

THE BOARD OF SUPERVISORS OF THE COUNTY OF STANISLAUS  
ACTION AGENDA SUMMARY

DEPT: BEHAVIORAL HEALTH AND RECOVERY SERVICES

BOARD AGENDA # \*B-4

Urgent \_\_\_\_\_ Routine X

AGENDA DATE March 13, 2001

CEO Concurs with Recommendation YES OK NO \_\_\_\_\_  
(Information Attached)

4/5 Vote Required YES \_\_\_\_\_ NO X

SUBJECT:

APPROVAL OF AMENDMENT OF NET NEGOTIATED AMOUNT AND DRUG MEDICAL AGREEMENT WITH THE STATE DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS FOR FISCAL YEAR 2000/2001

STAFF  
RECOMMEN-  
DATIONS:

1. APPROVE THE AMENDMENT TO THE NET NEGOTIATED AMOUNT AND DRUG MEDICAL AGREEMENT WITH THE STATE DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS FOR FISCAL YEAR 2000/2001
2. AUTHORIZE THE DIRECTOR OR HIS DESIGNEE TO SIGN THE AMENDMENT TO THE AGREEMENT
3. AUTHORIZE THE DIRECTOR OR HIS DESIGNEE TO SIGN FUTURE AMENDMENTS TO THE NET NEGOTIATED AMOUNT AND DRUG MEDICAL AGREEMENT PROPOSED BY THE STATE IN FISCAL YEAR 2000/2001, NOT TO EXCEED \$100,000

FISCAL  
IMPACT:

As amended, the agreement provides \$4,431,042 in state and federal funds for the provision of alcohol and drug services for Stanislaus County residents. The amount of the amendment for fiscal year 2000/2001 is \$232,967. Funds were included in the organization's budget for fiscal year 2000/2001. There is no impact to the County General Fund.

BOARD ACTION

No. 2001-171

On motion of Supervisor Mayfield, Seconded by Supervisor Caruso, and approved by the following vote,

Ayes: Supervisors: Mayfield, Blom, Caruso, and Chair Paul

Noes: Supervisors: None

Excused or Absent: Supervisors: Simon

Abstaining: Supervisor: None

1) X Approved as recommended

2) \_\_\_\_\_ Denied

3) \_\_\_\_\_ Approved as amended

Motion:

*Christine Ferraro*

File No.

ATTEST: REAGAN M. WILSON, Clerk By: Deputy

APPROVAL OF AMENDMENT OF NET NEGOTIATED AMOUNT AND DRUG MEDICAL AGREEMENT WITH THE STATE DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS FOR FISCAL YEAR 2000/2001

Page 2

**DISCUSSION:** Alcohol and drug services for Stanislaus County residents are provided under the terms of this agreement with the State. The Board of Supervisors authorized the approval of a multi-year contract with the State Department of Alcohol and Drug Programs in June 1998. Authorization to sign future amendments proposed by the State Department of Alcohol and Drug Programs, not to exceed \$100,000, was also approved at this time. This amendment is required to assure continued payment of state and federal funding for local drug and alcohol services.

The State Department of Alcohol and Drug Programs utilizes multi-year contracts to ensure the provision of services in counties throughout the State. Revenue is budgeted based on allocation letters. The actual allocation is determined by budget decisions made by both federal and state governments. The agreement with the State must then be amended each fiscal year to reflect the actual amount of funding allocated to the County.

As in previous years, it is requested that the Director be authorized to sign future amendments to the agreement received from the State Department of Alcohol and Drug Programs not to exceed the amount of \$100,000. This will lessen the turnaround time necessary to process future amendments in fiscal year 2000/2001 and, thus, improve the organization's cash flow.

**POLICY ISSUES:** Approval of the amendment to the agreement with the State allows the receipt of state and federal funds, allowing the organization to provide alcohol and drug services to local residents. This supports the Board of Supervisor priority for a safe and healthy community. Continuing the policy of authorizing the Director to sign future amendments to the agreement expedites turnaround time, thus improving the efficiency of government operations.

**STAFFING IMPACT:** There is no impact on staffing.

DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS # 2001-171

1700 K STREET  
SACRAMENTO, CA 95814-4037  
TDD (916) 445-1942  
(916) 323-2066

March 30, 2001



TO: COUNTY ALCOHOL AND OTHER DRUG ADMINISTRATOR

RE: CONTRACT AMENDMENT PROCESS

Please process the enclosed one full copy and seven (7) face sheets of your contract amendment as follows:

- ◆ Obtain a **resolution, board minutes, order, motion, or ordinance** from the County Board of Supervisors which specifically approves and authorizes execution of this amendment and return one (1) copy of it with the signed amendments.
- ◆ Have the individual authorized by your County Board of Supervisors sign the amendment. Include authority to sign if other than the Chairman of the Board signs the amendment.
- ◆ Return seven (7) copies of the of the signed amendment face sheets to:

**Dept. of Alcohol and Drug Programs  
Division of Administration  
Kathy Anderson, Contracts Office  
1700 "K" Street, 5th Floor  
Sacramento, CA 95814-4037**

- ◆ Retain one (1) full copy of the amendment as a temporary record of this Agreement.

**Pending contract amendments will be distributed as follows:**

\_\_\_\_ ADP County Analyst (Contracts Management Branch)

\_\_\_\_ ADP Administration Contracts Office

**Fully approved contract amendments will be distributed as follows:**

\_\_\_\_ ADP Administration Contracts Office

\_\_\_\_ ADP Contracts Management Branch (2)

\_\_\_\_ ADP Accounting Office (2)

\_\_\_\_ County Administrator

County Board of Supervisors

Enclosures

BOARD OF SUPERVISORS  
2001 MAR 29 P 2:19

**STANDARD AGREEMENT** — APPROVED BY THE ATTORNEY GENERAL  
 STD. 2 (REV 9-93) (Automated)

CONTRACT NUMBER <b>SCC50 (00/01)</b>	AM. NO. <b>1</b>
TAXPAYER'S FEDERAL EMPLOYER IDENTIFICATION NUMBER <b>94-6000540</b>	

THIS AGREEMENT, made and entered into this 1st day of July, 2000, in the State of California, by and between the State of California, through its duly elected or appointed, qualified and acting

TITLE OF OFFICER ACTING FOR STATE: **ACTING DEPUTY DIRECTOR, ADM**  
 AGENCY: **DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS**, hereinafter called the State, and  
 CONTRACTOR'S NAME: **STANISLAUS COUNTY**, hereinafter called the Contractor,

WITNESSETH: That the Contractor for and in consideration of the covenants, conditions, agreements, and stipulations of the State hereinafter expressed, does hereby agree to furnish to the State services and materials as follows: *(Set forth service to be rendered by Contractor, amount to be paid Contractor, time for performance or completion, and attach plans and specifications, if any.)*

Agreement Number SCC50 (00/01), entered into by and between the Department of Alcohol and Drug Programs and the above named county contractor, is amended as hereafter specified:

The total amount of this agreement for FY 2000/01 (Year Three) is increased by \$232,967 for a new F.Y. 2000/01 total not to exceed \$4,431,042. Exhibit A-1, County Prevention and Treatment Programs Fiscal Summary V.1 and corresponding budget detail forms, Fiscal Year 2000/01, are attached hereto and incorporated by this reference. Contract provisions (boiler plate) for the Combined NNA/DMC County/State contract are amended and are attached hereto and incorporated by this reference.

The effective date of this amendment is July 1, 2000.

Except as hereinstated, all other terms, provisions and exhibits shall remain in full force and effect.

CONTINUED ON 64 SHEETS, EACH BEARING NAME OF CONTRACTOR AND CONTRACT NUMBER.

The provisions on the reverse side hereof constitute a part of this agreement.  
 IN WITNESS WHEREOF, this agreement has been executed by the parties hereto, upon the date first above written.

STATE OF CALIFORNIA		CONTRACTOR			
AGENCY DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS		CONTRACTOR (If other than an individual, state whether a corporation, partnership, etc.) STANISLAUS COUNTY			
BY (AUTHORIZED SIGNATURE) 		BY (AUTHORIZED SIGNATURE) 			
PRINTED NAME OF PERSON SIGNING ANN HORN		PRINTED NAME OF PERSON SIGNING LARRY B. BOASTER, Ph.D., DIRECTOR		TITLE	
TITLE ACTING DEPUTY DIRECTOR, DIV. OF ADMINISTRATION		ADDRESS (City) (State) (Zip Code) Computer automated address - leave blank			
AMOUNT ENCUMBERED BY THIS DOCUMENT <b>\$232,967.00</b>	PROGRAM / CATEGORY (CODE AND TITLE) LOCAL ASSISTANCE (OPTIONAL USE)	FUND TITLE GENERAL/FEDERAL			
PRIOR AMOUNT ENCUMBERED FOR THIS CONTRACT <b>\$4,198,075.00</b>	STATE/COUNTY CONTRACT				
TOTAL AMOUNT ENCUMBERED TO DATE <b>\$4,431,042.00</b>	ITEM SEE REVERSE	CHAPTER 52	STATUTE 2000	FISCAL YEAR 00/01	
OBJECT OF EXPENDITURE (CODE AND TITLE) See EXhibit A1 Fiscal Allocation Detail 2000-01					
I hereby certify upon my personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated		T.B.A. NO.	B.R. NO.		
SIGNATURE OF ACCOUNTING OFFICER 		DATE 3-25-01			

**Department of General Services Use Only**  
 Exempt per D.G.S. memo dated 7/10/96 and Welfare and Institutions Code 14087.4

## STANDARD AGREEMENT

STD. 2 (REV 9-93) (DGS Automated) (REVERSE)

ADDITIONAL INFORMATION:

Encumbrance amounts on contract face sheet are for F.Y. 2000/01 only.

Item #: 4200-101-0890, 4200-101-0001, 4200-102-0001, 4200-103-0001 and 4200-104-0001

1. The Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, materialmen, laborers and any other person, firm or corporation furnishing or supplying work services, materials or supplies in connection with the performance of this contract, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by the Contractor in the performance of this contract.
2. The Contractor, and the agents and employees of Contractor, in the performance of the agreement, shall act in an independent capacity and not as officers or employees or agents of State of California.
3. The State may terminate this agreement and be relieved of the payment of any consideration to Contractor should Contractor fail to perform the covenants herein contained at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. The cost to the State shall be deducted from any sum due the Contractor under this agreement, and balance, if any, shall be paid the Contractor upon demand.
4. Without the written consent of the State, this agreement is not assignable by Contractor either in whole or in part.
5. Time is of the essence in this agreement.
6. No alteration or variation of the terms of this contract shall be valid unless made in writing and signed by the parties hereto, and no oral understanding or agreement not incorporated herein, shall be binding on any of the parties hereto.
7. The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel and per diem, unless otherwise expressly so provided.

