workforce Services Division
P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
251 E. HACKETT ROAD C-2
MODESTO, CA 95358

GOVERNMENTAL ENTITY: YES

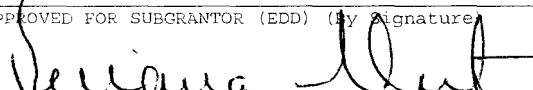
This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modifier consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart	Exhibit AA, pages 1 through 1
Title I-A (WIA TITLE I ADULT FORMULA)	Exhibit CC, pages 1 through 1
Title I-D (RAPID RESPONSE FOR RA&PGM)	Exhibit FF, pages 1 through 1
Title I-D (TITLE I DISLOCATED WORKER)	Exhibit EE, pages 1 through 1

ALLOCATION(S):	PRIOR AMOUNT:	\$2,916,142.00
The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter "TOTAL":	INCREASE/DECREASE:	\$4,256,783.00
	TOTAL:	\$7,166,925.00

TERMS OF AGREEMENT:	Terms of Exhibits are as designated on each exhibit
From 04/01/2012 to 06/30/2014	

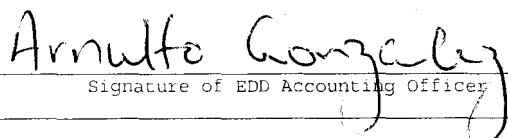
PURPOSE: To add WIA formula funding for Program Year (PY) 2012-13 into grant codes 202, 502, & 541.

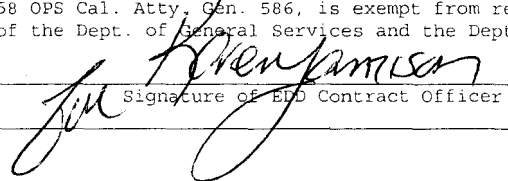
APPROVED FOR SUBGRANTOR (EDD) (By Signature)	APPROVED FOR SUBGRANTEE (By Signature)
	Unilateral modification. Subgrantee Signature not required.

Name and Title	Name and Title
MICHAEL EVASHENK CHIEF WORKFORCE SERVICES DIVISION	

I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein:

This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance:


Signature of EDD Accounting Officer


Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: 02

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-A: WIA-ADULT				
96153 WIA TITLE I ADULT FORMULA (201) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$173,538.00	\$0.00	\$0.00	\$173,538.00
98283 WIA TITLE I ADULT FORMULA (202) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$0.00	\$2,100,961.00	\$0.00	\$2,100,961.00
TOTAL TITLE I-A	\$173,538.00	\$2,100,961.00	\$0.00	\$2,274,499.00
TITLE I-D: WIA-DISLOCATED WORKERS				
96213 RAPID RESPONSE FOR RA&PGM (540) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$61,697.00	\$0.00	\$0.00	\$61,697.00
98423 RAPID RESPONSE FOR RA&PGM (541) : 10/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$185,092.00	\$0.00	\$185,092.00
96203 TITLE I DISLOCATED WORKER (501) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$338,463.00	\$0.00	\$0.00	\$338,463.00
98213 TITLE I DISLOCATED WORKER (502) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$0.00	\$1,964,730.00	\$0.00	\$1,964,730.00
96213 TITLE I RAPID RESPONSE (241) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-D	\$400,160.00	\$2,149,822.00	\$0.00	\$2,549,982.00
TITLE I-Y: YOUTH				
96103 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
TOTAL TITLE I-Y	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
GRAND TOTAL:	\$2,916,142.00	\$4,250,783.00	\$0.00	\$7,166,925.00

All references are to the Workforce Investment Act of 1998, Title I, unless otherwise noted. For modifications purposes only. All other terms and conditions of this exhibit not included herein remain unchanged.

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 02

EXHIBIT CC
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA TITLE I ADULT FOR 2 202

TERM OF THESE FUNDS: 10/01/2012 TO: 06/30/2014

| Use of funds added by this modification is limited to this period and |
| additionally limited by the recapture provisions applicable to this |
| funding source. The state may at its discretion recapture funds obligated |
under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

These Workforce Investment Act (WIA) formula funds are being incorporated into your Program Year 2012-13 Subgrant Agreement to support the WIA Adult Program. The funds in grant code 202 consist of 2nd round funding and are available for expenditures from October 1, 2012 through June 30, 2014. Adult funds are available for expenditures provided an approved plan is on file with the State of California.

| This exhibit adds to and does not replace the terms and conditions of any other exhibit |
included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 02

EXHIBIT FF
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA RAPID RESPONSE #2 541

TERM OF THESE FUNDS: 10/01/2012 TO: 06/30/2013

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

These Workforce Investment Act (WIA) 25 percent Rapid Response (RR) funds are being incorporated into your Program Year 2012-13 Subgrant Agreement to support the WIA Dislocated Worker Program. The funds in grant code 541 consist of 2nd round funding and are available for expenditures from October 1, 2012 through June 30, 2013.

These "formula based" Rapid Response funds (see Information Notice WSIN11-48) must be used for the cost of required and allowable Rapid Response activities in response to layoffs, business closures, and natural disasters.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 02

EXHIBIT EE
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA TITLE I DISLOCAT WR2 502

TERM OF THESE FUNDS: 10/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

These Workforce Investment Act (WIA) formula funds are being incorporated into your Program Year 2012-13 Subgrant Agreement to support the WIA Dislocated Worker Program. The funds in grant code 502 consist of 2nd round funding and are available for expenditures from October 1, 2012 through June 30, 2014. Dislocated Worker funds are available for expenditures provided an approved plan is on file with the State of California.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)



BOARD OF SUPERVISORS

2013 MAY 22 A 11:00



Edmund G. Brown, Jr.
Governor

May 15, 2013

Mr. Jeffery Rowe, Executive Director
Stanislaus County Alliance Network
251 Hackett Road, C-2
Modesto, CA 95358

Mr. Rowe:

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT K386334

Enclosed is a copy of modification four of your WIA Subgrant Agreement. This modification transfers WIA second round Dislocated Worker funds (GC 502) to second round Adult funds (GC 500).

If you have any questions, please contact your Regional Advisor.

Sincerely,

/s/Viviana Neet
Manager
Financial Management Unit

Enclosure

cc: Mr. William O'Brien, Chair
Program Region I, MIC 50
Julie Martin, MIC 69

WIA 4/29/13

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K386334
 MODIFICATION NO: 03
 SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
 Employment Development Dept.
 Workforce Services Division
 P.O. Box 826880, MIC 69
 Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
 251 E. HACKETT ROAD C-2
 MODESTO, CA 95358

GOVERNMENTAL ENTITY: YES

This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart	Exhibit AA, pages 1 through 1
Title I-D (RAPID RESPONSE FOR RA&PGM)	Exhibit FF, pages 1 through 2
Title I-D (TITLE I DISLOCATED WORKER)	Exhibit EE, pages 1 through 2

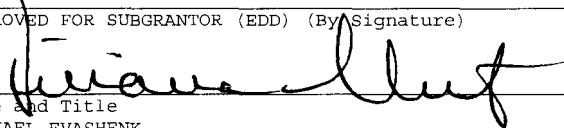
Formula Fund Transfer: DW (GC 502) to Adult (GC 500) Second Rd.

Budget Plan	Exhibit A	1 Page
Participant Plan	Exhibit B	1 Page

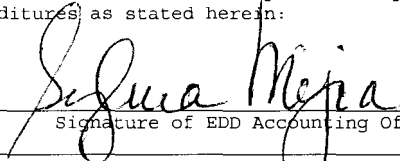
ALLOCATION(s):	PRIOR AMOUNT:	\$7,166,925.00
The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter "TOTAL":	INCREASE/DECREASE:	\$0.00
	TOTAL:	\$7,166,925.00

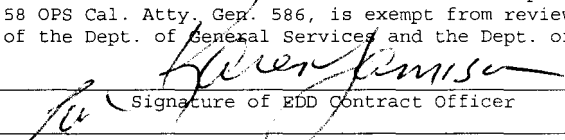
TERMS OF AGREEMENT:	Terms of Exhibits are as designated on each exhibit
From 04/01/2012 to 06/30/2014	

PURPOSE: To transfer second round Dislocated Worker funds from (GC 502) to Adult second round funds (GC 500).

APPROVED FOR SUBGRANTOR (EDD) (By Signature)

 Name and Title
 MICHAEL EVASHENK
 CHIEF
 WORKFORCE SERVICES DIVISION

APPROVED FOR SUBGRANTEE (By Signature)
 Unilateral modification. Subgrantee Signature not required.
 Name and Title

I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein:

 Signature of EDD Accounting Officer

This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance:

 Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: 03

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-A: WIA-ADULT				
96153 WIA TITLE I ADULT FORMULA (201) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$173,538.00	\$0.00	\$0.00	\$173,538.00
98283 WIA TITLE I ADULT FORMULA (202) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$2,100,961.00	\$0.00	\$0.00	\$2,100,961.00
TOTAL TITLE I-A	\$2,274,499.00	\$0.00	\$0.00	\$2,274,499.00
TITLE I-D: WIA-DISLOCATED WORKERS				
96213 RAPID RESPONSE FOR RA&PGM (540) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$61,697.00	\$0.00	\$0.00	\$61,697.00
98423 RAPID RESPONSE FOR RA&PGM (541) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$185,092.00	\$0.00	\$0.00	\$185,092.00
98213 TITLE I DISLOCATED WORKER (500) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$0.00	\$540,343.00	\$0.00	\$540,343.00
96203 TITLE I DISLOCATED WORKER (501) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$338,463.00	\$0.00	\$0.00	\$338,463.00
98213 TITLE I DISLOCATED WORKER (502) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$1,964,730.00	\$0.00	\$540,343.00	\$1,424,387.00
96213 TITLE I RAPID RESPONSE (241) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-D	\$2,549,982.00	\$540,343.00	\$540,343.00	\$2,549,982.00
TITLE I-Y: YOUTH				
96103 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
TOTAL TITLE I-Y	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
GRAND TOTAL:	\$7,166,925.00	\$540,343.00	\$540,343.00	\$7,166,925.00

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 03

EXHIBIT EE
Page 1 OF 2

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: TRANSFER DW TO ADULT RND2 500

TERM OF THESE FUNDS: 10/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to transfer second round Dislocated Worker funds (GC 502) in the amount of \$540,343 to Adult (GC 500) second round funds.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 03

EXHIBIT EE
Page 2 OF 2

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA TITLE I DISLOCAT WR2 502

TERM OF THESE FUNDS: 10/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to transfer second round Dislocated Worker (GC 500) funds in the amount of \$540,343 to Adult (GC 500) second round funds.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

TRANSFER REQUEST BUDGET PLAN

Stanislaus County-Alliance
Local area: Worknet
Date: 3/19/2013

TITLE IB BUDGET PLAN SUMMARY (Adult and Dislocated Worker Funds)
WIA 118; 20 CFR 661.350(a)(13)

Subgrant # K386334
Year of Appropriation 2012

Grant
Code

Adult to Dislocated Worker	Dislocated Worker to Adult
<input type="checkbox"/> 201 → 299	<input type="checkbox"/> 501 → 499
<input type="checkbox"/> 202 → 200	<input checked="" type="checkbox"/> 502 → 500

FUNDING IDENTIFICATION	ADULT	DISLOCATED
1. Formula Allocation	2,274,499	2,303,193
2. Prior Adjustments - Plus or Minus	0	0
3. Previous Amounts Transferred	0	0
4. Current Amount to be Transferred	540,343	(540,343)
5. TOTAL FUNDS AVAILABLE (Lines 1 thru 4)	2,814,842	1,762,850

TOTAL ALLOCATION COST CATEGORY PLAN		
6. Program Services (Lines 6A through 6E)	2,533,358	1,586,565
A. Core Self Services	752,407	396,641
B. Core Registered Services	83,601	44,071
C. Intensive Services	836,008	581,740
D. Training Services	703,711	440,713
E. Other	157,631	123,400
7. Administration	281,484	176,285
8. TOTAL (Lines 6 plus 7)	2,814,842	1,762,850

QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)		
9. September 20 12	83,668	204,700
10. December 20 12	571,334	192,510
11. March 20 13	710,017	515,651
12. June 20 13	710,017	515,651
13. September 20 13	710,017	334,338
14. December 20 13	29,789	0
15. March 20 14	0	0
16. June 20 14	0	0
17. September 20 14	0	0
18. December 20 14	0	0
19. March 20 15	0	0
20. June 20 15	0	0

COST COMPLIANCE PLAN (maximum 10%)		
21. % for Administration Expenditures (Line 7/Line 5)	10.00%	10.00%

Vanessa L. Portillo Manager III 209-558-2120 3/19/2013
Contact Person, Title Telephone Number Date Prepared

Comments:

TRANSFER REQUEST PARTICIPANT PLAN

Local area: **Stanislaus County**
Date: **2/27/2013**

TITLE IB PARTICIPANT PLAN SUMMARY

WIA 118; 20 CFR 661.350(a)(13); TEGL 17-05

Enter the number of individuals in each category.