**FISCAL ALLOCATION DETAIL  
DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS  
2000-01 NNA /Drug Medi-Cal Budget V.1**

County: **Stanislaus**

	<u>AMOUNTS</u>	<u>TOTALS</u>	<u>PCA/OBJ</u>
<b><u>STATE FUNDS 7/1/00-6/30/01 [12 months]</u></b>			
<b>STATE GENERAL FUNDS</b>			
State General Funds - NNA	\$372,144.00		50012/702.10
State General Funds - D/MC	\$145,000.00		50010/702.11
<b>TOTAL STATE GENERAL FUNDS</b>		<b>\$517,144.00</b>	
<b>PERINATAL STATE GENERAL FUNDS</b>			
Perinatal State General Funds - NNA	\$232,606.00		50011/702.20
Perinatal State General Funds - D/MC	\$41,960.00		50013/702.21
<b>TOTAL PERINATAL STATE GENERAL FUNDS</b>		<b>\$274,566.00</b>	
<b>ALL OTHER STATE GENERAL FUNDS</b>			
Women's and Children's Residential Treatment Services SGF	\$0.00		50013/702.22
Youth Treatment SGF	\$77,083.00		50012/702.10
<b>TOTAL ALL OTHER STATE GENERAL FUNDS</b>		<b>\$77,083.00</b>	
<b>PAROLEE FUNDS</b>			
Parolee Services Network Funds	\$0.00		50059/702.18
<b>TOTAL PAROLEE FUNDS</b>		<b>\$0.00</b>	
<b>TOTAL STATE FUNDS 7/1/00-6/30/01 [12 months]</b>		<b>\$868,793.00</b>	
<b><u>FEDERAL FUNDS</u></b>			
<b>SAPT BLOCK GRANT - 93.959 [7/1/00-6/30/01 12 Months]</b>			
SAPT Discretionary (25% FFY 2000 award)	\$543,714.00		50063/702.30
Prevention Set-Aside (25% FFY 2000 award)	\$179,809.00		50062/702.31
SAPT Friday Night Live Fed Cat # 93.959 (25% FFY 2000 award)	\$3,750.00		50062/702.32
SAPT Club Live Fed Cat #93.959 (25% FFY 2000 award)	\$3,750.00		50062/702.33
HIV Set-Aside (25% FFY 2000 award)	\$24,143.00		50063/702.35
SAPT Perinatal Set-Aside (25% FFY 2000 award)	\$30,385.00		50064/702.36
Female Offender Treatment Service Project (25% FFY 2000 award)	\$0.00		50063/702.40
SAPT Special Projects Summary of Funds (25% FFY 2000 award)	\$0.00		various/702.45
SAPT Discretionary One Time Funds (25% FFY 2000 award)	\$40,833.00		various/702.45
SAPT Drug Court (25% FFY 2000 award)	\$32,000.00		50063/702.47
Adolescent Treatment Services Fed Cat # 93.959 (25% FFY 2000 award)	\$0.00		50063/702.49
<b>TOTAL SAPT BLOCK GRANT - 93.959 [7/1/00-6/30/01 12 Months]</b>		<b>\$858,384.00</b>	
<b>SAPT BLOCK GRANT - 93.959 [10/1/00-6/30/02 21 Months]</b>			
SAPT Discretionary (100% FFY 2001 award)	\$1,703,038.00		50063/702.30
Prevention Set-Aside (100% FFY 2001 award)	\$494,398.00		50062/702.31
SAPT Friday Night Live Fed Cat # 93.959 (100% FFY 2001 award)	\$15,000.00		50062/702.32
SAPT Club Live Fed Cat #93.959 (100% FFY 2001 award)	\$15,000.00		50062/702.33
HIV Set-Aside (100% FFY 2001 award)	\$86,046.00		50063/702.35
SAPT Perinatal Set-Aside (100% FFY 2001 award)	\$121,541.00		50064/702.36
Female Offender Treatment Service Project (100% FFY 2001 award)	\$0.00		50063/702.40
SAPT Special Projects Summary of Funds (100% FFY 2001 award)	\$0.00		various/702.45
SAPT Discretionary One Time Funds (100% FFY 2001 award)	\$0.00		various/702.45
Adolescent Treatment Services Fed Cat # 93.959 (100% FFY 2001 award)	\$36,059.00		50063/702.49
<b>TOTAL SAPT BLOCK GRANT - 93.959 [10/1/00-6/30/02 21 Months]</b>		<b>\$2,471,082.00</b>	
<b>SAFE AND DRUG FREE SCHOOLS &amp; COMMUNITIES FUNDS - 84.186 [7/1/00-6/30/02 24 Mo]</b>			
SDFSC-unexpended (SFY 1999 award)(Exp. 7/1/99-6/30/01)	\$0.00		59920/702.01
Community Based Prevention (SFY 2000 award)	\$8,153.00		50020/702.60
Community Based Prevention (SFY 2000 award) Supplement	\$27,294.00		50020/702.60
SDFSC-CMI unexpended (SFY1999 award) (Exp.7/1/99-6/30/01)	\$0.00		59920/702.01
CA Mentor Initiative - Fed. Cat #84.186 (SFY 2000 award)	\$0.00		50020/702.61
CA Mentor Initiative-Fed Cat #84.186(SFY 2000 award) Supplement	\$0.00		50020/702.61

4:04:50 PM, 12/20/2000

**FISCAL ALLOCATION DETAIL**  
**DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS**  
2000-01 NNA /Drug Medi-Cal Budget V.1

EXHIBIT A1

County: **Stanislaus**

	AMOUNTS	TOTALS	PCA/OBJ
TOTAL SAFE AND DRUG FREE SCHOOLS & COMMUNITIES FUNDS - 84.186 Mo]	[7/1/00-6/30/02 24		<b>\$35,447.00</b>
<b>FEDERAL DRUG/MEDI-CAL FUNDS (REIMBURSEMENT) [12 Months]</b>			
Drug Medi-Cal (Fed Share Only)	<b>\$153,047.00</b>		7000/50094/702.10
Perinatal Medi-Cal (Fed Share Only)	<b>\$44,289.00</b>		7000/50095/702.20
TOTAL FEDERAL DRUG/MEDI-CAL FUNDS (REIMBURSEMENT) [12 Months]			<b>\$197,336.00</b>
<b>TOTAL FEDERAL FUNDS</b>			<b>\$3,562,249.00</b>
<b>GRAND TOTAL ALL FUNDS</b>			<b>\$4,431,042.00</b>

Summary

Stanislaus County Prevention & Treatment Programs Fiscal Summary(2000-01 NNA /Drug Medi-Cal Budget V.1)

ednesday, December 20, 2000

Line	Fund Sources	Support	Primary Prev	Sec Prev	NonResident	Narcotics	Residential	Ancillary	DUI	Total
40	Drug Medi-Cal (Fed Share Only) Fed. Cat #93.778					153,047				153,047
40a	Perinatal Medi-Cal (Fed Share Only) Fed Cat #93.778				44,289					44,289
40b	Perinatal SGF Drug Medi-Cal				41,960					41,960
41c	Perinatal State General Fund(PSGF)				69,127		163,479			232,606
41g	Women's and Children's Residential Treatment Services SGF									0
41x	Perinatal State General Fund - Backfill									0
45-00	Female Offender Treatment Services - FFY 2000 Award (93.95)									0
45-01	Female Offender Treatment Services - FFY 2001 Award (93.95)									0
46	Parolee Services Network Funds (BASN, PPNP, PPP)									0
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	195,588			153,330	11,372	183,424			543,714
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	885,230			360,198	26,716	430,894			1,703,038
50a-00	Adolescent Treatment Program - FFY 2000 Award (93.959)									0
50a-01	Adolescent Treatment Program - FFY 2001 Award (93.959)	9,015		27,044						36,059
50b-00	SAPT Friday Night Live - FFY 2000 Award (93.959)		3,750							3,750
50b-01	SAPT Friday Night Live - FFY 2001 Award (93.959)	3,750	11,250							15,000
50c-00	SAPT Club Live - FFY 2000 Award (93.959)		3,750							3,750
50c-01	SAPT Club Live - FFY 2001 Award (93.959)	3,750	11,250							15,000
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)		179,809							179,809
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	123,599	370,799							494,398
51-00	HIV Set-Aside - FFY 2000 Award (93.959)							24,143		24,143
51-01	HIV Set-Aside - FFY 2001 Award (93.959)	21,511						64,535		86,046
52-00	SAPT Perinatal Set-Aside - FFY 2000 Award (93.959)						30,385			30,385
52-01	SAPT Perinatal Set-Aside - FFY 2001 Award (93.959)	30,385					91,156			121,541
56-00	SAPT Special Projects - FFY 2000 Award (93.959)									0
56-01	SAPT Special Projects - FFY 2001 Award (93.959)									0
56a-00	SAPT Discretionary One Time Funds - FFY 2000 Award (93.95)						40,833			40,833
56a-01	SAPT Discretionary One Time Funds - FFY 2001 Award (93.95)									0
56b-00	SAPT Drug Court - FFY 2000 Award (93.959)				32,000					32,000
58a	EDD-Private Industry Council									0
62	SDFSC Community Based Prevention - SFY 1999 Award (84.1)									0
62-00	SDFSC Community Based Prevention - SFY 2000 Award (84.1)		8,153							8,153
62-00s	SDFSC Community Based Prevn. Supplement Award - SFY 20		27,294							27,294
68a	CA Mentor Initiative - SFY 1999 Award (84.186)									0
68a-00	CA Mentor Initiative - SFY 2000 Award (84.186)									0
68a-00s	CA Mentor Initiative - Supplement Award - SFY 2000 Award(84.									0
70	SGF Drug Medi-Cal					145,000				145,000
79	Medi-Cal Administrative Activities - MAC									0
80	Non-County Revenue	5,400	500		56,255		51,581			113,736
80c	State General Fund				129,584		242,560			372,144
80e	DSS/CalWORKS SGF									0
80f	Youth Treatment SGF				77,083					77,083
80h	Drug Court Partnership Program Grant									0
80j	CalTOP State General Fund									0
80x	State General Fund - Backfill									0
81c	Required County Match				30,644		45,115			75,759
82	County Fund - Other	31,885			303,854	1,200	37,300			374,239
82a	Provider Unrestricted Funds									0
82b	County Unrestricted Funds									0
83	Excess Fees									0
84	Fees		1,000		30,472	42,200	40,022			113,694
85	Insurance				6,455	7,619	1,276			15,350
86	PC 1463.25 - SB 920 HS 11372.7 - SB 921		10,155			20,310				30,465
87	PC 1463.16 - Statham				5,638		47,541			53,179
87c	Statham - Match									0
88	Excess DUI Profit/Surplus									0
89	DUI Fees(exclude adm for SvcCd 90) & adm(SvcCd 00)	40,959							1,417,000	1,457,959
89a	PC 1000 Administrative Fees									0
90a	Obligated Unexpended State Gen Funds-Prior FY									0
90b	Obligated Unexpended CalTOP SGF Prior FY									0
<b>91</b>	<b>Total By Service Category</b>	<b>1,351,072</b>	<b>627,710</b>	<b>27,044</b>	<b>1,340,889</b>	<b>407,464</b>	<b>1,405,566</b>	<b>88,678</b>	<b>1,417,000</b>	<b>6,665,423</b>
99	Drug/Medi-Cal County Admin.	0	0	0	4,376	14,193	0	0	0	18,569