TOTALS FOR PY 2012	ADULT	DW	YOUTH
1. Registered Participants Carried in from PY 2011	207	244	
2. New Registered Participants for PY 2012	375	310	
3. Total Registered Participants for PY 2012 (Line 1 plus 2)	582	554	
4. Exiters for PY 2012	360	343	
5. Registered Participants Carried Out to PY 2013 (Line 3 minus 4)	222	211	

PROGRAM SERVICES

6. Core Self Services	8,648	6,125	
7. Core Registered Services	582	554	
8. Intensive Services	582	554	
9. Training Services	180	140	

YOUTH MEASURES

10. Attainment of a Literacy and/or Numeracy Gain			
11. Attainment of a High School Diploma, GED, or Certificate			

EXIT STATUS

2. Entered Employment	230	245	
2A. Training-related	94	92	
3. Remained with Layoff Employer		7	
4. Entered Military Service			
5. Entered Advanced Training			
6. Entered Postsecondary Education			
7. Entered Apprenticeship Program			
8. Returned to Secondary School			
9. Exited for Other Reasons	15	12	

Vanessa Portillo Manager III
Contact Person, Title

209-558-2120
Telephone Number

2/27/13
Date Prepared

Comments:



BOARD OF SUPERVISORS

Edmund G. Brown, Jr.
Governor

2013 JUN -5 A 10:29

May 24, 2013

Mr. Jeffrey Rowe, Director
Stanislaus County Alliance Network
251 E. Hackett Road, C-2
Modesto, CA 95358

Mr. Rowe,

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT

Enclosed is a copy of a modification your Program Year (PY) 2012-13 Workforce Investment Act (WIA) Subgrant Agreement. This modification is to extend the term end date for the Rapid Response grant codes 540 and/or 541 through to June 30, 2014.

If you have any questions, please contact your Regional Advisor.

Sincerely,

/s/ VIVIANA NEET

Enclosure

cc: ~~Mr. William O'Brien, Chairman,~~
Roni Armstrong, MIC 50
Carol Keane, MIC 69

Cleared
CSC - PM
WIA 5/24/13

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K386334
MODIFICATION NO: 04
SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
Employment Development Dept.
Workforce Services Division
P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
251 E. HACKETT ROAD C-2
MODESTO, CA 95358

GOVERNMENTAL ENTITY: YES

This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart
Title I-D (RAPID RESPONSE FOR RA&PGM)

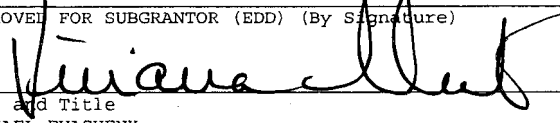
Exhibit AA, pages 1 through 1
Exhibit FF, pages 1 through 2

ALLOCATION(s):	PRIOR AMOUNT:	\$7,166,925.00
The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter "TOTAL":	INCREASE/DECREASE:	\$0.00
	TOTAL:	\$7,166,925.00

TERMS OF AGREEMENT:	Terms of Exhibits are as designated on each exhibit
From 04/01/2012 to 06/30/2014	

PURPOSE: To extend the term date for the Rapid Response grant codes 540 and 541 from 6/30/13 to 6/30/14.

APPROVED FOR SUBGRANTOR (EDD) (By Signature)



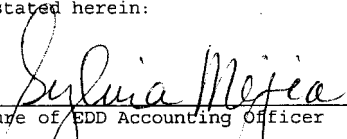
Name and Title
MICHAEL EVASHENK
CHIEF
WORKFORCE SERVICES DIVISION

APPROVED FOR SUBGRANTEE (By Signature)

Unilateral modification. Subgrantee Signature not required.

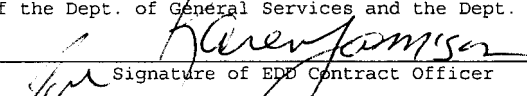
Name and Title

I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein:



Signature of EDD Accounting Officer

This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance:



Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: 04

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-A: WIA-ADULT				
96153 WIA TITLE I ADULT FORMULA (201) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$173,538.00	\$0.00	\$0.00	\$173,538.00
98283 WIA TITLE I ADULT FORMULA (202) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$2,100,961.00	\$0.00	\$0.00	\$2,100,961.00
TOTAL TITLE I-A	\$2,274,499.00	\$0.00	\$0.00	\$2,274,499.00
TITLE I-D: WIA-DISLOCATED WORKERS				
96213 RAPID RESPONSE FOR RA&PGM (540) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$61,697.00	\$0.00	\$0.00	\$61,697.00
98423 RAPID RESPONSE FOR RA&PGM (541) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$185,092.00	\$0.00	\$0.00	\$185,092.00
98213 TITLE I DISLOCATED WORKER (500) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$540,343.00	\$0.00	\$0.00	\$540,343.00
96203 TITLE I DISLOCATED WORKER (501) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$338,463.00	\$0.00	\$0.00	\$338,463.00
98213 TITLE I DISLOCATED WORKER (502) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$1,424,387.00	\$0.00	\$0.00	\$1,424,387.00
96213 TITLE I RAPID RESPONSE (241) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-D	\$2,549,982.00	\$0.00	\$0.00	\$2,549,982.00
TITLE I-Y: YOUTH				
96103 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
TOTAL TITLE I-Y	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
GRAND TOTAL:	\$7,166,925.00	\$0.00	\$0.00	\$7,166,925.00

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 04

EXHIBIT FF
Page 1 OF 2

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA RAPID RESPONSE 540

TERM OF THESE FUNDS: 07/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to extend the term date of the 2012/13 Rapid Response Funds grant code 540 from June 30, 2013 to June 30, 2014.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 04

EXHIBIT FF
Page 2 OF 2

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: WIA RAPID RESPONSE #2 541

TERM OF THESE FUNDS: 10/01/2012 TO: 06/30/2014

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to extend the term date of the 2012/13 Rapid Response Funds grant code 541 from June 30, 2013 to June 30, 2014.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)



Edmund G. Brown Jr.
Governor

July 11, 2013

Mr. Jeffrey Rowe, Director
Stanislaus County Alliance Network
251 E. Hackett Road, C-2
Modesto, CA 95358

Dear Mr. Rowe:

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT K386334

Enclosed is a copy of modification number five of your WIA Subgrant Agreement. This modification incorporates 15% funds for the Youth Career Technical Education (CTE) project into grant code 310 with a term date of 6/30/2013 to 3/31/2015.

If you have any questions, please contact your Regional Advisor.

Sincerely,

/s/ VIVIANA NEET
Manager
Financial Management Unit

Enclosure

cc: Mr. Vito Chiesa, Chief Elected Official
Regional Advisor – Gabriel Garcia
Maria McNamara, FMU

WIA 7/1/13

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K386334
MODIFICATION NO: 05
SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
Employment Development Dept.
Workforce Services Division
P.O. Box 826880, MIC 69
Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
251 E. HACKETT ROAD C-2
MODESTO, CA 95358

GOVERNMENTAL ENTITY: YES

This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

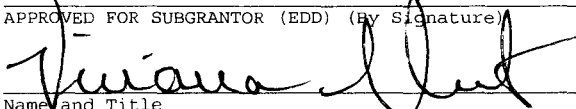
Funding Detail Chart
Title I-Y (TITLE I 15% YOUTH SPEC PR)

Exhibit AA, pages 1 through 1
Exhibit NN, pages 1 through 1

ALLOCATION(s): The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter "TOTAL":
PRIOR AMOUNT: \$7,166,925.00
INCREASE/DECREASE: \$213,750.00
TOTAL: \$7,380,675.00

TERMS OF AGREEMENT: From 04/01/2012 to 03/31/2015
Terms of Exhibits are as designated on each exhibit

PURPOSE: To incorporate WIA 15% funds for the Youth Career Technical Education (CTE) project into grant code 310 with term date 6/30/13 to 3/31/15.

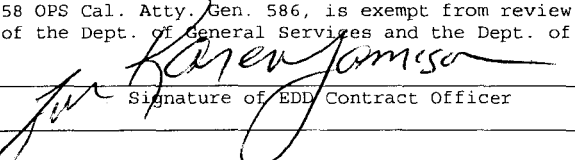
APPROVED FOR SUBGRANTOR (EDD) (By Signature)

Name and Title
JOSE LUIS MARQUEZ
CHIEF
WORKFORCE SERVICES DIVISION

APPROVED FOR SUBGRANTEE (By Signature)
Unilateral modification. Subgrantee Signature not required.

I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein:

This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance:


Signature of EDD Accounting Officer


Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: 05

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-A: WIA-ADULT				
96153 WIA TITLE I ADULT FORMULA (201) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$173,538.00	\$0.00	\$0.00	\$173,538.00
98283 WIA TITLE I ADULT FORMULA (202) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$2,100,961.00	\$0.00	\$0.00	\$2,100,961.00
TOTAL TITLE I-A	\$2,274,499.00	\$0.00	\$0.00	\$2,274,499.00
TITLE I-D: WIA-DISLOCATED WORKERS				
96213 RAPID RESPONSE FOR RA&PGM (540) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$61,697.00	\$0.00	\$0.00	\$61,697.00
98423 RAPID RESPONSE FOR RA&PGM (541) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$185,092.00	\$0.00	\$0.00	\$185,092.00
98213 TITLE I DISLOCATED WORKER (500) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$540,343.00	\$0.00	\$0.00	\$540,343.00
96203 TITLE I DISLOCATED WORKER (501) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$338,463.00	\$0.00	\$0.00	\$338,463.00
98213 TITLE I DISLOCATED WORKER (502) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$1,424,387.00	\$0.00	\$0.00	\$1,424,387.00
96213 TITLE I RAPID RESPONSE (241) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-D	\$2,549,982.00	\$0.00	\$0.00	\$2,549,982.00
TITLE I-Y: YOUTH				
96103 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
98043 TITLE I 15% YOUTH SPEC PR (310) CWIB CTE : 06/30/2013 to 03/31/2015 Prog/Element 61/ 40 Ref 001 Fed Catlg 417259	\$0.00	\$213,750.00	\$0.00	\$213,750.00
TOTAL TITLE I-Y	\$2,342,444.00	\$213,750.00	\$0.00	\$2,556,194.00
GRAND TOTAL:	\$7,166,925.00	\$213,750.00	\$0.00	\$7,380,675.00

All references are to the Workforce Investment Act of 1998, Title I, unless otherwise noted. For modifications purposes only. All other terms and conditions of this exhibit not included herein remain unchanged.

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 05

EXHIBIT NN
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: CWIB CTE 61.40 310

TERM OF THESE FUNDS: 06/30/2013 TO: 03/31/2015

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to incorporate \$213,750 of WIA 15% funds for the Youth Career Technical Education (CTE) project into grant code 310. The term of these funds is from 6/30/13 to 3/31/15.

This modification will allow you to charge allowable activities based on your project proposal as early as 6/30/13. However, the grant funds available are limited to 10% of the total award.

You must submit a modification incorporating project specific exhibits to this modification within 60 days of the execution date before additional funds will be released. Your project manager will work with you to complete the exhibits.

During the interim, while the agreement is refined, you must insure that all activities are allowable uses of the funds as stated in the Solicitation for Proposal and your original proposal as governed by the WIA and the associated federal regulations, State and federal directives, and federal Office of Management and Budget (OMB) Circulars.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.



Edmund G. Brown Jr.
Governor

October 22, 2013

Mr. Jeffrey Rowe, Director
Stanislaus County Alliance Network
251 E. Hackett Road, C-2
Modesto, CA 95358

Dear Mr. Rowe:

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT K386334

Enclosed is a copy of modification number six of your WIA Subgrant Agreement. This modification incorporates exhibits and adult participant-only grant code 218 for the Youth Career Technical Education (CTE) project in grant code 310.

If you have any questions, please contact your Regional Advisor.

Sincerely,

/s/ VIVIANA NEET
Manager
Financial Management Unit

Enclosure

cc: Mr. Vito Chiesa, Chief Elected Official
Regional Advisor – Gabriel Garcia
Maria McNamara, FMU

Cleared *KS*
 Dist. *WIA 10/17/13*

WIA SUBGRANT AGREEMENT

STANISLAUS COUNTY

REGISTRATION NO: K386334
 MODIFICATION NO: 06
 SUBGRANTEE CODE: STN

SUBGRANTOR: State of California
 Employment Development Dept.
 Workforce Services Division
 P.O. Box 826880, MIC 69
 Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
 251 E. HACKETT ROAD C-2
 MODESTO, CA 95358

GOVERNMENTAL ENTITY: YES

This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above named Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart	Exhibit AA, pages 1 through 1
Title I-A (TITLE I 15% ADULT SPEC PR)	Exhibit NN, pages 1 through 1
Title I-Y (TITLE I 15% YOUTH SPEC PR)	Exhibit NN, pages 1 through 1

WIA 5% Youth Career Technical Education

Project Narrative	Exhibit A 18 Pages
Participant Plan Adult	Exhibit B 4 Pages
Participant Plan Youth	Exhibit C 4 Pages
Expenditure Plan	Exhibit E 1 Page
Budget Summary Plan	Exhibit F 1 Page
Supplemental Budget Form	Exhibit G 1 Page
Project Work Plan	Exhibit I 2 Pages
Performance Goals/Benchmark Plan	Exhibit K 1 Page

ALLOCATION(s):	PRIOR AMOUNT:	\$7,380,675.00
The Subgrantor agrees to reimburse the Subgrantee	INCREASE/DECREASE:	\$0.00
not to exceed the amount listed hereinafter "TOTAL":	TOTAL:	\$7,380,675.00

TERMS OF AGREEMENT:	Terms of Exhibits are as
From 04/01/2012 to 03/31/2015	designated on each exhibit

PURPOSE: To incorporate Exhibits and adult participant only grant code 218 for the Youth Career Technical Education program in grant code 310.

APPROVED FOR SUBGRANTOR (EDD) (By Signature)	APPROVED FOR SUBGRANTEE (By Signature)
<i>Jose Luis Marquez</i>	Unilateral modification. Subgrantee Signature not required.
Name and Title JOSE LUIS MARQUEZ CHIEF WORKFORCE SERVICES DIVISION	Name and Title
I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein:	This Agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen. 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance:
<i>Gabriele Long</i>	<i>Brian Jamison</i>
Signature of EDD Accounting Officer	Signature of EDD Contract Officer

WIA
SUBGRANT AGREEMENT
FUNDING DETAIL SHEET

Exhibit AA
Page 1 of 1

SUBGRANTEE NAME: STANISLAUS COUNTY

SUBGRANT NO: K386334
MODIFICATION NO: 06

I. ALLOCATION

FUNDING SOURCE	PRIOR AMOUNT	INCREASE	DECREASE	ADJUSTED ALLOCATION
TITLE I-A: WIA-ADULT				
96153 WIA TITLE I ADULT FORMULA (201) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$173,538.00	\$0.00	\$0.00	\$173,538.00
98283 WIA TITLE I ADULT FORMULA (202) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417258	\$2,100,961.00	\$0.00	\$0.00	\$2,100,961.00
TITLE I 15% ADULT SPEC PR (218) CTE ADULT PARTCPT : 06/30/2013 to 03/31/2015 Prog/Element / Ref Fed Catlg 417258	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-A	\$2,274,499.00	\$0.00	\$0.00	\$2,274,499.00
TITLE I-D: WIA-DISLOCATED WORKERS				
96213 RAPID RESPONSE FOR RA&PGM (540) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$61,697.00	\$0.00	\$0.00	\$61,697.00
98423 RAPID RESPONSE FOR RA&PGM (541) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$185,092.00	\$0.00	\$0.00	\$185,092.00
98213 TITLE I DISLOCATED WORKER (500) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$540,343.00	\$0.00	\$0.00	\$540,343.00
96203 TITLE I DISLOCATED WORKER (501) : 07/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$338,463.00	\$0.00	\$0.00	\$338,463.00
98213 TITLE I DISLOCATED WORKER (502) : 10/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417278	\$1,424,387.00	\$0.00	\$0.00	\$1,424,387.00
96213 TITLE I RAPID RESPONSE (241) : 07/01/2012 to 06/30/2013 Prog/Element 61/ 70 Ref 001 Fed Catlg 417278	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL TITLE I-D	\$2,549,982.00	\$0.00	\$0.00	\$2,549,982.00
TITLE I-Y: YOUTH				
96103 WIA TITLE I YOUTH FORMULA (301) : 04/01/2012 to 06/30/2014 Prog/Element 61/ 00 Ref 101 Fed Catlg 417259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
98043 TITLE I 15% YOUTH SPEC PR (310) CWIB CTE : 06/30/2013 to 03/31/2015 Prog/Element 61/ 40 Ref 001 Fed Catlg 417259	\$213,750.00	\$0.00	\$0.00	\$213,750.00
TOTAL TITLE I-Y	\$2,556,194.00	\$0.00	\$0.00	\$2,556,194.00
GRAND TOTAL:	\$7,380,675.00	\$0.00	\$0.00	\$7,380,675.00

All references are to the Workforce Investment Act of 1998, Title I, unless otherwise noted. For modifications purposes only. All other terms and conditions of this exhibit not included herein remain unchanged.

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 06

EXHIBIT NN .
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: CTE ADULT PARTICIPANT 218

TERM OF THESE FUNDS: 06/30/2013 TO: 03/31/2015

| Use of funds added by this modification is limited to this period and |
| additionally limited by the recapture provisions applicable to this |
| funding source. The state may at its discretion recapture funds obligated |
under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to incorporate the Adult Participant Only grant code 218 to the Youth Career Technical Education program. The term date for this grant code are 6/30/13 to 3/31/15.

| This exhibit adds to and does not replace the terms and conditions of any other exhibit |
included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

EXHIBIT COVER SHEET

SUBGRANT NO: K386334
MODIFICATION NO: 06

EXHIBIT NN
Page 1 OF 1

SUBGRANTEE: STANISLAUS COUNTY
FUNDING SOURCE: CWIB CTE 61.40 310

TERM OF THESE FUNDS: 06/30/2013 TO: 03/31/2015

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to incorporate Exhibits to the initial subgrant agreement for the Youth Career Technical Education program in grant code 310.

The initial subgrant agreement was executed with the stipulation that the grant funds available are limited to 10% of the total award, and that a modification request incorporating project specific exhibits to the initial agreement must be submitted within 60 days of the execution date before the additional grant funds would be released.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)

**HIGH CONCENTRATION OF ELIGIBLE YOUTH
MANUFACTURING SKILLS PROJECT PY 2013-15**

**Workforce Investment Act
Employment Development Department
Proposal Narrative**

Stanislaus County Alliance Worknet in Partnership with Project Yes

Part A: Required Project Elements

1. Partnership with an established Career Technical Education Pathway Programs.

The Stanislaus County Alliance Worknet in partnership with Project YES (a WIA funded program) has established a long-standing relationship in serving at-risk youth in the region. For the past nine years Project YES has successfully served over 1,250 at-risk youth in attaining educational and employment related goals. Through this project The Alliance Worknet will be partnering with the existing WIA funded youth service provider in the area, Project Yes and the existing Manufacturing high school based training programs in the cities of Ceres, Modesto and Patterson.

The manufacturing industry in Stanislaus County is in high demand for trained, skilled employees who are ready to enter the industry and have the potential to learn additional skills and advance to other positions within the industry. Even with the current economic recession negatively affecting the labor market throughout California, the manufacturing industry has held steady the number of people it employs. With a large number of highly skilled workers set to retire in the next five to ten years, employers are seeking to employ youth and young adults that have a basic knowledge of manufacturing and basic welding skills that can be trained over the next few years to replace those that are retiring. The skills gap will be met through college level manufacturing courses offered while still in high school, with additional courses taken at Modesto Junior College or a local trade college to qualify the student to earn an industry related certificate.

The Stanislaus County Alliance Worknet is the working entity of the local Workforce Investment Board and therefore has a built-in relationship with the WIB. Additionally, the Alliance Worknet has successfully formed effective partnerships with Modesto Junior College and other post-secondary training providers throughout the San Joaquin Valley. The Alliance Worknet partnered with the Project Yes in 2004 in response to the unmet need of the at-risk youth in the community. This partnership resulted in the successful creation of an integrated and comprehensive workforce and education service model, serving thousands of at-risk youth through high-quality services in Stanislaus County. Lastly, the formal agreements have been established with over 200 employers as part of the WIA year-round youth and the Careers in Manufacturing programs. Many of these employers also serve on the Career Technical Education Advisory Committee (***Attachment 1***), providing their expertise of their industries, skills required, and jobs available.

Additionally, this effort will link with the existing Careers in Manufacturing Program which serves as a partnership between the Manufacturer's Council of the Central Valley, Stanislaus Economic Development & Workforce Alliance and the Stanislaus Partners in Education (SPIE). The CIM brings together industry related employers, educators and workforce development professionals. The CIM increases the awareness of young people considering careers in manufacturing, promotes industrial training programs offered at Modesto Junior College, fills current and projected openings in the manufacturing industry, and reduces the drop-out rate at area high schools.

HIGH CONCENTRATION OF ELIGIBLE YOUTH MANUFACTURING SKILLS PROJECT PY 2013-15

Through this effort will be partnering with the high school manufacturing programs in Ceres, Modesto and Patterson. Specifically, Ceres High School's Green and Clean Manufacturing Academy was developed in 2009. This Academy was developed in response to the need to formalize the manufacturing training that was occurring, and bring into the Academy the industry commitment to internships, mentoring and instructional support, as well as concurrent course enrollment with Modesto Junior College.

2. Clear plan for implementing "Earn and Learn" or other "bridges" to employment in advanced manufacturing.

The Alliance Worknet and Project YES are committed to providing youth with the best possible advantages and training to enter the workforce prepared for success. Specifically, we have successfully **increased** linkages between secondary and postsecondary educational institutions that include the following activities: a) Expanded concurrent credit course offerings with Modesto Junior College; b) Provided college level courses on high school campuses through Modesto Junior College, California State University Stanislaus, Merced Community College, Delta College, and UC Merced; c) Created partnerships with higher education institution's Outreach Programs; d) Promoted educational and career transition information to high school sites, provided by various college School-to-Career programs; and e) Increased awareness among high school counselors of the vocational opportunities offered through local community colleges.

As part of the Alliance Worknet's efforts to work with all education stakeholders and relevant agencies for employment and training, the Alliance is continually expanding existing partnerships and developing new ones with these groups and entities with the goal to create CTE programs that are comprehensive and do not duplicate others in the area.

Participating youth (in- and out-of-school) will participate in work experience opportunities that are directly related to their career path that provides practical job experience – bridging what is learned through Career Technical Education to post-secondary training and then to a living - wage job.

The difference that makes this program partnership unique above all others in the area, is the direct involvement of the local employers and Modesto Junior College in the design of the content and skills being taught in the CTE courses, alongside the services provided by Project YES. This ensures that the employers can be confident that our students are ready and have the necessary skill sets to be successful within their companies.

Youth in the region have direct access to CTE programs that meet their needs to be employed shortly out of high school with a living-wage job. The Alliance provides the **direct** link between K-12 education, college and employment. The Alliance and its partners bridge the historical gap between education and industry by actively involving local employers, such as Gallo Winery, Con-Agra Foods, JM Equipment, and other manufacturing industry employers.

Additionally, the Ceres High Manufacturing Academy is a **unique** program that is designed to provide students with education, skills and employment opportunities with well-known local companies. The element that makes this program so unique is the level of involvement and commitment by not only educators and employers, but also by the local economic development agencies. Students in this program will obtain college level credit while attending high school

HIGH CONCENTRATION OF ELIGIBLE YOUTH MANUFACTURING SKILLS PROJECT PY 2013-15

through a **concurrent credit** program with Modesto Junior College, and then after one year of continued training at MJC will be qualified to earn an industry accepted certificate in a specific field and then have opportunities for employment with the industry partners beginning at "entry level" wages that range from \$15 to \$25 per hour or higher.

Academy students explore various career options during their participation in the Academy, as a result of the specific skills they are learning that can result in successful employment in the manufacturing field. Students also participate in internships following their 11th grade year to gain real-world experience. Additionally, students are earning college credits while attending the Academy and are being prepared to continue their education and training at Modesto Junior College, to ensure their readiness to enter the workforce. Each of the CTE teachers currently providing instruction are approved by Modesto Junior College to provide instruction that meets the postsecondary concurrent credit requirement. Academy students are also provided opportunities for internships and work-based learning experiences following the 11th grade year, by our CTE business partners and CTE teachers. These internships are scheduled during the summer months, as well as the senior year, after school and on weekends. The work hours of these internships do not exceed those established as part of the District's existing Work Permit restrictions, to ensure the student and internship provider are in compliance with state and local child labor laws. All students participate in a job readiness workshop seminar prior to being approved for an internship opportunity. This seminar series provides basic skills training students will need to be successful on a job: appropriate attire, punctuality, communicating effectively with others, working in a team, manners and attitude, and so much more.

Our WIA partnering program, Project YES, has successfully developed and implemented proven service strategies for participants. Service strategies for each participant are developed through the use of an Individual Service Strategy plan (ISS), utilizing available benchmark data. The Innersight Experience assessment is used to assist participants in identifying a specific career goal that coincides with their individual interests and abilities. Resources, such as the Stanislaus County Occupational Outlook Report, inform participants of potential earnings and employability in their chosen field.

Project YES also provides preparation opportunities for post-secondary education, when it is the stated goal for a participant. All Project YES participants are required to attend at least one college information session or workshop. CUSD's comprehensive high school program provides preparation for postsecondary educational services for in-school youth. Argus High School is a continuation high school that offers academic support programs in which students receive remediation in core subject areas and credits for attendance. Out-of-school youth that are basic skills deficient or lacking a high school diploma, are provided with GED preparation and tutoring through direct services and partners services in multiple locations throughout Stanislaus County, including Stanislaus Literacy Center (Turlock location) and Ceres Adult School (Ceres location). Other older youth demonstrating need for remediation are referred to Modesto Junior College for early college and remediation services. Project YES also provides on-site tutoring for participants that need one-on-one assistance with their course work.

Project YES establishes strong links between academic and occupational learning as part of each participant's Individual Service Strategy. These links can better be described as the process of assessing a participant's academic strengths and weakness and connecting each to an

HIGH CONCENTRATION OF ELIGIBLE YOUTH MANUFACTURING SKILLS PROJECT PY 2013-15

appropriate occupational learning environment. In-school youth are guided to take advantage of the educational opportunities that would best prepare them for their chosen career path. In-school youth are also required to maintain a 2.5 GPA with no failing grades to qualify for work experience. Out-of-school youth's academic needs are assessed, and when determined appropriate receive academic remediation to enhance their employability.

Participants are prepared for unsubsidized employment through varied methods:

- ROP Programs: This vocational training allows participants to gain specific job skills that could then be practiced and perfected through work experience.
- Subsidized work experience is anticipated for 80% of program participants. This work experience may take place in the summer or year round, depending on the needs of the individual.
- Employability Skills Classes; are provided in the form of weekly workshops facilitated by the Program Manager, with guest speakers providing relevant and in-depth information on various employment related topics. Out-of-school youth also attend employability skills classes as deemed appropriate. Employability skills classes will address many issues that participants may have with successful employment, such as lack of work ethic, lack of knowledge about the world of work, expectations of employers, and other limitations.
- Supportive Services; include bus passes, clothing, tools, equipment, and other items allowed within WIA policy, are provided as needed to prepare clients for successful unsubsidized employment.