Line	Fund Sources	Support	Primary Prev	Sec Prev	NonResident	Narcotics	Residential	Ancillary	DUI	Total
40	Drug Medi-Cal (Fed Share Only) Fed. Cat #93.778					153,047				153,047
40a	Perinatal Medi-Cal (Fed Share Only) Fed Cat #93.778				44,289					44,289
40b	Perinatal SGF Drug Medi-Cal				41,960					41,960
41c	Perinatal State General Fund(PSGF)									0
41g	Women's and Children's Residential Treatment Services SGF									0
41x	Perinatal State General Fund - Backfill									0
45-00	Female Offender Treatment Services - FFY 2000 Award (93.95)									0
45-01	Female Offender Treatment Services - FFY 2001 Award (93.95)									0
46	Parolee Services Network Funds (BASN, PPNP, PPP)									0
50-00	SAPT Discretionary - FFY 2000 Award (93.959)									0
50-01	SAPT Discretionary - FFY 2001 Award (93.959)									0
50a-00	Adolescent Treatment Program - FFY 2000 Award (93.959)									0
50a-01	Adolescent Treatment Program - FFY 2001 Award (93.959)									0
50b-00	SAPT Friday Night Live - FFY 2000 Award (93.959)									0
50b-01	SAPT Friday Night Live - FFY 2001 Award (93.959)									0
50c-00	SAPT Club Live - FFY 2000 Award (93.959)									0
50c-01	SAPT Club Live - FFY 2001 Award (93.959)									0
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)									0
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)									0
51-00	HIV Set-Aside - FFY 2000 Award (93.959)									0
51-01	HIV Set-Aside - FFY 2001 Award (93.959)									0
52-00	SAPT Perinatal Set-Aside - FFY 2000 Award (93.959)									0
52-01	SAPT Perinatal Set-Aside - FFY 2001 Award (93.959)									0
56-00	SAPT Special Projects - FFY 2000 Award (93.959)									0
56-01	SAPT Special Projects - FFY 2001 Award (93.959)									0
56a-00	SAPT Discretionary One Time Funds - FFY 2000 Award (93.95)									0
56a-01	SAPT Discretionary One Time Funds - FFY 2001 Award (93.95)									0
56b-00	SAPT Drug Court - FFY 2000 Award (93.959)									0
58a	EDD-Private Industry Council									0
62	SDFSC Community Based Prevention - SFY 1999 Award (84.1									0
62-00	SDFSC Community Based Prevention - SFY 2000 Award (84.1									0
62-00s	SDFSC Community Based Prevn. Supplement Award - SFY 20									0
68a	CA Mentor Initiative - SFY 1999 Award (84.186)									0
68a-00	CA Mentor Initiative - SFY 2000 Award (84.186)									0
68a-00s	CA Mentor Initiative - Supplement Award - SFY 2000 Award(84.									0
70	SGF Drug Medi-Cal					145,000				145,000
79	Medi-Cal Administrative Activities - MAC									0
80	Non-County Revenue									0
80c	State General Fund									0
80e	DSS/CalWORKS SGF									0
80f	Youth Treatment SGF									0
80h	Drug Court Partnership Program Grant									0
80j	CalTOP State General Fund									0
80x	State General Fund - Backfill									0
81c	Required County Match									0
82	County Fund - Other									0
82a	Provider Unrestricted Funds									0
82b	County Unrestricted Funds									0
83	Excess Fees									0
84	Fees									0
85	Insurance									0
86	PC 1463.25 - SB 920 HS 11372.7 - SB 921									0
87	PC 1463.16 - Statham				5,638					5,638
87c	Statham - Match									0
88	Excess DUI Profit/Surplus									0
89	DUI Fees(exclude adm for SvcCd 90) & adm(SvcCd 00)									0
89a	PC 1000 Administrative Fees									0
90a	Obligated Unexpended State Gen Funds-Prior FY									0
90b	Obligated Unexpended CalTOP SGF Prior FY									0
<b>91</b>	<b>Total By Service Category</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>91,887</b>	<b>298,047</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>389,934</b>
99	Drug/Medi-Cal County Admin.				4,376	14,193				18,569

**SUPPORT SERVICES**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1

(Sorted by Modality, Provider, Program, Service)

**Provider: 505000 Stanislaus Co Alc & Drug Prog**  
800 Scenic Drive, Bldg A Modesto, 95350

Program Code : 1 Alcohol/Drug

Service Code : 00 County Support

Desc:

Units: 18,500 Hours  
Cost Per Unit: 39.64

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	\$195,588.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$459,471.00
80	Non-County Revenue	\$5,400.00
82	County Fund - Other	\$31,885.00
89	DUI Fees(exclude adm for SvcCd 90) & adm(SvcCd 00)	\$40,959.00
Total:		\$733,303.00

Program Code : 80 Unexpended Federal Funds

Service Code : 08 Cost Efficiencies

Desc:Cost Efficiencies

Units: 15,000 n/a  
Cost Per Unit: 40.08

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$425,759.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$123,599.00
51-01	HIV Set-Aside - FFY 2001 Award (93.959)	\$21,511.00
52-01	SAPT Perinatal Set-Aside - FFY 2001 Award (93.959)	\$30,385.00
Total:		\$601,254.00

**Provider: 505005 Center for Human Svcs.**  
2215 Blue Gum Ave., Suite H Modesto, 95358

Program Code : 80 Unexpended Federal Funds

Service Code : 08 Cost Efficiencies

Desc:Cost Efficiencies

Units: 425 n/a  
Cost Per Unit: 38.86

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50a-01	Adolescent Treatment Program - FFY 2001 Award (93.959)	\$9,015.00
50b-01	SAPT Friday Night Live - FFY 2001 Award (93.959)	\$3,750.00
50c-01	SAPT Club Live - FFY 2001 Award (93.959)	\$3,750.00
Total:		\$16,515.00

**PRIMARY PREVENTION**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
 (Sorted by Modality, Provider, Program, Service)

**Provider: 505001 Stanislaus Co Alc & Drug Prog**

800 Scenic Dr., Bldg. B Modesto, 95350

Program Code : 1 Alcohol/Drug

Service Code : 12 Information Dissemination

Desc:

Units: 2,270 Hours  
 Cost Per Unit: 35.76

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)	\$22,703.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$46,820.00
80	Non-County Revenue	\$500.00
84	Fees	\$1,000.00
86	PC 1463.25 - SB 920 HS 11372.7 - SB 921	\$10,155.00
Total:		\$81,178.00

**Provider: 505005 Center for Human Svcs.**

2215 Blue Gum Ave., Suite H Modesto, 95358

Program Code : 1 Alcohol/Drug

Service Code : 12 Information Dissemination

Desc:

Units: 3,747 Hours  
 Cost Per Unit: 40.00

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)	\$37,374.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$77,071.00
62-00	SDFSC Community Based Prevention - SFY 2000 Award (84.186)	\$8,153.00
62-00s	SDFSC Community Based Prev. Supplement Award - SFY 2000 Award(84.186)	\$27,294.00
Total:		\$149,892.00

Service Code : 13 Education

Desc:

Units: 2,208 Hours  
 Cost Per Unit: 39.93

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)	\$28,792.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$59,373.00
Total:		\$88,165.00

Service Code : 14 Alternatives

Desc:

Units: 4,303 Hours  
 Cost Per Unit: 37.88

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50b-00	SAPT Friday Night Live - FFY 2000 Award (93.959)	\$3,750.00
50b-01	SAPT Friday Night Live - FFY 2001 Award (93.959)	\$11,250.00
50c-00	SAPT Club Live - FFY 2000 Award (93.959)	\$3,750.00
50c-01	SAPT Club Live - FFY 2001 Award (93.959)	\$11,250.00

**PRIMARY PREVENTION**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
(Sorted by Modality, Provider, Program, Service)

**Provider: 505005 Center for Human Svcs.**  
2215 Blue Gum Ave., Suite H Modesto, 95358

Program Code : 1 Alcohol/Drug

Service Code : 14 Alternatives

Desc:  
Units: 4,303 Hours  
Cost Per Unit: 37.88

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)	\$43,427.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$89,554.00
Total:		\$162,981.00

**Provider: 505016 Stanislaus County Substance Abuse Services (SAS)**  
1501 F Street Modesto, 95354

Program Code : 1 Alcohol/Drug

Service Code : 15 Problem Identification and Referral

Desc:  
Units: 2,820 Hours  
Cost Per Unit: 30.82

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)	\$28,383.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$58,532.00
Total:		\$86,915.00

**Provider: 505020 Stanislaus Co. Detention Services**  
200 East Hacket Road Modesto, 95358

Program Code : 1 Alcohol/Drug

Service Code : 12 Information Dissemination

Desc:  
Units: 1,975 Hours  
Cost Per Unit: 29.66

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50d-00	SAPT Primary Prevention Set-Aside - FFY 2000 Award (93.959)	\$19,130.00
50d-01	SAPT Primary Prevention Set-Aside - FFY 2001 Award (93.959)	\$39,449.00
Total:		\$58,579.00

### SECONDARY PREVENTION

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
(Sorted by Modality, Provider, Program, Service)

**Provider:** 505005 **Center for Human Svcs.**  
2215 Blue Gum Ave., Suite H Modesto, 95358

Program Code : 20 Adolescent Treatment

Service Code : 19 Outreach/Intervention

Desc:

Units: 680      Hours  
Cost Per Unit: 39.77

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50a-01	Adolescent Treatment Program - FFY 2001 Award (93.959)	\$27,044.00
Total:		\$27,044.00

**NONRESIDENTIAL**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
(Sorted by Modality, Provider, Program, Service)

**Provider: 505016 Stanislaus County Substance Abuse Services (SAS)**

1501 F Street Modesto, 95354

Program Code : 1 Alcohol/Drug

Service Code : 33 Outpatient Drug Free(ODF) Group

Desc:6 to 10 clnts in grp

Units: 6,175 Hours  
Cost Per Unit: 30.81

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	\$53,410.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$125,469.00
80	Non-County Revenue	\$3.00
82	County Fund - Other	\$338.00
84	Fees	\$8,168.00
85	Insurance	\$2,851.00
<b>Total:</b>		<b>\$190,239.00</b>

Total # of Indiv:5,000.00 Grp Sessions:650.00

Service Code : 34 Outpatient Drug Free(ODF) Individual

Desc:

Units: 4,775 Hours  
Cost Per Unit: 30.79

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	\$41,272.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$96,954.00
80	Non-County Revenue	\$2.00
82	County Fund - Other	\$262.00
84	Fees	\$6,312.00
85	Insurance	\$2,204.00
<b>Total:</b>		<b>\$147,006.00</b>

Indv Sessions:3,500.00

**Provider: 505017 SIERRA VISTA CHILDREN'S CENTER**

950 10th Street Modesto, 95354

Program Code : 3 Perinatal

Service Code : 33 Outpatient Drug Free(ODF) Group

Desc:

Units: 4,950 Hours  
Cost Per Unit: 70.72

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
41c	Perinatal State General Fund(PSGF)	\$69,127.00
81c	Required County Match	\$7,681.00
82	County Fund - Other	\$273,237.00
<b>Total:</b>		<b>\$350,045.00</b>

Total # of Indiv:3,975.00 Grp Sessions:450.00

Program Code : 95 DMC Peri.

Service Code : 30 Rehabilitative/Ambulatory Intensive Outpatient (Daycare Habilitative)

Desc:

Units: 1,222 Visit Days  
Cost Per Unit: 75.19

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
40a	Perinatal Medi-Cal (Fed Share Only) Fed Cat #93.778	\$44,289.00
40b	Perinatal SGF Drug Medi-Cal	\$41,960.00
87	PC 1463.16 - Statham	\$5,638.00

**NONRESIDENTIAL**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
(Sorted by Modality, Provider, Program, Service)

**Provider: 505017 SIERRA VISTA CHILDREN'S CENTER**

950 10th Street Modesto, 95354

Program Code : 95 DMC Peri.

Service Code : 30 Rehabilitative/Ambulatory Intensive Outpatient (Daycare Habilitative) Desc:

Units: 1,222 Visit Days  
Cost Per Unit: 75.19

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
		Total:
		\$91,887.00

DMC County Admin:\$4,376.00

**Provider: 505020 Stanislaus Co. Detention Services**

200 East Hacket Road Modesto, 95358

Program Code : 1 Alcohol/Drug

Service Code : 33 Outpatient Drug Free(ODF) Group Desc:

Units: 2,644 Hours  
Cost Per Unit: 29.57

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
80c	State General Fund	\$70,374.00
81c	Required County Match	\$7,819.00
		Total:
		\$78,193.00

Total # of Indiv:2,875.00 Grp Sessions:325.00

Service Code : 34 Outpatient Drug Free(ODF) Individual Desc:

Units: 550 Hours  
Cost Per Unit: 28.71

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
80c	State General Fund	\$14,210.00
81c	Required County Match	\$1,579.00
		Total:
		\$15,789.00

Indv Sessions:450.00

**Provider: 505025 Stanislaus County Dept. of Mental Health**

2215 Blue Gum/Main Bldg. Modesto, 95358

Program Code : 16 NNA/Drug Court A/D

Service Code : 33 Outpatient Drug Free(ODF) Group Desc:Juvenile Drug Cr

Units: 2,580 Hours  
Cost Per Unit: 34.21

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
56b-00	SAPT Drug Court - FFY 2000 Award (93.959)	\$24,000.00
80	Non-County Revenue	\$42,187.00
82	County Fund - Other	\$22,063.00
		Total:
		\$88,250.00

Total # of Indiv:925.00 Grp Sessions:175.00

Service Code : 34 Outpatient Drug Free(ODF) Individual Desc:Juvenile Drug Cr

Units: 860 Hours  
Cost Per Unit: 34.21

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
56b-00	SAPT Drug Court - FFY 2000 Award (93.959)	\$8,000.00
80	Non-County Revenue	\$14,063.00

**NONRESIDENTIAL**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1

(Sorted by Modality, Provider, Program, Service)

**Provider: 505025 Stanislaus County Dept. of Mental Health**

2215 Blue Gum/Main Bldg. Modesto, 95358

Program Code : 16 NNA/Drug Court A/D

Service Code : 34 Outpatient Drug Free(ODF) Individual

Desc: Juvenile Drug Crt

Units: 860 Hours  
Cost Per Unit: 34.21

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
82	County Fund - Other	\$7,354.00
Total:		\$29,417.00

Indv Sessions: 1,000.00

**Provider: 505048 Stanislaus County Dept. of Mental Health**

800 Scenic Drive, Bldg. D North Modesto, 95355

Program Code : 1 Alcohol/Drug

Service Code : 34 Outpatient Drug Free(ODF) Individual

Desc:

Units: 6,106 Hours  
Cost Per Unit: 43.30

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	\$58,648.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$137,775.00
80c	State General Fund	\$45,000.00
81c	Required County Match	\$5,000.00
82	County Fund - Other	\$600.00
84	Fees	\$15,992.00
85	Insurance	\$1,400.00
Total:		\$264,415.00

Indv Sessions: 1,800.00

**Provider: T50001 Stanislaus County Ceres Recovery Center**

1905 Memorial Drive Ceres, CA, 95307

Program Code : 20 Adolescent Treatment

Service Code : 30 Rehabilitative/Ambulatory Intensive Outpatient (Daycare Habilitative)

Desc:

Units: 1,000 Hours  
Cost Per Unit: 85.65

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
80f	Youth Treatment SGF	\$77,083.00
81c	Required County Match	\$8,565.00
Total:		\$85,648.00



**NARCOTICS TREATMENT**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
 (Sorted by Modality, Provider, Program, Service)

**Provider: 505014 Genesis**  
 800 Scenic Drive, Bldg D South Modesto, 95350

Program Code : 1 Alcohol/Drug  
 Service Code : 48 NRT - All Services

Desc:  
 Units: 7,900 Slot Days  
 Cost Per Unit: 13.85

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	\$11,372.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$26,716.00
82	County Fund - Other	\$1,200.00
84	Fees	\$42,200.00
85	Insurance	\$7,619.00
86	PC 1463.25 - SB 920 HS 11372.7 - SB 921	\$20,310.00

Total: \$109,417.00

Grp Sessions:1,755.00 Indv Sessions:4,605.00

Program Code : 97 DMC Non-Peri  
 Service Code : 48 NRT - All Services

Desc:  
 Units: 90 Licnsd Capacit  
 Cost Per Unit: 3,311.63

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
40	Drug Medi-Cal (Fed Share Only) Fed. Cat #93.778	\$153,047.00
70	SGF Drug Medi-Cal	\$145,000.00

Total: \$298,047.00

DMC County Admin:\$14,193.00 Grp Sessions:4,180.00 Indv Sessions:8,000.00

**RESIDENTIAL**

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1

(Sorted by Modality, Provider, Program, Service)

**Provider: 505012 Stanislaus County Reality**  
800 Scenic Drive, Bldg D North Modesto, 95350

Program Code : 1 Alcohol/Drug

Service Code : 52 Residential/Recovery Short Term (up to 30 days)

Desc:

Units: 11,500 Bed Days

Cost Per Unit: 89.70

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
50-00	SAPT Discretionary - FFY 2000 Award (93.959)	\$183,424.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$360,112.00
56a-00	SAPT Discretionary One Time Funds - FFY 2000 Award (93.959)	\$40,833.00
80	Non-County Revenue	\$51,581.00
80c	State General Fund	\$242,560.00
81c	Required County Match	\$26,951.00
82	County Fund - Other	\$37,300.00
84	Fees	\$40,022.00
85	Insurance	\$1,276.00
87	PC 1463.16 - Statham	\$47,541.00
Total:		\$1,031,600.00

Program Code : 3 Perinatal

Service Code : 52 Residential/Recovery Short Term (up to 30 days)

Desc:

Units: 3,500 Bed Days

Cost Per Unit: 89.70

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
41c	Perinatal State General Fund(PSGF)	\$109,479.00
50-01	SAPT Discretionary - FFY 2001 Award (93.959)	\$70,782.00
52-00	SAPT Perinatal Set-Aside - FFY 2000 Award (93.959)	\$30,385.00
52-01	SAPT Perinatal Set-Aside - FFY 2001 Award (93.959)	\$91,156.00
81c	Required County Match	\$12,164.00
Total:		\$313,966.00

**Provider: 505026 Catholic Charities**  
405 15th Street Modesto, 95354

Program Code : 3 Perinatal

Service Code : 56 Transitional Living Center (Perinatal and Parolee Services)

Desc:

Units: 1,460 Bed Days

Cost Per Unit: 41.10

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
41c	Perinatal State General Fund(PSGF)	\$54,000.00
81c	Required County Match	\$6,000.00
Total:		\$60,000.00

### ANCILLARY SERVICES

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1

(Sorted by Modality, Provider, Program, Service)

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**Provider:** 505001 **Stanislaus Co Alc & Drug Prog**

800 Scenic Dr., Bldg. B Modesto, 95350

**Program Code :** 1 Alcohol/Drug

**Service Code :** 65 HIV Early Intervention Services

Desc:

Units: 2,260 Hours

Cost Per Unit: 39.24

Line# Funding Sources

Amount

51-00 HIV Set-Aside - FFY 2000 Award (93.959)