Counseling Services are available to participants who have challenges needing to be addressed prior to successful job placement. These services are provided by the Center for Human Services in collaboration with Ceres Healthy Start and are housed in the Project Yes facility for convenient access and availability to participants and their families.

3. Collaboration with a skills credentialing entity.

It is anticipated that participants will obtain needed skills and competencies that will be provided through the Manufacturing Skills Standards Council's (MSSC) Certified Production Technician (CPT) curriculum. This training leads to an industry recognized credential in manufacturing that is highly desirable in the current advanced manufacturing environment. The credential includes modules in four core curriculum areas; Safety, Quality Practices & Measurement, Manufacturing Processes & Production, and Maintenance Awareness. Each learning module is taught individually and when all four modules are complete, the participant earns a full Certified Production Technician industry recognized credential. Ceres Unified School District plans to train the Manufacturing Academy instructor to be a certified MSSC CPT instructor and staff will be able to administer the certification proctoring on-site, or use Modesto Junior College proctors, to ready participants for employment.

The federal National Skill Standards Board formally recognized MSSC as the standards and certification "Voluntary Partnership" for all manufacturing sectors in 1998 and officially endorsed MSSC's industry-led, nationally validated standards in 2001. MSSC has since been used by the U.S. Departments of Labor, Education, Defense and Veterans Affairs, Job Corps and the Federal Prison System. MSSC's delivers these tools through a nationwide network of over 630 authorized

HIGH CONCENTRATION OF ELIGIBLE YOUTH MANUFACTURING SKILLS PROJECT PY 2013-15

instructors and 397 authorized test sites in 39 states. To date, MSSC has given over 52,000 assessments and issued 42,000 credentials.

This planned approach will enable participating youth to obtain industry recognized certifications that are relevant to local manufacturers, portable, and in-demand on a national scale. In-school youth will begin their skills training while attending Ceres High School's Manufacturing Program, while out-of-school youth will receive academic remediation to enable them to meet the conditions of employers for hiring, and complete the MSSC CPT curriculum. Case management ensures that each participant will continue on to further training, through a training provider or an employer (work experience, on-the-job training) as part of the Individual Service Strategy designed for each participant at the beginning of the program and with the goal of obtaining unsubsidized employment. Additionally, barriers to success are addressed to enable each youth the opportunity to succeed.

4. Broad stakeholder buy-in.

The Alliance Worknet and its partners are continually developing effective connections with local and county-wide agencies that have strong links to the job market and employers in the area. These connections are facilitated through formal and informal communication including telephone, fax, and email, as well as attendance at the Career Technical Education Advisory Committee meetings, Chamber of Commerce events, Career Fairs, Open Houses and through individual invitations to employers to visit the youth programs.

The Alliance Worknet has worked diligently and consistently to build a wide ranging collaboration with local businesses, post-secondary training providers, referral and resources agencies and local officials, to create a database of partners that can best serve the needs of youth today. The majority of partners represent businesses that are willing to provide youth participants with subsidized and unsubsidized work experience opportunities. In most cases, these opportunities have led to long term employment for our youth. Employers now directly contact Alliance Worknet, to inquire if more youth are ready for their work experience and/or are seeking employment opportunities.

Business partners from Central Valley and the surrounding area that include: Gallo, Kraft, Con Agra, Frito Lay, Racor, and the Manufacturers Council of the Central Valley have participated in identifying industry needs and employment opportunities. Representatives of these companies have committed to continue their partnerships with the Alliance Worknet and its partners in providing employment opportunities to participating youth that successfully complete the program and obtain skills that result in an industry recognized certificate. Evidence of the commitment of all stakeholders to the Regions Manufacturing programs is demonstrated in their continued involvement in the program since the beginning phases of each program site (Ceres High, Patterson High, Johansen High (Modesto). The authentic process undertaken for program development involved the long-standing CTE instructors, site and district administration, local business industry representatives, and the Industrial Technology Dept. staff of Modesto Junior College, including Director, Pedro Mendez.

Post-secondary commitment is evidenced by the fact that MJC continues to provide resources and funding to support on-going CTE and core teacher collaboration to evolve the integrated curriculum in all classes. The purpose of this integration is to provide CTE students with industry related instruction while still meeting the California State Common Core Standards and CTE Model Curriculum Standards for student achievement. The resulting outcome has been the development

HIGH CONCENTRATION OF ELIGIBLE YOUTH MANUFACTURING SKILLS PROJECT PY 2013-15

of curriculum that is problem or themed-based that promotes an understanding of the applications of academic knowledge and skill in the manufacturing industry.

Additionally, the Alliance works closely with local industry to insure that CTE teachers effectively use applied learning to contribute to the academic, career and technical knowledge of students. CTE teachers are provided opportunities to work as interns during the summer months with the manufacturing business partners, as well as receive additional training from the Modesto Junior College's Industrial Technology instructors to ensure that a "real world" perspective and appropriate connected instruction can be provided at the high school level of the program.

Further examples of stakeholder "buy in" are provided through the Manufacturing Academy program at Ceres High School that involved members of the Career Technical Education Advisory Committee. The function of this Committee is to provide understanding between participating schools, the Ceres community, and business and industry. The CTE Advisory Committee and the individual pathway subcommittees consist of individuals representing the school district, school administrators, lead teachers, local industry businesses, community colleges, private sector, parents and students that provide ongoing development, implementation, and evaluation of the programs. Given that experts in each pathway serve on the CTE Advisory Committee, it is their direct perspectives and knowledge of their industry that is most beneficial in guiding the Committee's comprehensive and realistic discussions of the direction of the CTE Programs. The direct experiences of placed students, their parents, employers of our youth and CUSD staff are highly valued as critical components in the process of determining effectiveness and relevancy of the program services and pathways to this community. Ceres Unified School District is committed to continuing the pursuit of representatives from the community to serve on the CTE Advisory Committee and provide continued guidance and support to the youth of the Ceres community. All partners of this Committee formally commit to participate on an annual basis, with informal agreements for a minimum of five years.

In addition, the Alliance Worknet representatives also serve on the Career Technical Education Advisory Committee of Ceres, solidifying the Alliance's partnerships with local manufacturing employers that provide job opportunities and work experience to the graduates of the Careers In Manufacturing Program. The Alliance continually seeks representatives from the local manufacturers to serve on this Committee in an effort to support the expansion of the representation of this career sector and to improve long-term opportunities for all CTE students in Ceres and throughout the county.

As part of the commitment to providing quality Career Technical Education programs in the region, students, parents and the community are invited to participate as key stakeholders in the planning, development, articulation, review and approval of each district's Career Technical Education Plan. Through a comprehensive review process, the key stakeholders have designed each district's plan to complement other similar CTE programs in Stanislaus County.

Annually, plans are reviewed by the members of the Career Technical Advisory Committee in collaboration with additional district administrators and leaders. Recommendations for modifications to the Plan, based on industry information, local labor needs, economic forecasts and education requirements, are presented to each district's Board of Trustees for approval and implementation.

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5. *Development of an industry sector partnership in advanced manufacturing.*

The Alliance Worknet Director, Jeff Rowe serves as the Chair of the Career Technical Education Advisory Committee, solidifying the Alliance's partnerships with local manufacturing employers that provide job opportunities and work experience to the graduates of the *Careers in Manufacturing Program*. The Alliance continually seeks representatives from the local manufacturers to serve on this Committee in an effort to support the expansion of the representation of this career sector and to improve long-term opportunities for all CTE students in Ceres and throughout the county.

Business partners from the region include: Gallo, Kraft, Con Agra, Frito Lay, Racor and the Manufactures Council of the Central Valley have participated in the development of the design of the Manufacturing Programs in the region. Representatives of these companies are committed to continuing to partner with local school districts and Modesto Junior College in providing employment opportunities to students that successfully complete the program after one additional year of education and skill development (that leads to a certificate) from MJC. As an example, **Attachment 4, Green Manufacturing Program Academy** chart provides a summary view of the program from elementary through college, to industry certificate and employment opportunities, as designed for Ceres High's Manufacturing Academy.

6. *Matching Funds.*

The Stanislaus County Alliance Worknet is the working entity of the local Workforce Investment Board and therefore has a built-in relationship with the WIB. Additionally, the Alliance Worknet has successfully formed effective partnerships with Modesto Junior College and other post-secondary training providers throughout the San Joaquin Valley. The Alliance Worknet (partnered with Project YES in 2004 in response to the unmet need of the at-risk youth in the community. This partnership resulted in the successful creation of an integrated and comprehensive workforce and education service model, serving thousands of at-risk youth through high-quality services in Stanislaus County. Lastly, the formal agreements have been established with over 200 employers as part of the WIA year-round youth and the Careers in Manufacturing programs. Many of these employers also serve on the **Career Technical Education Advisory Committee (Attachment 1)**, providing their expertise of their industries, skills required, and jobs available.

Given the fact that Ceres Unified has implemented the Manufacturing Academy Program at Ceres High School, the district level in-kind contributions are numerous and far exceed the 33% match requirement. Just as significant, is the fact that the current industry partners have committed sufficient in-kind contributions that also exceed the 33% match requirement. An example of how the district provides substantial support for the program is through the district's commitment to renovate and modernize the third CTE shop building on the campus. This \$2 million dollar project will provide the final and third state-of-the-art training center for students in the Manufacturing Pathway Program. An example of business/industry partners' commitment to meaningful and ongoing support for the Academy is evidenced by Modesto Junior College's contribution of the use of eight training modules, valued at approximately \$58,000 for the Manufacturing Program. The estimated in-kind value of internships is approximately \$10,000 to \$15,000 per year.

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Part B: Proposed Activities and Services

The Alliance Worknet (AW) has been innovative in providing program services to at-risk youth in Stanislaus County. Through a partnership with Project YES the successful creation of an integrated and comprehensive workforce and education service model resulted that includes: a comprehensive resource center model, employability skills classes and employer seminars regarding skills needed and jobs available, parent involvement, mentoring, Career Fairs, training opportunities, supportive services, incentives for achievement, job shadowing and leadership building opportunities. Project YES also provides an objective evaluation of the academic deficiencies, occupational skill levels, and service needs of each participant through a comprehensive assessment process.

Project YES provides an objective assessment of the academic levels, skill levels, and service needs of each participant through a comprehensive assessment process.

Academic skill level in the areas of reading and math are assessed using the Comprehensive Adult Student Assessment System (CASAS). During the eligibility determination process, the academic skill level of a participant is initially assessed to determine the most appropriate strategy of services for the client. Interpersonal skill levels and service needs are determined through the use of the Individual Service Strategy (ISS) document, and through discussions with referring teachers, counselors, and family members. Vocational skills are determined through certificates of completion of course work or training records. The Program Manager and Youth Advisors develop a close relationship with participants that enable the identification of barriers and needs. At the completion of training, post tests are administered to determine if participants have met their program goals.

All ROP and CTE programs are designed to provide students with real-life work activities, while also meeting existing academic requirements. Several CTE Academies exist in Stanislaus County in which the academic course within the CTE pathway are provided in a school-within-a-school setting allowing for the academic instruction to be delivered in a manner that is directly involving the CTE pathway. We will link our participating youth to the employers that are direct partners in these pathways. For out-of-school youth, their academic instruction and training will be directly linked to their Individual Service Strategy (ISS) goals. For instance, youth meeting the entrance eligibility requirements will be enrolled in technical educational and training programs in the region.

Project YES develops service strategies for each participant that identifies an employment goal. Service strategies for each participant are developed through the use of the ISS, utilizing available benchmark data. The Innersight Experience assessment is used to assist participants in identifying a specific career goal that coincides with their individual interests and abilities. Resources, such as the Stanislaus County Occupational Outlook Report, inform participants of potential earnings and employability in their chosen field.

Tutoring, study skills, and dropout prevention programs are available on-site through a partnership with the CUSD Foundation, Monday through Friday, with some Saturday appointments. Bilingual tutors are available to assist students that speak English as a second language. Participants who are struggling academically are assigned a tutoring schedule and are required to attend as a condition of other program participation. Alternative education and out-of-school participants receive tutoring and study skills through the Modesto Junior College remedial education program, as well as through a WIA Youth Adviser.

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Drop-out prevention is conducted by Youth Advisors administering case management for each participant. In-school youth will be counseled on the benefits of staying in school and communication will occur between the Youth Advisor and the Attendance Department of the districts in which the students are attending (Ceres, Turlock, Keyes, Modesto, Patterson, etc.). The Alliance Worknet will also coordinate supportive services for these students to assist them with staying in school (including, housing, counseling, mental health, etc.). A student incentive program is also utilized through a reward system and more.

Alternative school placement resources are also provided to clients. These resources can include local alternative high schools, adult education programs and community schools. Within walking distance to Ceres Unified School District's One-Stop Resource Center, there are three alternative education programs, including Ceres Adult School, Argus High School and Endeavor Alternative Center. Students attending Argus and Endeavor are able to receive their education through independent study, or in small classroom settings with a minimized schedule that allows for increased flexibility.

Also through this program, participating youth with children may receive subsidized child care as a supportive service, in an effort to eliminate this barrier to attaining education or job placement goals.

A Service Process Flowchart is provided as **page 12**.

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Youth to be served

The Alliance Worknet in partnership with Project YES is proposing to serve 50 qualifying WIA eligible youth throughout the region. This population represents youth who are identified as those having an education barrier and an additional barrier to employment and success. These youth will be recruited from the existing CTE Manufacturing Programs at Ceres High, Johansen High and Patterson High, as well as through peer-to-peer referral, county-based Adult Schools and alternative education schools, county-wide foster agencies, local school counselors, and many other social service agencies serving at-risk youth. The philosophy of Project YES is that all participants will demonstrate their dedication to self-improvement and program activities, and view Project YES as a comprehensive program, not a temporary job service.

Goals of the Program

Project YES program goals include: **1)** exceeding the performance standards set for participating youth in the area of education, getting an industry recognized credential and employment attainment. **2)** Identifying specific individual goals for each participant based on his/her assessed needs, including current education levels and skills and/or job readiness. **3)** Assisting youth in improving quality of life, obtaining financial independence, improved interpersonal relationships/social skills, as well as an improved sense of belonging and community, broadening their horizons of what is possible, and meeting the basic needs of the youth. **4)** The final goal is to continue to build a network of support for disconnected youth to support the professional and personal growth. This involves continuing to expand employer relationships (paid and up-paid work experience), identifying appropriate community service opportunities, linking youth participants with mentors and positive adult role models, as well as empowering youth to lead a life of self-sufficiency.

Benefits of the Program

Participants of Project YES gain a multitude of individual benefits from successful program participation. The two targeted areas in which participants benefit are education and employment.

Individual Benefits

The individual benefits of participation in Project YES include: attainment of high school diploma/GED; attainment of successful long-term employment; identified solutions to barriers such as transportation, health care, clothing, and housing; enrollment and completion of post-secondary education; qualification for financial aid for college; development of (soft) skills to create an effective resume and retention of employment; acquisition of WorkKeys Training; participation in work experience; receipt of mental health counseling; and occupational skills training leading to a recognized credential in manufacturing. An additional benefit for in-school youth is improved attendance, motivation and commitment to attaining a high school diploma resulting in increased opportunities for post-secondary education and employment. During the past eight years Project YES and its participants have experienced many successes that have resulted in a direct return on the public's investment in this program. Of the over 1,600 youth served since 2004, 72% of youth were identified with education/employment attainment as a goal, of which over 90% achieved this goal, far exceeding the state common measurement of 67%.

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Management of the Program

The staff of Project YES currently includes a Program Manager, Youth Advisors, and secretarial support. The Program Manager facilitates all aspects of the program and participates with the Youth Advisors, as case managers. These program staff members facilitate the identification of potential participants, determination of applicant eligibility, conduct assessments, facilitate weekly employability skills classes, supervise work experience, and refer participants to Youth Training Referral Agreements. The Lead Secretary tracks the payroll database of participants, organizes and processes all necessary documents to the Alliance Worknet as required, and conducts general office duties. The Program Manager is directly accountable to the Alliance for all aspects of program services. Project YES is directly supervised by the Ceres Unified School District (CUSD) Assistant Superintendent of Student Support Services. The Assistant Superintendent reports to the Superintendent and the District Board of Trustees. Fiscal management support is provided by the CUSD Business Services Division Budget Analyst, who is supervised by the Director of Business Services. The Assistant Superintendent of Business Services provides final oversight of the Business Services Division.

Need for Program Services

A profile of Stanislaus County youth issues reveals formidable challenges regarding youth, education and crime issues. According to the California Department of Education Dataquest information database, the dropout rate of students in Stanislaus County as of June 2010 was 19.6%, June 2011 was 16.7% and June 2012 was 14.6%. According to the 2010 US Census, 18.2% of families with children under 18 years old live under the poverty level. Moreover, about a quarter of the population has attained less than a high school diploma with only 1.5% of households attaining a bachelor degree or higher. According to the Department of Labor - Bureau of Labor Statistics the documented unemployment rate of 16 to 19 year olds in California is 34.4%. There is a great need in the San Joaquin Valley to provide youth with skills that can lead to living-wage jobs in the area. Not only do our youth need stable incomes, and employers need qualified workers to replace the large amount of workers who are scheduled to retire in the next few years.

Project YES has served at-risk youth with multiple barriers to education and employment over the past nine years with 42% residing in Ceres, and 58% residing in other areas of the county. The table below provides the percentage of youth served who demonstrated barriers upon enrollment, as evidenced by participant reports submitted to the Alliance Worknet.

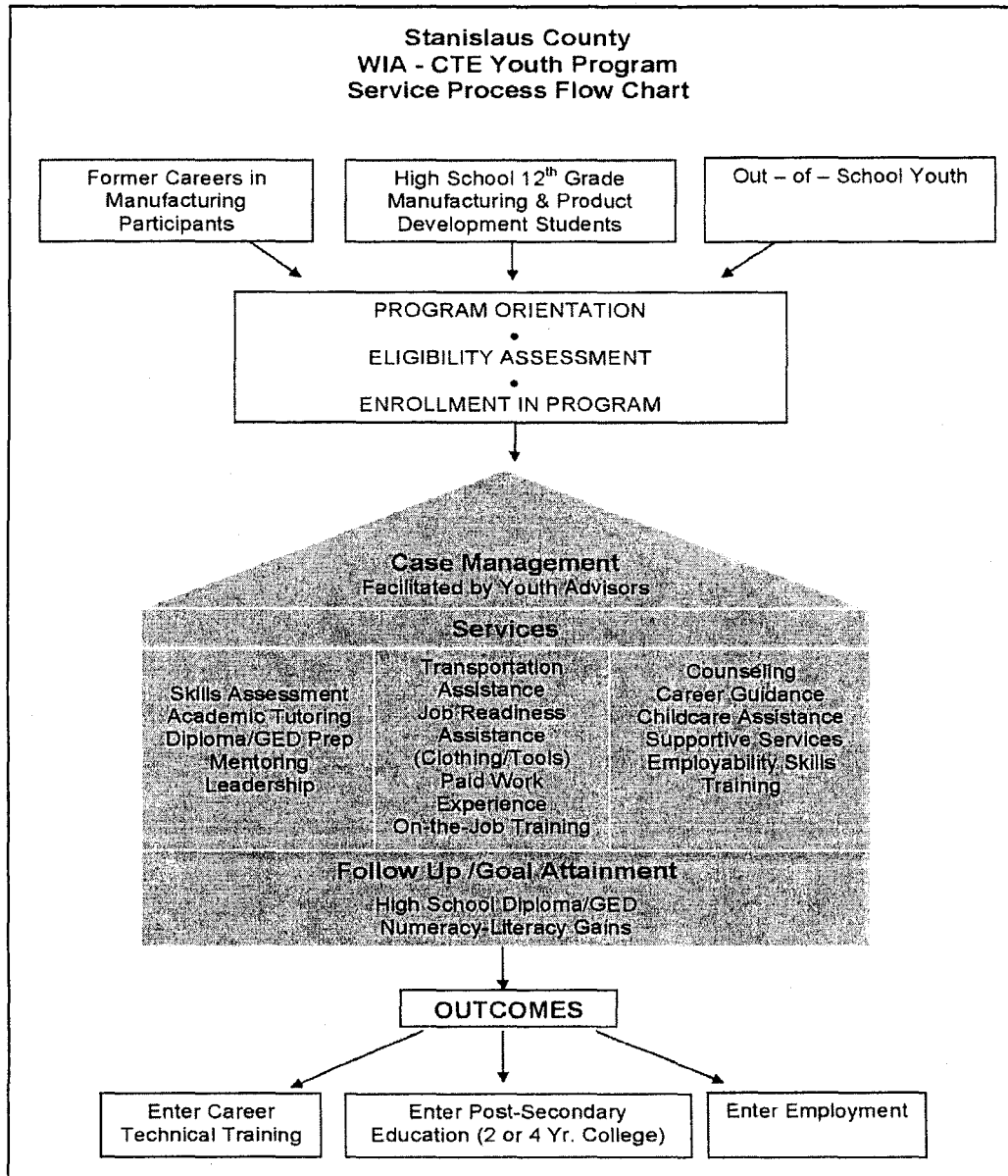
Table 1: Percentage of Youth Participants with Barriers

Disability	Homeless	Foster Care	Criminal Offender	Basic Skills Deficient	High School Drop Out	Parent/Pregnant Teen
12%	2%	14%	16%	100%	39%	32%

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Delivery of Program Services

The needs of the youth participants are met through a comprehensive matrix of services Project Yes has successfully designed and implemented over the past eight years, as provided in the figure at left.



Project YES has developed policies and procedures that ensure all clients are served with the highest quality services and programs, while also maintaining compliance with WIA standards.

Alliance Worknet guidelines are followed with fidelity to ensure that program performance is at or above the established standards. When each participant's Individual Service Strategy (ISS) is completed, a map of services is identified that best responds to the client's individual educational and career needs. Struggling clients are provided additional assistance to ensure that skill attainment rates, diploma attainment rates, and school retention rates fall within the set standards. Initial assessments are

thorough and accurate to ensure goals set for clients are reasonable and attainable, within the program period.

For out-of-school youth, program services are targeted to maximize employability and earnings gain once the client has exited the program. Further program detail on the required six WIA required program service and design elements, as well as all nine activity elements are provided in this narrative response. Youth Advisors provide each participating youth with an exit interview, upon successful completion of the program. In this exit interview clients are provided a variety of employment resources available in the community that will continue to provide them links to information about the current job market and employment opportunities.

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Innovation in Providing Services

Project YES has provided several innovative services for many years. Many of the innovative services are now imbedded as part of the Activity Elements, including: mentoring, training opportunities, supportive services, incentives for achievement, leadership building opportunities, community service and summer jobs for youth promotion. Innovative services continue to include: a comprehensive resource center model, employability skills classes and seminars, and subsidized housing through a private sector partnership.

Comprehensive One-Stop Resource Center: Since July 1, 2004, Project YES has been located on the Ceres Unified School District compound. Project YES is centrally located in the Ceres community, just two blocks from the downtown area. Project YES provides flexible office hours to accommodate the wide ranging scheduling needs of its clients. As a "one-stop" center, Project YES provides resources, referrals, and services, including access to: counseling services, a computer lab that is internet accessible, and other supportive services for youth and families. With late afternoon and evening hours scheduled, clients have a safe environment in which to accomplish their individual education and career goals. Project YES began implementing this innovative "one-stop" center model during the 2005-2006 year, at which time education and employment partners began providing workshops and training to participating youth on the Project YES compound. Additional partners, including Ceres Healthy Start, Ceres Partnership for Healthy Children (CPHC) and Ceres Adult School also provide services on the compound to participants based on identified needs. For example, Ceres Adult School provides GED preparation courses, Keytrain courses, high school diploma courses, and a series of Job Readiness workshops.

Employability Skills Classes: These classes are scheduled by the Project YES Program Manager. All participants are required to attend these classes before and during any work experience or related activity. The philosophy of Project YES is that all participants will demonstrate their dedication to self-improvement and program activities, and view Project YES as a comprehensive program, not a temporary job service. Class topics include: effective work habits, personal responsibility, taking initiative, educational issues, budgeting, introduction to the Workforce Skills Series: Communication/Teamwork in the workplace, and other related topics as deemed appropriate by the Program Manager. Guest presenters from partnering agencies provide participants with in-depth knowledge and diverse perspectives on various topics. For example, local banks have hosted seminars for Project YES participants to receive an introduction to financial literacy and the importance of managing income effectively.

Subsidized Housing: Project YES developed partnerships with private entities to offer a much needed housing component for youth participants that are homeless. These partners provide housing at drastically reduced rental rates and have eliminated the barriers at-risk youth have in seeking other housing opportunities. Additionally, these partners regularly communicate with Project YES youth advisors to support each youth's progress toward meeting his/her established goals. Youth also receive life-skills training (household management, bill paying, etc.) upon participation in this housing program.

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Training Opportunities: As youth participants progress through the program, eligible youth ready for career training are given a career interest inventory evaluation that provides a good appraisal of the career path the participant may be most successful at pursuing. Upon meeting all prerequisite educational requirements, youth participants will be trained in the Manufacturing Skills Standards Council's Certified Production Technician (CPT) curriculum. Those wishing to move to a more academically enriching experience may be referred to Modesto Junior College for additional training in manufacturing skills. In most cases, since the post-secondary education takes longer than one year to complete, Project YES staff assist every youth in completing the Free Application for Federal Student Aid (FASFA) and Cal Grant Applications. This provides youth the ability to apply and possibly qualify for student financial aid to pay for the continuing costs of their education.

Supportive Services: Program supportive services include but are not limited to the following: subsidized childcare costs, clothing, tools needed for employment, work related uniforms, emergency food, shelter/housing, automotive repair, subsidized cost of physical exams and drug tests for employment, TB test required for employment, fingerprint clearance fee, vision care (glasses), GED test fee and re-test fee subsidy, tutoring services, school related test fees, subsidized cost of educational books and supplies, resume development, mental health counseling, transportation (busing) and so many more items that assist youth in overcoming education and social barriers. Due to the limited budget of this grant, Supportive Services will be used only on a last-resort basis.

Leadership Building: While it is not the focus of this grant, Project YES will continue to coordinate multiple leadership building opportunities for participants when it is deemed necessary for the success of the participant. These opportunities have previously included, locally sponsored leadership conferences through the Young Workers Leadership Academy, state and national youth conferences, workshops, group team building activities, peer mentoring, volunteer services, and project based team building. Topics included: transition from youth to adult responsibilities; from high school to college; life issues that encourage leadership roles among youth; and promoting community involvement and service. Youth leaders are encouraged to actively communicate the skills and information they learn with their Project YES peers through youth-led model of workshops and activities.