\$24,143.00

51-01 HIV Set-Aside - FFY 2001 Award (93.959)

\$64,535.00

Total:

\$88,678.00

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### DRIVING UNDER THE INFLUENCE

County: Stanislaus

2000-01 NNA /Drug Medi-Cal Budget V.1  
(Sorted by Modality, Provider, Program, Service)

**Provider: 509001 Safety Center**  
1100 Kansas Avenue, Suite F Modesto, 95351

Program Code : 1 Alcohol/Drug  
Service Code : 90 Driving Under the Influence

Desc:  
Units: 1,500 Persons Serve  
Cost Per Unit: 511.33

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
89	DUI Fees(exclude adm for SvcCd 90) & adm(SvcCd 00)	\$767,000.00
Total:		\$767,000.00

**Provider: 509003 Occupational Hlth Svcs**  
3719 Tully Road Modesto, 95350

Program Code : 1 Alcohol/Drug  
Service Code : 90 Driving Under the Influence

Desc:  
Units: 700 Persons Serve  
Cost Per Unit: 928.57

<u>Line#</u>	<u>Funding Sources</u>	<u>Amount</u>
89	DUI Fees(exclude adm for SvcCd 90) & adm(SvcCd 00)	\$650,000.00
Total:		\$650,000.00

**DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS  
DRIVING UNDER THE INFLUENCE PROGRAM SERVICES  
SUMMARY**

2000-01 NNA /Drug Medi-Cal Budget V.1

Stanislaus County

Line#	Funding Sources	Total Costs All Providers	Total DUI Fees To County For Admin. & Monitoring All Providers	Net Program Costs (Total Less Fees To County)
80	Non-County Revenue	0	0	
80c	State General Fund	0	0	
82	County Fund - Other	0	0	
85	Insurance	0	0	
87	PC 1463.16 - Statham	0	0	
88	Excess DUI Profit/Surplus	0	0	
89	DUI Fees(exclude adm for SvcCd 90) & adm(SvcCd 00)	1,457,959	40,959	1,417,000
90a	Obligated Unexpended State Gen Funds-Prior FY	0	0	

Total	1,457,959	40,959	1,417,000
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Total Estimated Units of Service : 2,200

Average Cost Per Unit of Service: 644.09

**NET DEDICATED CAPACITY REPORT(NNA Only)**

County

**Alcohol And Drug Funding**

Modality	Unit Type	Net Budget	Net Units	Cost per Unit
SUPPORT SERVICES	Hours	\$655,059.00	16,526.0	\$39.64
PRIMARY PREVENTION	Hours	\$616,055.00	16,997.1	\$36.24
SECONDARY PREVENTION				
NONRESIDENTIAL	Hours	\$657,510.00	19,180.6	\$34.28
NARCOTICS TREATMENT	Slot Days	\$38,088.00	2,750.0	\$13.85
RESIDENTIAL	Bed Days	\$853,880.00	9,518.8	\$89.70
ANCILLARY SERVICES	Hours	\$88,678.00	2,260.0	\$39.24
DRIVING UNDER THE INFLUENCE	Persons Serve		.0	

Total: \$2,909,270.00 67,232.5 \$43.27

**Parolee Funding**

Modality	Unit Type	Net Budget	Net Units	Cost per Unit
SUPPORT SERVICES				
PRIMARY PREVENTION				
SECONDARY PREVENTION				
NONRESIDENTIAL				
NARCOTICS TREATMENT				
RESIDENTIAL				
ANCILLARY SERVICES				
DRIVING UNDER THE INFLUENCE				

Total: \$0.00 .0

**Perinatal Funding**

Modality	Unit Type	Net Budget	Net Units	Cost per Unit
SUPPORT SERVICES				
PRIMARY PREVENTION				
SECONDARY PREVENTION				
NONRESIDENTIAL	Hours	\$76,808.00	1,086.1	\$70.72
NARCOTICS TREATMENT				
RESIDENTIAL	Bed Days	\$373,966.00	4,960.0	\$75.40
ANCILLARY SERVICES				
DRIVING UNDER THE INFLUENCE				

Total: \$450,774.00 6,046.1 \$74.56

**Mentor**

Modality	Unit Type	Net Budget	Net Units	Cost per Unit
SUPPORT SERVICES				
PRIMARY PREVENTION				
SECONDARY PREVENTION				
NONRESIDENTIAL				
NARCOTICS TREATMENT				
RESIDENTIAL				
ANCILLARY SERVICES				
DRIVING UNDER THE INFLUENCE				

Total: \$0.00 .0

County

**NET DEDICATED CAPACITY REPORT(NNA Only)**

**NNA Drug Court**

Modality	Unit Type	Net Budget	Net Units	Cost per Unit
SUPPORT SERVICES				
PRIMARY PREVENTION				
SECONDARY PREVENTION				
NONRESIDENTIAL	Hours	\$32,000.00	935.5	\$34.21
NARCOTICS TREATMENT				
RESIDENTIAL				
ANCILLARY SERVICES				
DRIVING UNDER THE INFLUENCE				
Total:		\$32,000.00	935.5	\$34.21

**Youth Treatment**

Modality	Unit Type	Net Budget	Net Units	Cost per Unit
SUPPORT SERVICES				
SECONDARY PREVENTION	Hours	\$27,044.00	680.0	\$39.77
NONRESIDENTIAL	Hours	\$85,648.00	1,000.0	\$85.65
NARCOTICS TREATMENT				
RESIDENTIAL				
ANCILLARY SERVICES				
DRIVING UNDER THE INFLUENCE				
Total:		\$112,692.00	1,680.0	\$67.08

**FY 2000/2001**

**Combined NNA - DMC  
County-State Contract  
Boilerplate**

**Effective 7-1-00**



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## INTRODUCTION

### ARTICLE I. GENERAL PROVISIONS

- A. This contract is divided into two parts: Part I defines the rights and obligations of the parties regarding Negotiated Net Amount (NNA) funds; and Part II defines the rights and obligations of the parties regarding Medicaid/Medi-Cal funds, as expended through the Drug Medi-Cal (DMC) Program. Provisions contained in this contract section, entitled "Introduction," shall apply to both Part I and Part II.
- B. The term of this contract shall be from July 1, 1998 through June 30, 2002. Subject to Article III, Fiscal Provisions, Section H, Cost Efficiencies, the expenditure period for funds encumbered from State Fiscal Year (FY) 1999/00 shall be July 1, 1999 through June 30, 2000. For State Fiscal Year 2000/01 and subsequent fiscal years the expenditure periods shall be as stated on Exhibit A1. The State Department of Alcohol and Drug Programs (hereinafter referred to as the State) is under no obligation to extend or renew this contract.
- C. The parties agree that if the identified county (hereinafter referred to as the County) fails to comply with the provisions of Health and Safety Code (hereinafter referred to as HSC) Section 11758.46(e)(2), Part II of this agreement shall be null and void and severed from the remainder of this agreement.

In the event Part II becomes null and void and severed from the remainder of this agreement, Budget #2 or NNA only (Exhibit A1) will take effect reflecting the removal of State General Fund (SGF), Perinatal State General Fund (PSGF), and federal Medicaid funds from this contract. Part I of this contract will remain in effect until amended or terminated.

- D. In the event any provision of this contract is held invalid or unenforceable by any court of competent jurisdiction, the holding will not invalidate or render unenforceable any other provision hereof.
- E. Counties may not transfer SGF DMC to SGF discretionary. Counties shall inform ADP of any excess SGF funds by April 1. ADP may reappropriate excess funds by addressing the following considerations:
1. Fund unmet statewide DMC need in current year;
  2. Retain reappropriated funds for DMC purposes in the current budget year; or
  3. Reallocate funds to counties as discretionary SGF.

SGF provided by the California Department of Corrections shall be subject to specific expenditure requirements (Document 1D).

- F. Contract Amendments
1. Both the County and the State may agree to amend or renegotiate the contract. Contract amendments will be required to change encumbered amounts for each year of a multi-year contract period.
  2. If the County contract is based on the preliminary allocation, or rates and requirements issued before the passage of the State FY Budget Act and Trailer Bill(s), the County will be required to submit a contract amendment within 60 days of the release of the Budget Act allocation with a budget that reflects the revised allocation, rates, a plan for expenditure of prior year unexpended SGF, and other County requirements. In the event

the County has not submitted a contract amendment with all required County and subcontractor fiscal detail within 60 days of the release of the Budget Act Allocation, the State will withhold all NNA payments under Part I of this contract until the required amendment is received by the State.

3. Contract amendments may be submitted by the County until May 1 of each contract's fiscal year. An amendment proposed by either party shall be forwarded in writing to the other party.
  - (a) The proposed amendment submitted by the County shall include revised County Prevention and Treatment Programs Fiscal Summary and Detail Forms, Exhibit A1, and a statement of the reason and basis for the proposed change.
  - (b) Amendments are subject to review and signed approval by the County Board of Supervisors (or designee).

#### G. Termination

1. This contract may be terminated by either party by delivering written notice of termination to the other party at least 30 days prior to the effective date of termination. The notice shall state the effective date of and reason for the termination. In the event of changes in law that affect provisions of this agreement, the parties agree to amend the affected provisions to conform with the changes in law retroactive to the effective date of such changes in law. The parties further agree that the terms of this agreement are severable and in the event of changes in law as described above, the unaffected provisions and obligations of this agreement will remain in full force and effect.
2. The following additional provisions regarding termination apply to Part II of this contract:
  - (a) In the event the federal Department of Health and Human Services (hereinafter referred to as DHHS) the California Department of Health Services (hereinafter referred to as DHS) or the State determines the County does not meet the requirements for participation in the DMC Program, the State will terminate Part II of this contract in accordance with this section.
  - (b) All obligations to provide covered services under this contract will automatically terminate on the effective date of any termination of this contract. The County will be responsible for providing or arranging for covered services to beneficiaries until the termination or expiration of the contract.

The County will remain liable for processing and paying invoices and statements for covered services and utilization review requirements prior to the expiration or termination until all obligations have been met.

- (c) In the event Part II of this contract is terminated, the County shall refer DMC clients to another appropriate source of care.

#### H. Audit

1. This contract, and any subcontracts, shall be subject to the examination and audit by the California Bureau of State Audits for a period of three years from the date that final payment is made pursuant to the contract (Government Code, Section 10532). This does not preclude access to records by the State, the Comptroller General of the United States, or any of their authorized representatives.

2. The County agrees that the State will have the right to review, obtain, and copy all records pertaining to the performance of this contract. The County agrees to provide the State with any and all relevant information requested.
  3. The County agrees to comply with the additional provisions contained in Document 2N entitled "State of California, Department of Health Services, Additional Provisions (For Federally Funded Subvention Aid/Local Assistance Cost Reimbursement Contracts/Grants)," and is incorporated by this reference. In instances where inconsistencies occur, the provisions of the contract shall apply.
  4. All expenditures of State and federal funds furnished to the County and the County's subcontractors pursuant to Part I are subject to audit by the State. Such State audits shall consider and build upon external independent audits performed pursuant to audit requirements of the Office of Management and Budget (OMB) Circular A-133 (Revised June 1997). Objectives of such State audits may include, but not be limited to, the following:
    - (a) to determine whether units of service claimed/reported are properly documented by service records and accurately accumulated for claiming/reporting;
    - (b) to validate data reported by the County for prospective contract negotiations;
    - (c) to provide technical assistance in addressing current year activities and providing recommendations on internal controls, accounting procedures, financial records, and compliance with laws and regulations;
    - (d) to determine the cost of services, net of related patient and participant fees, third-party payments, and other related revenues and funds;
    - (e) to determine that expenditures are made in accordance with applicable State and federal laws and regulation and contract requirements; and/or
    - (f) to determine the facts in relation to analysis of data, complaints, or allegations, which may be indicative of fraud, abuse, willful misrepresentation, or failure to achieve the contract objectives of Part I or Part II.
  5. The County shall comply, and shall require that subcontractors comply, with all terms and conditions of this contract and all pertinent State and federal statutes and regulations. The State, DHS, DHHS, Comptroller General of the United States, or other authorized state or federal agencies and representatives, will be allowed to inspect or otherwise evaluate the quality, appropriateness, and timeliness of services performed under this contract. Any and all books, records, and facilities maintained by the County and its subcontractors related to these services may be audited at any time during normal business hours. Unannounced visits may be made at the discretion of the State.
  6. The refusal of a county or subcontractor to permit access to and inspection of books, records, and facilities as described in this part constitutes an express and immediate breach of this agreement.
- I. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions (Reference: Title 45, Code of Federal Regulations (hereinafter referred to as CFR), Appendix B to Part 76)

The official signing for the county certifies, to the best of his or her knowledge and belief, the following:

The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The county agrees that it will include the above debarment clause, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

Lower tier covered transactions are defined as:

1. Any transaction between a participant and a person other than a procurement contract for goods or services, regardless of type, under a primary covered transaction.
2. Any procurement contract for goods or services between a participant and a person, regardless of type, expected to equal or exceed the Federal procurement small purchase threshold fixed at 10 United States Code (USC) 2304(g) and 41 USC 253(g) (currently \$25,000) under a primary covered transaction.
3. Any procurement contract for goods or services between a participant and a person under a covered transaction, regardless of amount, under which that person will have a critical influence on or substantive control over that covered transaction. Such persons are:
  - (a) Principal investigators
  - (b) Providers of Federally-required audit services
  - (c) Researchers

J. Restrictions on Lobbying

Contractor agrees that no part of any federal funds provided under this contract shall be used by the Contractor or any subcontractor to support lobbying activities to influence proposed or pending Federal or State legislation or appropriations. This prohibition is related to the use of Federal Grant funds and is not intended to offset your right, or that of any other organization, to petition Congress, or any other level of Government, through the use of other resources.  
(Reference: 31 USC 1352)

K. Restrictions on Salaries

Contractor agrees that no part of any federal funds provided under this contract shall be used by the Contractor or any subcontractor to pay the salary of an individual at a rate in excess of \$141,300 per year.

L. Child Support Compliance Act

County acknowledges, in accordance with Public Contract Code Section 7110 (Document 1E), that:

1. the County recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with Section 5200) of Part 5 of Division 9 of the Family Code; and
2. the County, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

M. Year 2000 Compliance

County warrants and represents that the services delivered under this contract are "Year 2000 compliant." For purposes of this contract a service is Year 2000 compliant if it will continue to function fully before, at, and after the Year 2000 without interruption and, if applicable, with full ability to accurately and unambiguously process, display, compare, calculate, manipulate and otherwise utilize date information. This warranty and representation supersedes all warranty disclaimers and limitations and all limitations on liability provided by or through the county.

N. Federal Principles of Effectiveness (applies to Safe and Drug Free Schools and Communities funding)

County is considered a "grant recipient" for purposes of this clause and agrees to comply with the federal Principles of Effectiveness listed below:

1. A grant recipient shall base its program on a thorough assessment of objective data about the drug and violence problems in the schools and communities served;
2. A grant recipient shall, with the assistance of a local or regional advisory council, which includes community representatives, establish a set of measurable goals and objectives and design its activities to meet those goals and objectives;
3. A grant recipient shall design and implement its activities based on research or evaluation that provides evidence that the strategies used prevent or reduce drug use, violence, or disruptive behavior; and
4. A grant recipient shall evaluate its program periodically to assess its progress toward achieving its goals and objectives and use its evaluation results to refine, improve, and strengthen its program to refine its goals and objectives as appropriate.

## ARTICLE II. PROGRAM PROVISIONS

### A. Confidentiality of Information

1. The County shall conform to and monitor compliance with all State and federal statutes and regulations regarding confidentiality, including the confidentiality of information requirements at Part 2, Title 42, CFR; Welfare and Institutions Code (hereinafter referred to W&I), Section 14100.2; Section 11977, Division 10.5 of the HSC; and Title 22, California Code of Regulations (hereinafter referred to as CCR), Section 51009.
2. The County shall ensure that no list of persons receiving services under this contract is published, disclosed, or used for any purpose except for the direct administration of this program or other uses authorized by law that are not in conflict with requirements for confidentiality contained in Title 42, CFR, Part 2; W&I, Section 14100.2; HSC, Section 11977; and Title 22, CCR, Section 51009.

### B. Equal Opportunity Clause

1. The County certifies compliance with Government Code, Section 12990 and CCR, Title II, Division 4, Chapter 5, in matters related to the development, implementation and maintenance of a nondiscrimination program. The County shall not discriminate against any employee or applicant for employment because of race, religion, color, national origin, physical or mental disability, marital status, sex, or age. The County will ensure that qualified applicants have equal opportunity for employment, and that qualified employees have equal opportunity during employment. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, career development opportunities, and selection for training, including apprenticeship.
2. The County agrees to post, and further agrees to require its subcontractors to post, in conspicuous places, notices available to all employees and applicants for employment setting forth the provisions of the Equal Opportunity Act [42 USC 2000(e)] in conformance with Federal Executive Order No. 11246. The County agrees to comply, and further agrees to require its subcontractors to comply, with the provisions of the Rehabilitation Act of 1973 (29 USC 794).

### C. Nondiscrimination

1. By signing this contract the County certifies under the laws of the State of California that the County and its subcontractors shall not unlawfully discriminate in the provision of services because of race, color, creed, national origin, sex, age, or physical or mental disability as provided by State and federal law and in accordance with Title VI of the Civil Rights Act of 1964 [42 USC 2000(d)]; Age Discrimination Act of 1975 (42 USC 6101); Rehabilitation Act of 1973 (29 USC 794); Education Amendments of 1972 (20 USC 1681); Americans with Disabilities Act of 1990 (42 USC 12132); Title 45, CFR, Part 84; provisions of the Fair Employment and Housing Act (Government Code Section 12900 et seq.); and regulations promulgated thereunder (Title 2, CCR, Section 7285.0 et seq.); Title 2, Division 3, Article 9.5 of the Government Code, commencing with Section 11135; and Title 9, Division 4, Chapter 6 of the CCR, commencing with Section 10800.



2. For the purpose of this contract, discriminations on the basis of race, color, creed, national origin, sex, age, or physical or mental disability include, but are not limited to, the following: denying a participant any service or providing a benefit to a participant which is different, or is provided in a different manner or at a different time from that provided to other participants under this contract; subjecting a participant to segregation or separate treatment in any matter related to the receipt of any service; restricting a participant in any way in the enjoyment of any advantage or privilege enjoyed by others receiving any service or benefit; and/or treating a participant differently from others in determining whether the participant satisfied any admission, enrollment, eligibility, membership or other requirement or condition which individuals must meet in order to be provided any service or benefit.
3. The County shall, on a cycle of at least every three years, assess, monitor, and document each subcontractor's compliance with the Rehabilitation Act of 1973 and Americans with Disabilities Act of 1990 to ensure that recipients/beneficiaries and intended recipients/beneficiaries of services are provided services without regard to physical or mental disability. The County shall also monitor to ensure that beneficiaries and intended beneficiaries of service are provided services without regard to race, color, creed, national origin, sex, or age.

The County shall include nondiscrimination and compliance provisions in all subcontracts. The County shall establish written procedures under which service participants are informed of their rights including their right to file a complaint alleging discrimination or a violation of their civil rights. Participants shall be provided a copy of their rights that shall include the right of appeal and the right to be free from sexual harassment and sexual contact by members of the treatment, recovery, advisory, or consultant staff.

4. The County shall conduct a self-evaluation and, where appropriate, a transition plan in accordance with the requirements contained in Title 28, CFR, Section 35.105, and Title 45, CFR, Section 84.6. The self-evaluation shall include an assessment of residential alcohol and other drug services consistent with the Voluntary Compliance Agreement between the Office of Civil Rights, DHHS, and the State. For services provided pursuant to a contract, the County may perform the self-evaluation or require the subcontractor perform the self-evaluation. The County agrees to comply with the terms and conditions contained in any applicable voluntary compliance agreements.
5. The County shall keep records to document compliance with the provisions referenced in Article II, Division C, and copies of the required Notice of Client's Rights, in order for the State to determine compliance with Article II, Division C, and with the State and federal legal requirements including the Voluntary Compliance Agreement. Upon request by the State, the County shall provide such records and other data, which may include a valid and appropriate fire clearance for residential facilities, to the State within 30 calendar days.
6. No state or federal funds shall be used by the County or any subcontractors to provide direct, immediate or substantial support to any religious activity.
7. Noncompliance with Article II, Section C, subdivisions 1 through 6, may result in withholding of payments under this contract or termination of any part of a subcontractor's reimbursement.