Work Experience

Having been a previous recipient/grantee of ARRA Summer Youth funding in 2009, Project YES fully understands the importance of linking youth to work experience that provides each youth with hands-on learning experiences in the career path of their choosing. Project YES staff make every effort to place each participating youth in jobs that are directly related to their interests and to their preparation and training, and those that have a greater potential to lead to future permanent employment. Participating youth will be able to experience first-hand (through summer and year-round work experience) what it takes to perform these jobs and the opportunity to learn the industry language that is needed to gain experience and confidence in that specific trade or industry. Conversely, as youth are placed on work experience job sites, this may be the first opportunity the youth has had to gain a better understanding of the career path. As such, the youth is then better equipped to decide if this particular career path is truly the direction he/she wants to pursue.

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Project YES is continually developing effective connections with local and county wide agencies that have strong links to the job market and employers in the area. These connections are facilitated through formal and informal communication including telephone, fax, and email, as well as attendance at Chamber of Commerce events, Career Fairs, Open Houses and through individual invitations to employers to visit the Project Yes facility. Project YES staff provide each participating youth with an exit interview, upon successful completion of the program. In this exit interview, clients are provided a variety of employment resources available in the community that will continue to provide them links to information about the current job market and employment opportunities.

Part C: Anticipated Outcomes

Alliance Worknet has established a successful program model that results in effective services for youth while meeting the needs of local employers. As such, the ***Performance Goals Matrix (Attachment 2)*** developed for this program will achieve the goals and objectives of this program due to the proven capacity of Project YES with at-risk youth programs.

Policies and procedures have been developed that ensure all clients are served with the highest quality services and programs, while also maintaining compliance with WIA standards. Guidelines are followed to ensure that program performance is at or above the established standards. Each participant will have an Individual Service Strategy (ISS) developed by a Youth Advisor. A map of services is then identified that best responds to the client's individual educational and career needs. Initial assessments are thorough and accurate to ensure goals set for clients are reasonable and attainable, within the program period. For out-of-school youth, program services are targeted to maximize employability and earnings gain once the client has exited the program.

Project YES will serve students recruited through the Stanislaus County Careers In Manufacturing Program, and in-school youth attending Ceres High's Manufacturing Program, Johansen High School's Manufacturing Program, and Patterson High School's Manufacturing Program.

Project goals will be measured through a comprehensive, multi-tiered process that involves all staff in providing up-to-date information on project outcomes and performance measures to the Project Director, district administration, and the Alliance Worknet. Formal and informal meetings occur on a regular basis to ensure that clients are on-track to meet their individual goals and that the program is making gains toward goal achievement. Strategies are identified and implemented to address any barriers that the clients or the program may have encountered toward goal achievement. Evaluation of the program's progress is a built-in function of the day-to-day oversight and management of the program activities and staff.

The success of the project is due to the staff's diligence in the facilitation of complete, well maintained, organized and thoroughly documented case files for each individual participant. The Program Manager and Youth Advisors maintain on-going case notes, ensuring that an accurate record of client activity is continually documented. Participant eligibility documents are created and maintained utilizing the Job Automated Training (JTA) system. Participants' eligibility applications, Individual Service Strategy, goals and enrollment are maintained and transmitted to the State through this system, in a timely manner. Youth Advisors receive "feedback" from employers on what traits and skills they want in an employee – and as such these are translated directly to the youth.

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Participants can expect to gain a multitude of individual benefits from successful program participation. The two targeted areas in which participants will benefit are education and employment. The expected individual benefits include: a nationally recognized credential in manufacturing as a Certified Production Technician, high school diploma/GED attainment, successful attainment of employment, solutions to other barriers that include transportation, health care needs, clothing, post-secondary education attainment, financial aid for college, creating an effective resume, WorkKeys Training, work experience, soft skill development for successful job retention, mental health counseling, community service, occupational skills training, leadership opportunities and so much more. Here are some examples of how the Alliance Worknet and Project YES have positively affected the lives of its youth participants. The majority of participants have had successful work experience opportunities in the public and private business sector. To date, over 615 youth hold unsubsidized positions with local employers as a direct result of participation in Alliance Worknet training and job placement assistance. Additionally, 757 out-of-school-youth have received certificates of completion from local accredited ETPL training providers in Stanislaus County.

The Alliance Worknet has also had positive effects on the attendance rates of its participants each year. For example, at-risk students on the verge of dropping out of school or being expelled were referred to the Alliance Worknet by the Ceres Police Department Diversion Program Stanislaus County Probation, Modesto Police Project Safe Program and the Ceres Unified School District Expulsion and Attendance Review Board., and Modesto City Schools' Outreach and Intervention Coordinator. These students improved in attendance, motivation and commitment to complete the school year and continue their education, in order to maintain eligibility to participate in the opportunities provided by the Alliance Worknet. Additionally, due to direct interaction with Program Youth Advisors, students have also developed improved relationships with their parents and family members. Participants are able to develop confidence and self-esteem (skills for a lifetime) that will result in their ability to successfully pursue future endeavors.

The expected community benefits include: increased pool of qualified candidates for local manufacturers employers, fewer youth heading down the wrong path in life, increased numbers of youth entering postsecondary education, paid and unpaid internships for youth throughout the community (free staff time for local employers), and much more.

Outcomes and benefits for all program participants are documented and reported using the State JTA participant reporting system. The Alliance Worknet gathers and reviews all participant documents, collects additional information, as needed and insures all performance information is entered into the JTA system, in an accurate and timely manner. Participant reports generated by the JTA system, specific to this project, will be reviewed on a monthly basis, along with project reports, to ensure accurate information regarding project and participant progress is shared with all partners.

The participants of Project YES gain a multitude of individual benefits, while the community gains positive outcomes from the program (including a trained labor force, community service, and increased citizenship). Additionally, common performance measures are identified and outcomes are measured monthly to determined progress toward meeting these performance measures. Historically, Project YES has consistently met the annual established performance measures in each of these areas.

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Beyond these statistical measurements, are the outcomes that are considered life changing for program participants: identified solutions to barriers such as transportation, health care, clothing, and housing; qualification for financial aid for college; development of (soft) skills to create an effective resume and retention of employment; acquisition of WorkKeys Training; participation in work experience; receipt of mental health counseling; participation in community service and occupational skills training, as well as opportunities for leadership development. An additional benefit for in-school youth is improved attendance, motivation and commitment to attaining a high school diploma resulting in increased opportunities for post-secondary education and employment. Project YES has also had positive outcomes on the attendance rates of its participants each year. For example, at-risk students on the verge of dropping out of school or being expelled were referred to Project Yes by the Ceres Police Department Diversion Program and the Ceres Unified School District Expulsion and Attendance Review Board. These students improved in attendance, motivation and commitment to complete the school year and continue their education, in order to maintain eligibility to participate in the opportunities provided by Project YES.

The management and staff of Project YES implement the policies and procedures of the Youth Advisory Council and the Alliance Worknet, as well as those of WIA, to ensure proper application of youth employment services in the community. Internal program procedures have also been adopted to provide the best possible services available to the youth of the region. Project YES staff have received training and have successfully used the county's Forms Training Handbook and the state's WIA Eligibility Technical Assistance Guide. Project YES staff have successfully met the WIA tracking and performance requirements for six consecutive years, through the use of the policies and procedures of WIA programs.

Part D: Timeline of Activities

As noted in the table below, the Alliance Worknet will solidify contract agreement with Project YES and review project activities with the Project YES Director, upon notification of the funding award. The budget for the program will be finalized and approved by both parties.

The Alliance Worknet in partnership with Project YES will market the project and recruit youth participants from area high schools providing manufacturing training programs (Ceres High, Johansen High, and Patterson High).

Initial participant enrollments are anticipated in September 2013 as the new school year begins. As participants enter and complete the eligibility determination, assessment, and career planning processes, they will be enrolled into project services. Initial participants may enter their selected training programs as early as September, with training program entrants anticipated through October 2014. Participants may begin completing the program and enter education programs or unsubsidized employment as soon as December, with program completions and placements anticipated through December, 2014.

Participants will remain in contact with their assigned Youth Advisor/Case Manager throughout program participation, completion, placement and follow-up/retention. Project evaluation and close-out activities will conclude by March, 2015.

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- Participant Support Services: **\$4942.** for ancillary costs anticipated to meet client needs to be successful in this program. Costs may include haircuts, limited work clothes and GED and/or other testing fees.

- Indirect cost allowance at 3.33% is \$2,919.08 for Project YES/Ceres Unified per Department of Education published Indirect Rate for Ceres Unified School District.

STATE USE ONLY	Exhibit B
Subgrant Number:	K386334
Project Number:	4256
Initial Plan:	Jun-13
Project Modification Date:	Sep-13

Organization Name:
Stanislaus County Alliance Worknet

Adults* Age 18-24 or Age 19-24	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
1. Total Participants to be Served:	0	0	0	10	16	20
2. Placement in Education or Training						20
a. Entered career technical training program						20
b. Entered postsecondary education program						
c. Entered pre-apprenticeship program						
d. entered apprenticeship program						
e. Entered customized employer-based training						
3. Completion of Training						
a. Completed career technical training program						
b. Completed postsecondary education program						
c. Completed pre-apprenticeship program						
d. Completed customized employer-based training						
4. Attained Industry-Identified Certificate or Credential						
a. Manufacturing (Identify Industry)						
b. Moved into postsecondary program						
5. Placement in Employment						
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)						
b. Targeted industry						
c. Non-industry related						
6. Total Participants EXITED:						
a. Exit into employment						
b. Exit into advanced training						
c. Exit into post-secondary education						
d. Other exits						
7. Follow-up Services after Exit:						
8. Retained Employment (6 months)						

* See Exhibit K, Performance Matrix.

Organization Name:

Stanislaus County Alliance Worknet

Adults* Age 18-24 or Age 19-24	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14
1. Total Participants to be Served:	23	25	25	25	25	25
2. Placement in Education or Training	20	25	25	25	25	25
a. Entered career technical training program			25			25
b. Entered postsecondary education program						1
c. Entered pre-apprenticeship program						
d. entered apprenticeship program						
e. Entered customized employer-based training						
3. Completion of Training	20	20	20	20	23	23
a. Completed career technical training program			20			23
b. Completed postsecondary education program						
c. Completed pre-apprenticeship program						
d. Completed customized employer-based training						
4. Attained Industry-Identified Certificate or Credential			20	20	23	23
a. Manufacturing (Identify Industry)			20			23
b. Moved into postsecondary program						1
5. Placement in Employment			1	2	2	3
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)						1
b. Targeted industry			1	2	2	2
c. Non-industry related						
6. Total Participants EXITED:						2
a. Exit into employment						
b. Exit into advanced training						
c. Exit into post-secondary education						1
d. Other exits						2
7. Follow-up Services after Exit:						
8. Retained Employment (6 months)						

* See Exhibit K, Performance Matrix.

Organization Name:

Stanislaus County Alliance Worknet

Adults* Age 18-24 or Age 19-24	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14
1. Total Participants to be Served:	25	25	25	25	25	25
2. Placement in Education or Training	25	25	25	25	25	25
a. Entered career technical training program			25			25
b. Entered postsecondary education program			2			2
c. Entered pre-apprenticeship program			1			1
d. entered apprenticeship program						
e. Entered customized employer-based training						
3. Completion of Training	23	23	23	23	23	23
a. Completed career technical training program			23			23
b. Completed postsecondary education program						
c. Completed pre-apprenticeship program						
d. Completed customized employer-based training						
4. Attained Industry-Identified Certificate or Credential	23	23	23	23	23	23
a. Manufacturing (Identify Industry)			23			23
b. Moved into postsecondary program			2			2
5. Placement in Employment	4	8	16	18	20	21
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)	1	4	10	12	13	14
b. Targeted industry	2	4	8	10	12	12
c. Non-industry related	1	2	4	6	7	9
6. Total Participants EXITED:			19			25
a. Exit into employment			14			21
b. Exit into advanced training						
c. Exit into post-secondary education			2			2
d. Other exits			2			2
7. Follow-up Services after Exit:						
8. Retained Employment (6 months)						

* See Exhibit K, Performance Matrix.

These columns should match the
Performance Matrix, Exhibit K.

Organization Name:
Stanislaus County Alliance Worknet

Adults* Age 18-24 or Age 19-24	Jan-15	Feb-15	Mar-15	Goals (%)
1. Total Participants to be Served:	25	25	25	
2. Placement in Education or Training	25	25	25	100%
a. Entered career technical training program			25	
b. Entered postsecondary education program			2	
c. Entered pre-apprenticeship program			1	
d. entered apprenticeship program				
e. Entered customized employer-based training				
3. Completion of Training	23	23	23	92%
a. Completed career technical training program			23	
b. Completed postsecondary education program				
c. Completed pre-apprenticeship program				
d. Completed customized employer-based training				
4. Attained Industry-Identified Certificate or Credential	23	23	23	92%
a. Manufacturing (Identify Industry)			23	
b. Moved into postsecondary program			2	
5. Placement in Employment	21	21	21	84%
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)	14	14	14	
b. Targeted industry	12	12	12	
c. Non-industry related	9	9	9	
6. Total Participants EXITED:			25	100%
a. Exit into employment			21	
b. Exit into advanced training				
c. Exit into post-secondary education			2	
d. Other exits			2	
7. Follow-up Services after Exit:				0%
8. Retained Employment (6 months)				0%

* See Exhibit K, Performance Matrix.