**D. Drug-Free Work Place**

By signing this contract the County certifies that the County will comply, and require that subcontractors comply, with the requirements of the Drug-Free Work Place Act of 1990 (Government Code Section 8350 et seq.) and will provide a drug-free work place by taking the following actions:

1. Publish a statement notifying all employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the person's or organization's work place and specifying the actions that will be taken against employees for violations of the prohibitions as required by the Government Code, Section 8355(a).
2. Establish a drug-free awareness program as required by the Government Code, Section 8355(b) to inform all employees about all of the following:
  - (a) the dangers of drug abuse in the work place;
  - (b) the person's or organization's policy of maintaining a drug-free work place;
  - (c) any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) the penalties that may be imposed upon employees for drug abuse violations.
3. Provide, as required by the Government Code, Section 8355(c), that every employee engaged in the performance of the contract:
  - (a) be given a copy of the County's drug-free policy statement; and
  - (b) as a condition of employment on the contract, agree to abide by the terms of the statement.
4. Failure to comply with these requirements for a drug-free work place may result in suspension of payments under the contract or termination of the contract or both, and the County or its subcontractors may be ineligible for future State contracts if the State determines that any of the following has occurred:
  - (a) the County has made false certification; or
  - (b) the County has violated the certification by failing to carry out the requirements as noted above.

**E. No Unlawful Use or Unlawful Use Messages Regarding Drugs**

The County agrees that information produced through these funds, and which pertains to drug- and alcohol-related programs, shall contain a clearly written statement that there shall be no unlawful use of drugs or alcohol associated with the program. Additionally, no aspect of a

drug- or alcohol-related program shall include any message on the responsible use, if the use is unlawful, of drugs or alcohol (Health and Safety Code Section 11999). By signing this agreement, the County agrees that the County and its subcontractors will enforce these requirements.

**F. Smoking Prohibition Requirements**

The County shall comply, and require that subcontractors comply, with Public Law 103-227, also known as the Pro-Children Act of 1994, which requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education, or library services to children under the age of 18 if the services are funded by federal programs either directly or through State or local governments, by federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable federal funds is Medicare or Medicaid; or facilities where Women, Infants, and Children (WIC) coupons are redeemed.

**G. Hazardous Activities**

The County shall have liability insurance sufficient to cover hazardous activities pursuant to Section 7.40 of the State Contracting Manual (Document 1S). To the extent the County subcontracts for the provision of transportation services, the County is liable to determine that the subcontractor has sufficient liability insurance to meet the requirements of Section 7.40 of the State Contracting Manual.

**H. Noncompliance with Reporting Requirements**

The County agrees that the State has the right to withhold County payments until the County has submitted any required data and reports to the State.

**PART I**

**NEGOTIATED NET AMOUNT**

**ARTICLE I. FORMATION AND PURPOSE**

**A. Authority**

The State and County enter into Part I by authority of Chapters 3 and 3.3, Part 1, Division 10.5 of the HSC and with approval of the County Board of Supervisors (or designee) for the purpose of providing alcohol and drug services, which will be reimbursed through this contract. The parties identified are the only parties to the contract.

**B. Control Requirements**

1. Performance under Part I is subject to all applicable federal and State laws, regulations, and standards. In accepting the State drug and alcohol combined program allocation pursuant to HSC, Sections 11757(a) and (b), the County shall establish, and shall require subcontractors to establish, written accounting procedures consistent with the following requirements, and shall be held accountable for audit exceptions taken by the State against the County and its subcontractors for failure to comply with these requirements:

- (a) HSC, Division 10.5;
- (b) Title 9, California Code of Regulations, Division 4;
- (c) Government Code, Article 1.7, Federal Block Grants, Chapter 2, Part 2, Division 4, Title 2, commencing at Section 16366.1;
- (d) Government Code, Article 7, Federally Mandated Audits of Block Grant Funds Allocated to Local Agencies, Chapter 1, Part 1, Division 2, Title 5, commencing at Section 53130;
- (e) Title 42, United States Code (USC), Section 300x-5; Reports and Audits for Block Grants;
- (f) Block Grant [Public Law 102-321 (Title 42, USC, commencing at Section 101)];
- (g) Single Audit Act of 1984 (Public Law 98-502) and the Single Audit Act Amendments of 1996 (Public Law 104-156) and corresponding OMB Circular A-133 (Revised June 30, 1997);
- (h) Title 45 Code of Federal Regulations, Part 96, Subparts B, C, and L, Substance Abuse Prevention and Treatment Block Grant;
- (i) Title 21, CFR, Part 291 (Food and Drug Administration Requirements for Narcotic Treatment Programs);
- (j) Title 21, CFR, Part 1300, et. seq. (Drug Enforcement Administration Requirements for Food and Drugs); and
- (k) State Administrative Manual, Chapter 7200

1. The County shall be familiar with the above laws and regulations and shall assure that the County's subcontractors are also familiar with such laws.
2. The provisions of Part I are not intended to abrogate any provisions of law or regulation, or any standards existing or enacted during the term of this contract.
3. Title 45, CFR, Part 96, Subpart L, contains the minimal provisions that are to be adhered to by the County in the expenditure of the Substance Abuse Prevention and Treatment Block Grant funds. Document 1A, 45 CFR 96, Subpart L, is incorporated by reference.
4. This contract is subject to any additional restrictions, limitations or conditions enacted by the federal or State government that affect the provisions, terms, or funding of this contract in any manner.
5. Documents 1B, 1C, 1D and 1U, which are incorporated by this reference, contain additional requirements that shall be adhered to by those counties that receive the types of funds referenced in Exhibits A1 and A2, which are incorporated by this reference. These exhibits and documents are:
  - Exhibit A1, County Prevention and Treatment Programs Fiscal Summary and Detail Forms;
  - Exhibit A2, Minor Remodeling Costs and Criteria;
  - Document 1B, Safe and Drug-Free Schools and Communities (SDFSC) Act Requirements and 1U, Research-Based Prevention Requirements;
  - Document 1C, Driving Under the Influence Program Requirements; and
  - Document 1D, Services to California Department of Corrections (CDC) - Parolee Services Network Projects and Female Offender Treatment Project.
6. Document 1F, which is incorporated by this reference, describes "Requirements for Data by Date."
7. Document 1G, "Perinatal Services Network Guidelines," contains the requirements for perinatal programs funded under Part I, which includes submission of the "Perinatal Services Monthly Report," is incorporated by reference.
8. Document 1T, "Prevention Activities Data System (PADS) Form" collects information required in the SDFSC Act and SAPT Block Grants. Reports are required from primary prevention providers on a yearly basis.
9. Document 1V, "Youth Treatment Guidelines," is provided for use by the County in developing and implementing youth treatment programs funded under Part I.

10. Only SGF DMC and SGF DMC Perinatal may be used to match FFP. The County must use all available SGF DMC to fund Minor Consent prior to accessing the reserve. Counties may access the Contingency Reserve Fund when all allocated regular SGF DMC or SGF DMC Perinatal is expended.
11. If the county applied for and received an award for California Mentor Initiative (CMI) funds, the county agrees to comply with (a) terms and conditions of Document 1Q, "Conditions for Use of Funding Received Under the Mentor Initiative Allocation," (b) ADP's CMI Request for Applications, (c) the County-specific proposal, and (d) any amendments to that proposal. If the county received funds for this program in a prior contract with ADP, these documents are on file at ADP and incorporated by this reference. If funds are being added for the first time through this contract, these documents are attached hereto and incorporated by this reference.
12. If the county applied for and received an award for Drug Court-Related Substance Abuse Treatment funds, the county agrees to comply with (a) the department's Drug Court-Related Substance Abuse Treatment Request for Applications, (b) the County-specific proposal, and (c) amendments to that proposal. If the county received funds for this program in a prior contract with ADP, these documents are on file at ADP and incorporated by this reference. If funds are being added for the first time through this contract, these documents are attached hereto and incorporated by this reference.

**C. Contract Negotiation**

Contract negotiations shall be conducted between the County and the State through their authorized representative(s) each year of the multi-year contract period. Negotiations will be conducted at State headquarters at 1700 K Street, Sacramento, once during the multi-year contract period. In the alternative, negotiations may be conducted by correspondence. However, in the event the County and the State, through their authorized representative(s), cannot resolve issues of disagreement by correspondence, the State reserves the right to require the attendance of the County-authorized representative(s) at State headquarters for the limited purpose of resolving issues of disagreement. Any failure by the State- or the County-authorized representative(s) to attend any scheduled headquarters negotiation at the scheduled time and designated location will constitute a delay by the State or the County, respectively, pursuant to HSC, Section 11758.12(b)(2).

## ARTICLE II. DEFINITIONS

- A. The words and terms of this contract are intended to have their usual meanings unless a particular or more limited meaning is associated with their usage pursuant to HSC, Section 11750 et seq., and Title 9, CCR, Section 9000 et seq. The following definitions shall apply to Part I:
1. **"Available Capacity"** means the total number of units of service (bed days, hours, slots, etc.) that a county actually makes available in the current fiscal year.
  2. **"County"** means (a) the County identified in the Standard Agreement or (b) the department authorized by the County Board of Supervisors to administer alcohol and drug programs.
  3. **"Dedicated Capacity"** means the historically calculated service capacity, by modality, adjusted for the projected expansion or reduction in services, which the County agrees to make available to provide non-DMC drug and alcohol services to persons eligible for county services.
  4. **"Encumbered Amount"** means the amount reflected on the Standard Agreement of this contract and supported by Exhibit A1, the County Prevention and Treatment Programs Fiscal Summary and Detail Forms, as the NNA less the required County match.
  5. **"Final Allocation"** means the amount of funds identified in the last allocation letter issued by the State for the current fiscal year.
  6. **"Modality"** means those necessary general activities identified in the Dedicated Capacity Reports included in the County Prevention and Treatment Programs Fiscal Summary and Detail Forms, Exhibit A1, to provide alcohol and/or drug prevention or treatment that conform to the services available pursuant to Division 10.5 of the HSC.
  7. **"Negotiated Net Amount (NNA)"** means the contracted amount for services agreed to by the State and the County, less funds budgeted for DMC. The net amount reflects only those funds allocated to the County and the required county match for SGF as reflected in the County Prevention and Treatment Programs Fiscal Summary and Detail Forms, Exhibit A1; and Minor Remodeling Costs and Criteria, Exhibit A2. The NNA does not include other revenue budgeted by the County such as client fees or county revenue in excess of the required match for SGF. The cost per unit for the dedicated capacity to be provided for each service modality identified in the contract will be based on the net amount of the contract. Exhibits A1 and A2 will be used as negotiating documents.
  8. **"Performance"** means (a) providing the dedicated capacity in accordance with Exhibit A1; (b) complying with the terms of the expenditures associated with allowable costs for minor remodeling as detailed in Exhibit A2; and (c) abiding by the terms of Articles I and II of the Introduction of this contract including all applicable State and federal statutes, regulations, and standards in expending funds for the provision of alcohol and drug services.
  9. **"Revenue"** means income from sources other than the State allocation and the required county match.