STATE USE ONLY	Exhibit C
Subgrant Number:	K386334
Project Number:	4256
Initial Plan:	Jun-13
Project Modification Date:	Sep-13

Organization Name:
Stanislaus County Alliance Worknet

Youth* Age 16-17 or 16-18	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
1. Total Participants to be Served:	0	0	0	12	18	22
2. Placement in Education or Training						12
a. Entered career technical training program						12
b. Entered postsecondary education program						
c. Entered pre-apprenticeship program						
d. Entered apprenticeship program						
e. Entered customized employer-based training						
3. Completion of Education or Training						
a. Completed career technical training program						
b. Completed postsecondary education program						
c. Completed pre-apprenticeship program						
d. Completed customized employer-based training						
4. Attained Industry-Identified Certificate or Credential						
a. Completion upon high school graduation						
b. Moved into postsecondary program						
5. Placement in Employment						
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)						
b. Targeted industry						
c. Non-industry related						
6. Total Participants EXITED:						
a. Exit into employment						
b. Exit into advanced training						
c. Exit into post-secondary education						
d. Other exits						
7. Follow-up Services after Exit:						
8. Retained Employment (6 months)						

* See Exhibit K, Performance Matrix.

Organization Name:

Stanislaus County Alliance Worknet

Youth* Age 16-17 or 16-18	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14
1. Total Participants to be Served:	24	25	25	25	25	25
2. Placement in Education or Training	18	25	25	25	25	25
a. Entered career technical training program			25			25
b. Entered postsecondary education program						
c. Entered pre-apprenticeship program						
d. Entered apprenticeship program						
e. Entered customized employer-based training						
3. Completion of Education or Training			14	16	25	25
a. Completed career technical training program			14			25
b. Completed postsecondary education program						
c. Completed pre-apprenticeship program						
d. Completed customized employer-based training						
4. Attained Industry-Identified Certificate or Credential						23
a. Completion upon high school graduation						23
b. Moved into postsecondary program						
5. Placement in Employment						
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)						
b. Targeted industry						
c. Non-industry related						
6. Total Participants EXITED:						2
a. Exit into employment						
b. Exit into advanced training						
c. Exit into post-secondary education						
d. Other exits						2
7. Follow-up Services after Exit:						
8. Retained Employment (6 months)						

* See Exhibit K, Performance Matrix.

Organization Name:

Stanislaus County Alliance Worknet

Youth* Age 16-17 or 16-18	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14
1. Total Participants to be Served:	25	25	25	25	25	25
2. Placement in Education or Training	25	25	25	25	25	25
a. Entered career technical training program			25			25
b. Entered postsecondary education program						
c. Entered pre-apprenticeship program						
d. Entered apprenticeship program						
e. Entered customized employer-based training						
3. Completion of Education or Training	25	25	25	25	25	25
a. Completed career technical training program			25			25
b. Completed postsecondary education program			2			2
c. Completed pre-apprenticeship program						
d. Completed customized employer-based training						
4. Attained Industry-Identified Certificate or Credential	23	23	23	23	23	23
a. Completion upon high school graduation			23			23
b. Moved into postsecondary program			2			2
5. Placement in Employment		5	12	12	13	14
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)		3	5	6	7	7
b. Targeted industry		4	10	10	10	10
c. Non-industry related		1	2	2	3	4
6. Total Participants EXITED:			18			25
a. Exit into employment			12			14
b. Exit into advanced training						
c. Exit into post-secondary education			2			2
d. Other exits			4			9
7. Follow-up Services after Exit:						
8. Retained Employment (6 months)						

* See Exhibit K, Performance Matrix.

These columns should match the
Performance Matrix, Exhibit K.

Organization Name:

Stanislaus County Alliance Worknet

Youth* Age 16-17 or 16-18	Jan-15	Feb-15	Mar-15	Goals (%)
1. Total Participants to be Served:	25	25	25	
2. Placement in Education or Training	25	25	25	100%
a. Entered career technical training program				
b. Entered postsecondary education program				
c. Entered pre-apprenticeship program				
d. Entered apprenticeship program				
e. Entered customized employer-based training				
3. Completion of Education or Training	25	25	25	100%
a. Completed career technical training program			25	
b. Completed postsecondary education program			2	
c. Completed pre-apprenticeship program				
d. Completed customized employer-based training				
4. Attained Industry-Identified Certificate or Credential	23	23	23	92%
a. Completion upon high school graduation			23	
b. Moved into postsecondary program			2	
5. Placement in Employment	14	14	14	56%
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)	7	7	7	
b. Targeted industry	10	10	10	
c. Non-industry related	4	4	4	
6. Total Participants EXITED:			25	100%
a. Exit into employment			14	
b. Exit into advanced training				
c. Exit into post-secondary education			2	
d. Other exits			9	
7. Follow-up Services after Exit:				0%
8. Retained Employment (6 months)				

* See Exhibit K, Performance Matrix.

Youth CTE
Project Funding and Expenditure Plan

STATE USE ONLY	Exhibit E
Subgrant Number:	K386334
Project Number:	4256
Initial Plan	Jun-13
Modification Date	Sep-13

Organization Name: Stanislaus County Alliance Worknet

I. FUNDING PLAN				
Split-Yr Funding <input type="checkbox"/> No				
A. Fund Source	<input checked="" type="checkbox"/> WIA 15%	<input checked="" type="checkbox"/> Cash/In-Kind Match	<input type="checkbox"/>	PROJECT TOTAL
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
B. YOA	2012/13			
C. Subgrant Number	K386334			
D. Fund Source Term	6/30/13-3/31/15			
E. Grant Code	310			
F. Total Administration	\$21,375			\$21,375.00
G. Total Program	\$192,375	\$103,770.00		\$296,145.00
H. Subgrant Amt.	\$192,375			\$192,375.00
I. Total Funding	\$213,750.00	\$103,770.00		\$317,520.00

II. EXPENDITURE PLAN				
Month/Year	Cumulative Planned Expend.	Cumulative Planned Expend.	Cumulative Planned Expend.	Project Total Planned Expend
Jul-13	\$0	\$0.00	\$0.00	\$0.00
Aug-13	\$4,275	\$6,918.00		\$11,193.00
Sep-13	\$8,550	\$13,836.00		\$22,386.00
Oct-13	\$12,825	\$20,754.00		\$33,579.00
Nov-13	\$17,100	\$27,672.00		\$44,772.00
Dec-13	\$21,375	\$34,590.00		\$55,965.00
Jan-14	\$37,406	\$41,508.00		\$78,914.00
Feb-14	\$53,437	\$48,426.00		\$101,863.00
Mar-14	\$69,468	\$55,344.00		\$124,812.00
Apr-14	\$85,499	\$62,262.00		\$147,761.00
May-14	\$106,874	\$69,180.00		\$176,054.00
Jun-14	\$128,249	\$69,180.00		\$197,429.00
Jul-14	\$149,624	\$69,180.00		\$218,804.00
Aug-14	\$170,999	\$76,098.00		\$247,097.00
Sep-14	\$192,374	\$83,016.00		\$275,390.00
Oct-14	\$199,500	\$89,934.00		\$289,434.00
Nov-14	\$206,625	\$96,852.00		\$303,477.00
Dec-14	\$213,750	\$103,770.00		\$317,520.00
Jan-15				\$0.00
Feb-15				\$0.00
Mar-15				\$0.00

STATE USE ONLY	Exhibit F
Subgrant Number	K386334
Project Number	4256
Initial Plan	Jun-13
Modification Date	Sep-13

**Youth CTE
BUDGET SUMMARY Plan**

Applicant Name: Alliance Worknet		
Special Project Title: Project YES		
Term: June 30, 2013 through December 31, 2014		
I. Budget Detail		Planned Expenditures
A. Staff Salaries		\$8,654
B. Number of full-time equivalents	.10 FTE	
C. Staff Benefits		\$4500
D. Staff Benefit Rate (percent)	52%	
E. Staff Travel		\$150
F. Operating Expenses (communications, facilities, utilities, maintenance, consumable supplies, etc.)		\$8071
G. Equipment (not permitted)		
H. Contractual Services (attach specifications)		\$192,375
I. Indirect Costs		
J. Indirect Cost Rate (percent)*		
*Name of Cognizant Agency: Alliance Worknet		
K. Other (describe):		
L. Match (describe): Internships, Facilities, Staff time		\$103,770
II. Quarterly Expenditure Plan		Cumulative Expenditures
A. 09/2013		\$8,550.00
B. 12/2013		\$21,375.00
C. 03/2014		\$69,468.00
D. 06/2014		\$128,249.00
E. 09/2014		\$192,374.00
F. 12/2014		\$213,750.00
G. 03/2015		\$ 213,750.00

**YOUTH CTE
SUPPLEMENTAL BUDGET FORM**

STATE USE ONLY	Exhibit G
Subgrant Number:	K386334
Project Number:	4256
Initial Plan	Jun-13
Modification Date	Sep-13

Organization Name: Stanislaus County Alliance Worknet

I. Equipment				
Equipment Item Description*	Quantity	Total Cost	Percent Charged to Project	Total Cost Charged to Project

*List equipment items having a useful life of more than one year with a unit acquisition cost of \$5,000 or more being charged to this project. In accordance with WIA Directive WIAD03-9, all equipment purchases must have prior approval from EDD. The approval of the budget plan contained in this subgrant does not constitute approval of the equipment request. **A separate request to purchase equipment must be submitted for approval by the State.**

II. Contractual Services*		
Contractual Services Description—Type of Service	Cost	Service Provider If Known
provide case management, tracking participant data, referral and resources, recruitment, training sites and vocational classes	\$192,375	Project Yes
Total	\$192,375	

*All contractual services must be competitively procured in accordance with federal and state procurement regulations and policies. See WIA Directive WIAD00-2.

**Youth CTE
PROJECT WORKPLAN**

STATE USE ONLY	Exhibit I
Subgrant Number:	K386334
Project Number:	4256
Initial Plan	Jun-13
Modification Date	Sep-13

Organization Name: Stanislaus County Alliance Worknet

Objectives/Activities	Completion Activity	Estimated Dates
Start Up Activities		
Negotiate Contract for services	Signed sub-agreement /w CUSD	9/18/13
Enter into talks with MJC for MSSC Testing usage	MSSC Certification Testing at MJC	10/1/2013
Define specific youth eligibility issues	Advisement from EDD	9/9/13
MSSC Cert necessities	Instructor enrollment	9/4/2014
Employer Engagement and Investment		
Engage employers in WEX opportunities	Agreement from employers for WEX activities	1/2014-11-2014
Discuss options for OJT with employers	OJT options for participants	3/2013
Tours with various MFG Employers	Visited and proposed to various employers	11/2013-11/2014
Industry Sector partnerships		
Train instructor on MSSC Cert	Certified instructor	10/2013
Discussion of Grant options with CIMS Employers	Opportunities for grant customers for employment at CIMS sites	4/2014-10/2014
Outreach to Mfg. Council in area	Agreement for grant ties to MFG	10/2013-12/2014
Alignment of Funding Streams		
Review WIB articles	Align subcontract with WIB Priorities	10/2013
MJC partnership	Referrals to component for higher ed	12/2013
Partnership with CIMS program	Referrals to Employers Received	3/2014
Coordination with Ceres MFG Academy	Enrollment of Academy students	10/13 – 12/14
Attend Quarterly Meetings		
Attend Meeting s designated	VIA notification by grant authority	10/13-12/14
Outreach/Recruitment		
Meet with potential clients at Academies	Enrollment of eligible younger youth	9/2013-9/2014
Recruit OSY for program	Until grant spots are filled	10/1/2014
Meet with MCS/Patterson Academy instructors	Present options for students to enroll in grant and get MSSC Cert	10/2013-10-2014
Assessment/Enrollment		
Completion of WIA eligibility	Identified 50 youth/adult for program	6/2014
ISS/Work Keys testing/Careers Asmt.	Completed assessment tools for each client served	7/2014

Training & Education		
Training for MSSC Certification	Certificate issued to 45 enrollees	8/1/14
Completion of H/S MFG Academy	Graduated from Academy	11/1/2014
Completion of HS Diploma	Completion of HS/GED	9/2014
Referral to Post Secondary schools	Attending Post Secondary School	12/21/2014
Work Activities (Work Experience, OJT, Internship, Apprenticeship)		
Placement on WEX sites	200 max Hrs achieved	11/2014
Placement on OJT sites	Completion of OJT training	10/2014
Discuss options for apprenticeships with organized labor.	On-going	11/2014
Job Placement, Retention & Follow-Up		
Place clients in unsubsidized employment	Placement data in JTA	12/2014
Monitor clients for follow-up	Grant End	12/31/14
Work with clients released for retention	Grant End	12/31/14

**YOUTH CTE
PERFORMANCE GOALS MATRIX**

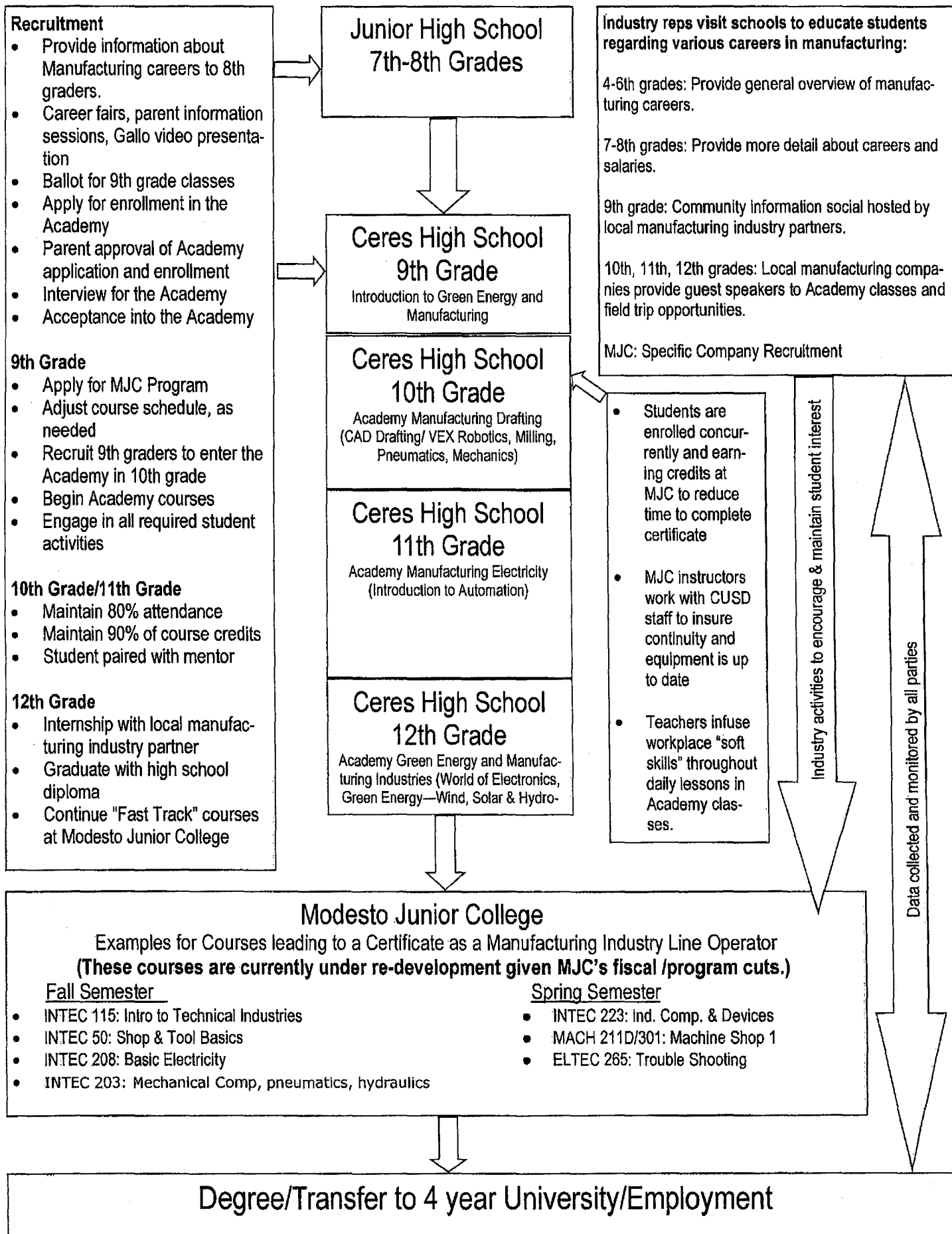
STATE USE ONLY	Exhibit K
Subgrant Number:	K386334
Project Number:	4256
Initial Plan	Jun-13
Project Modification Date	Sep-13

Organization Name: Stanislaus County Alliance Worknet

A	B	C	D	E	F
Performance Goals	Total Youth* Age 16-17 or 16-18	Goals for Youth* (%)	Total Adults* Age 18-24 or 19-24	Goals for Adults* (%)	Grand Total Planned Participants
1. Total Participants to be Served	25		25		50
2. Total Placement in Education or Training in the Targeted Industry Sector	25	100%	25	100%	50
a. Entered career technical training program	25		25		50
b. Entered postsecondary education program					0
c. Entered pre-apprenticeship program	0		1		1
d. Entered apprenticeship program					
e. Entered customized employer-based training					0
3. Total Completed Training	23	92%	22	88%	45
a. Completed career technical training program	23		22		45
b. Completed postsecondary education program					0
c. Completed pre-apprenticeship program					0
d. Completed customized employer-based training					0
4. Total Attained Industry-Identified Certificate or Credential	23	92%	22	88%	45
a. Manufacturing (Identify Industry)	23		22		45
b. Moved into postsecondary program	2		2		4
5. Total Placement in Employment	14	56%	21	84%	35
a. Quality Jobs (meet or exceed Self Sufficiency Standard or Lower Living Standard Income Level)	7	28%	14	56%	21
b. Targeted industry	11	44%	12	48%	23
c. Non- industry related	3	#DIV/0!	9	#DIV/0!	12
6. Total Retained Employment (6 months)	9		15	71%	15
a. Training-related employment	9		15		
7. Average Earnings (Annually)	18,200		20,800		

* Subgrantees have the option to serve an 18-year old client as a youth or adult. However, whatever determination is made the subgrantee is required to be consistent in the treatment of these clients.

Manufacturing "Fast-Track" Pathway Program Academy



CTE Funding Available		Grant Recipient Total	Evaluation Allotment (BOPSD)	
\$960,000		\$880,000	\$80,000.00	
Grant Applicant	Partnering Program	Credentialing Entity/Credentials Granted	Amount Requested	Amount Approved
Oakland/Alameda Youth Manufacturing Partnership	Laney College	The OAYMP builds upon existing network of education and training partners in the San Francisco East Bay targeting young adults from distressed communities in Oakland and Alameda County to provide skills development that helps men and boys of color access entry level employment, ongoing education, and career development in manufacturing and advanced manufacturing. Serving an additional 75 youth.	\$300,000	\$238,750
San Bernardino Workforce Investment Board	Various manufacturing employers, San Bernardino Valley College, NTMA Training Centers of Southern California	Partnership with Technical Employment Training to provide instruction in manufacturing technology, 100 hours of paid work experience and ear NIMS level 1 credential. Serve an additional 60 youth.	\$300,000	\$213,750

South Bay Workforce Investment Board	El Camino College Career Advancement Career Academy	Bridge to Manufacturing Careers Program will prepare participants for entry-level certification and employment in Machine Tool Technology and Welding. Serve an additional 60 youth	\$300,000	\$213,750
Stanislaus County Alliance Worknet	Modesto Junior College Careers in the Manufacturing Program Ceres High School's Green and Clean Manufacturing Academy	Partners with high school manufacturing programs; to include concurrent enrollment at Modesto Junior College – one year of continuous program involvement, student will be qualified to earn an industry accepted certificate in for employment in the manufacturing industry Serve an additional 60 youth	\$225,000	\$213,750



Edmund G. Brown Jr.
Governor

BOARD OF SUPERVISORS

2015 JUN 11 P 2:07

June 8, 2015

Mr. Jeffrey Rowe, Director
Stanislaus County
251 East Hackett Road, C-2
Modesto, CA 95358

Dear Mr. Rowe:

WORKFORCE INVESTMENT ACT (WIA) SUBGRANT AGREEMENT K386334

Enclosed is a copy of modification number seven of your WIA Subgrant Agreement. This modification de-obligates \$18,964.53 of unexpended Youth Career Technical Education funds from grant code 310.

If you have any questions, please contact your Regional Advisor.

Sincerely,

/s/ VIVIANA NEET
Manager
Financial Management Unit

Enclosure:

cc: Mr. Jim DeMartini, BOS Chairman
Janyce Wong, MIC 50
Kirstin Cordova, MIC 69

WIA SUBGRANT AGREEMENT

SUBGRANT NO: K386334
 MODIFICATION NO: 7
 SUBGRANTEE CODE: STN

STANISLAUS COUNTY

SUBGRANTOR:
 State of California
 Employment Development Dept.
 Workforce Services Division
 P.O.Box 826880, MIC 69
 Sacramento, CA 94280-0001

SUBGRANTEE: STANISLAUS COUNTY
 251 EAST HACKETT ROAD, C-2
 MODESTO, CA 95358

GOVERNMENTAL ENTITY: Yes

This Subgrant Agreement is entered into by and between the State of California, Employment Development Department, hereinafter the Subgrantor, and the STANISLAUS COUNTY, hereinafter the Subgrantee. The Subgrantee agrees to operate a program in accordance with the provisions of this Subgrant and to have an approved WIA Local Plan for the above name Subgrantor filed with the Subgrantor pursuant to the Workforce Investment Act (WIA). This modification consists of this sheet and those of the following exhibits, which are attached hereto and by this reference made a part hereof:

Funding Detail Chart
 Youth Career Technical Education (CTE)

ALLOCATION(s) The Subgrantor agrees to reimburse the Subgrantee not to exceed the amount listed hereinafter 'TOTAL'	PRIOR AMOUNT: \$7,380,675.00 INCREASE/DECREASE: (\$18,964.53) TOTAL: \$7,361,710.47
--	---

TERM OF AGREEMENT From: 4/1/2012 To: 3/31/2015	Terms of Exhibits are as designated on each exhibit
---	---

PURPOSE: To deobligate unexpended WIA Governor's Discretionary Youth Career Technical Education (CTE) funds from grant code 310 per submitted closeout documentation and close this grant code.

APPROVED FOR SUBGRANTOR (EDD) (By Signature)	APPROVED FOR SUBGRANTEE (By Signature) Unilateral modification. Subgrantee Signature not required
--	--

[Handwritten Signature]
 Name and Title
 JOSÉ LUIS MÁRQUEZ
 CHIEF
 WORKFORCE SERVICES DIVISION

Name and Title

I hereby certify that to my knowledge, the budgeted funds are available for the period and purpose of expenditures as stated herein

This agreement does not fall within the meaning of Section 10295 of Chapter 2 of Part 2 of Division 2 of the Public Contract Code of the State of California and pursuant to 58 OPS Cal. Atty. Gen 586, is exempt from review or approval of the Dept. of General Services and the Dept. of Finance

[Handwritten Signature]
 Signature of EDD Accounting Officer

[Handwritten Signature]
 Signature of EDD Contract Officer

Budget item: 7100 Fund: 0869 Budgetary Attachment: No
 Chapter: 021 Statute: 2012 FY: 12/13

STANISLAUS COUNTY

I. Allocation

Funding Source	Prior Amount	Increase	Decrease	Adjusted Allocation
WIA/WIOA 15%				
*** 218 CTE Adult Participant 06/30/2013 to 03/31/2015 Prog/Element **/** Ref *** Fed Catlg 17.258	\$0.00	\$0.00	\$0.00	\$0.00
98043 310 Youth Career Technical Education (CTE) 06/30/2013 to 03/31/2015 Prog/Element 61/40 Ref 001 Fed Catlg 17.259	\$213,750.00	\$0.00	(\$18,964.53)	\$194,785.47
Total WIA/WIOA 15%	\$213,750.00	\$0.00	(\$18,964.53)	\$194,785.47
WIA/WIOA 25% - Dislocated Worker Rapid Response				
96213 241 Rapid Response by Application Participants Only 07/01/2012 to 06/30/2013 Prog/Element 61/70 Ref 001 Fed Catlg 17.278	\$0.00	\$0.00	\$0.00	\$0.00
96213 540 Rapid Response by Formula 07/01/2012 to 06/30/2014 Prog/Element 61/70 Ref 001 Fed Catlg 17.278	\$61,697.00	\$0.00	\$0.00	\$61,697.00
98423 541 Rapid Response by Formula 10/01/2012 to 06/30/2014 Prog/Element 61/70 Ref 001 Fed Catlg 17.278	\$185,092.00	\$0.00	\$0.00	\$185,092.00
Total WIA/WIOA 25% - Dislocated Worker Rapid Response	\$246,789.00	\$0.00	\$0.00	\$246,789.00
WIA/WIOA Formula				
96153 201 Adult Formula RD 1 07/01/2012 to 06/30/2014 Prog/Element 61/00 Ref 101 Fed Catlg 17.258	\$173,538.00	\$0.00	\$0.00	\$173,538.00
98283 202 Adult Formula Rd 2 10/01/2012 to 06/30/2014 Prog/Element 61/00 Ref 101 Fed Catlg 17.258	\$2,100,961.00	\$0.00	\$0.00	\$2,100,961.00
96103 301 Youth Formula Rd 1 04/01/2012 to 06/30/2014 Prog/Element 61/00 Ref 101 Fed Catlg 17.259	\$2,342,444.00	\$0.00	\$0.00	\$2,342,444.00
98213 500 Transfer Dislocated Worker To Adult Rd 2 10/01/2012 to 06/30/2014 Prog/Element 61/00 Ref 101 Fed Catlg 17.278	\$540,343.00	\$0.00	\$0.00	\$540,343.00
96203 501 Dislocated Worker Rd 1 07/01/2012 to 06/30/2014 Prog/Element 61/00 Ref 101 Fed Catlg 17.278	\$338,463.00	\$0.00	\$0.00	\$338,463.00
98213 502 Dislocated Worker Rd 2 10/01/2012 to 06/30/2014 Prog/Element 61/00 Ref 101 Fed Catlg 17.278	\$1,424,387.00	\$0.00	\$0.00	\$1,424,387.00
Total WIA/WIOA Formula	\$6,920,136.00	\$0.00	\$0.00	\$6,920,136.00
Grand Total:	\$7,380,675.00	\$0.00	(\$18,964.53)	\$7,361,710.47

NARRATIVE

SUBGRANT NO:K386334
MODIFICATION NO: 7

SUBGRANTEE:STANISLAUS COUNTY
FUNDING SOURCE: Youth Career Technical Education (CTE) - 310

TERM OF THESE FUNDS: 06/30/2013 - 03/31/2015

Use of funds added by this modification is limited to this period and additionally limited by the recapture provisions applicable to this funding source. The state may at its discretion recapture funds obligated under this exhibit, if expenditure plans are not being met.

PROGRAM NARRATIVE

The purpose of this modification is to deobligate \$18,964.53 of unexpended Governor's Discretionary funds FROM the Youth Career Technical Education (CTE) in grant code 310 per submitted closeout documentation and to close this grant.

This exhibit adds to and does not replace the terms and conditions of any other exhibit included in this agreement which terms and conditions remain in full force and effect.

WIA (3/2000)