10. **"Service Element"** is the specific type of service performed within the more general service modalities. A list of the service modalities and service elements and service elements codes is incorporated into this contract as Document 1H, "Service Codes, Service Code Descriptions, and Program Codes."
11. **"State"** means the California Department of Alcohol and Drug Programs.
12. **"Unit of Service"** means the type of unit used to quantify the service modalities/elements in the dedicated capacity reports. The units of services are listed below:
- |   |                |
|---|----------------|
| Support Services                          | staff hours    |
| Primary and Secondary Prevention Services | staff hours    |
| Nonresidential Services                   |                |
| Outpatient                                | staff hours    |
| Aftercare                                 | staff hours    |
| Day Care Habilitative                     | visit days     |
| Residential Treatment Service             | bed days       |
| Narcotic Treatment Program                |                |
| Inpatient Detoxification                  | bed days       |
| Outpatient Detoxification                 | slot days      |
| Narcotic Replacement Therapy              | slot days      |
| Methadone                                 |                |
| Levo-alpha-acetyl-methadol (LAAM)         |                |
| Ancillary Services                        | staff hours    |
| California Mentoring Initiative           | staff hours    |
| Driving Under-the-Influence               | clients served |
13. **"Utilization"** means the total actual units of service used by clients and participants.



### ARTICLE III. FISCAL PROVISIONS

#### A. Funding Authorization

1. Part I is valid and enforceable subject to sufficient funds being made available to the State by the United States Government and subject to authorization and appropriation of sufficient funds pursuant to the State's Budget Act.
2. In the event the United States Government and/or the State Government do not authorize and appropriate sufficient funds for the State to allocate amounts pursuant to the Payment Provisions of Part I, it is mutually agreed that the contract shall be amended to reflect any reduction in the Payment Provisions and the Performance Provisions.
3. The participating County shall bear the financial risk in providing any alcohol and/or drug service to the population described and enumerated in Part I within the NNA.

#### B. Payment Provisions

1. The NNA shall be based on the projected cost of services less the projected revenues. The projected cost of services shall be based on historical data of actual costs and current capacity provided to the State by the County.
2. The total amount payable by the State to the County under Part I shall not exceed the encumbered amount for each fiscal year. The funds identified for the fiscal years covered by Part I are subject to change depending on the availability of the appropriations by the Legislature and the Federal Government. The amount of funds available for expenditure by the County shall be limited to the amount identified in the final allocations issued by the State for that fiscal year or the NNA, whichever is less. Changes to encumbered funds will require written amendment to the contract. The State may settle costs for NNA services based on the year-end cost settlement report as the final amendment to the approved single state/county contract.
3. In the event a contract amendment is required pursuant to Subdivision 2 above, the County shall submit to the State any contract exhibits requested by the State to initiate the contract amendment. Any such requested exhibits shall be forwarded to the State 60 days after the State issues a notice of the State Budget Act allocation or any revised allocation with the exception of the final allocation. To the extent the County is notified of the State Budget Act allocation prior to the execution of the contract, the State and the County may agree to amend the contract after the issuance of the first revised allocation.
4. The State shall reimburse the County monthly in arrears based upon the amount encumbered for Part I or the Governor's Budget allocation, whichever is less.

The monthly payment shall be at least one twelfth of the NNA portion of the contract.

However, based on the expenditure information submitted by the counties in the Quarterly Federal Financial Management Report, monthly payments of encumbered federal funds may be adjusted to extend the length of time (not to exceed 21 months) payments will be made.

5. Monthly disbursements to the participating County at the beginning of each FY of the contract shall be based on the preliminary allocation of funds by the State as detailed in Exhibit A1, County Prevention and Treatment Programs Fiscal Summary Detail.

Final allocations will reflect any increases or reductions in the appropriations as enacted by the Budget Act allocation and any subsequent allocation revisions and shall commence with approval of the contract or contract amendment. To the extent the amendment encumbers an amount that is less than the final allocation, the monthly disbursements will reflect the lesser amount.

6. Monthly payments may be withheld for late submission of reports required pursuant to Article V, or noncompliance with the provisions of Article II, Section H, of the Introduction to this contract. Upon receipt of the report, or compliance with Article II, Section H, the County's monthly payment shall commence with the next scheduled monthly payment, and shall include any funds withheld due to late submission of the report.

Monthly payments will be withheld if the County fails to submit the Budget Act amendment, which includes the County and subcontractor fiscal detail data, due to the State 60 days after the release of the Budget Act allocation.

7. Adjustments may be made to the total NNA of the contract, and amounts may be withheld from payments made pursuant to Subdivision 4 above, for nonperformance to the extent that nonperformance involves fraud, abuse, or failure to achieve the objectives of Part I.

C. Accrual of Interest

Counties are not allowed to retain more than \$100 in interest earned on federal funds per year per Title 45, CFR, 92.21 (l). Interest earned in excess of this amount is to be returned to the State.

D. Transfer of Money

Transfers of 10 percent or more of the contracted amount per fiscal year between modalities included in Part I will require an amendment to the contract. Transfers of less than 10 percent of the contracted amount per fiscal year are subject to prior written approval by the State when the transfers are between modalities and are subject to subsequent reporting by the County to the State consistent with Article V reporting requirements when the transfers are between service elements. Determinants for prior approval will include identification of the amount to be transferred, the location of the transfers, the need for the transfers, and the effect on the negotiated service delivery.

E. Additional Audit Requirements

1. Pursuant to OMB Circular A-133, the County shall require and ensure that providers expending \$300,000 or more in Federal Awards in a year, have a single or program-specific audit performed pertaining to Part I.
  - (a) The audit shall be performed in accordance with OMB Circular A-133 (revised June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations.
  - (b) The audit shall be conducted in accordance with generally accepted auditing standards and Government Auditing Standards, 1994 Revision, issued by the Comptroller General of the United States.
  - (c) A copy of the audit performed in accordance with OMB Circular A-133 shall be submitted to the State within thirty (30) days of completion, but no later than

thirteen (13) months following the end of the provider's fiscal year. However, pursuant to OMB Circular A-133 (Revised June 1997), Subpart C, Section .320(a), the submission deadline was shortened to nine (9) months starting with fiscal years beginning after June 30, 1998.

- (d) The cost of the audit made in accordance with the provisions of OMB Circular A-133 can be charged to applicable Federal awards. Where apportionment of the audit cost is necessary, such apportionment shall be made in accordance with generally accepted accounting principles, but shall not exceed the proportionate amount that the award represents of the provider's total revenue.
  - (e) The work papers and the audit reports shall be retained for a minimum of three years from the date of the audit reports, and longer if the independent auditor is notified in writing by the State to extend the retention period.
  - (f) Audit work papers shall be made available upon request to the State, and copies shall be made as is reasonable and necessary.
  - (g) The County, in coordination with the State, shall ensure the provider is responsible for follow-up and corrective action on any material audit findings in the single or program-specific audit report.
2. Pursuant to OMB Circular A-133, the State may impose sanctions against a County for not submitting required single or program-specific audit reports. The sanctions shall include:
- (a) Withholding a percentage of Federal awards until the audit is completed satisfactorily;
  - (b) Withholding or disallowing overhead costs;
  - (c) Suspending Federal awards until the audit is conducted; or
  - (d) Terminating the Federal award.
3. Pursuant to OMB Circular A-133, the Counties are required to monitor the activities of their providers expending less than \$300,000 in total Federal funds in a year. This is necessary to ensure that Federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of contracts or grant agreements, and that performance goals are achieved.

Limited scope audits, on-site visits, and reviews of documentation supporting requests for reimbursement are monitoring procedures that would be acceptable to OMB in meeting county monitoring objectives. Also, OMB would allow counties to charge Federal awards for the cost of these monitoring procedures.

- (a) Limited scope audits as they are defined in the Circular only include agreed-upon procedures engagements conducted in accordance with either the American Institute of Certified Public Accountant's generally accepted auditing standards or attestation standards, that are paid for and arranged by pass-through entities (counties) and address only one or more of the following types of compliance requirements: (1) activities allowed or unallowed; (2) allowable costs/cost principles; (3) eligibility; (4) matching, level of effort and earmarking; and (5) reporting.

- (b) On-site visits focus on compliance and controls over compliance areas. The reviewer makes site visits to the subrecipient's location(s), and documents the visits using a checklist or program focusing on the compliance areas. All findings noted during the on-site monitoring are handled in the same manner as any exceptions noted during single or program-specific audits.
  - (c) Reviews of supporting documentation submitted by providers include, but are not limited to, copies of invoices, canceled checks, and time sheets. Prior to reimbursement the reviewer determines if the costs are allowable under the terms of the Federal award.
- 4. Audit reports by the State shall reflect any findings, recommendations, adjustments, and corrective action as a result of its findings in any areas.
  - 5. The County shall be responsible for any disallowances taken from the Federal government, the State, or the Bureau of State Audits, as a result of any audit exception that is related to the County's responsibilities herein. The County agrees to develop and implement any corrective action plans in a manner acceptable to the State in order to comply with recommendations contained in the audit report. Such corrective action plans shall include time specific objectives to allow for measurement of progress and are subject to verification by the State within one year from the date of the plan.
  - 6. If differences cannot be resolved between the State and County regarding the terms of the final audit settlements for funds expended under Part I, the County may request an appeal in accordance with the appeal process described in Document 1J, "Audit Appeals Process," incorporated by this reference.

**F. Revenue Collection**

The County shall conform to revenue collection requirements in Division 10.5 of the HSC, Sections 11841 and 11991.5.

**G. County Match Requirements**

The County shall comply with the following requirements pursuant to HSC, Sections 11840, 11840.1, and 11987.4:

- 1. Counties with populations over 100,000:
  - (a) SGF allocations, if any, not used as DMC match shall be funded on the basis of 90 percent SGF and 10 percent County funds, except local hospital inpatient costs to the extent there are allocations made for local hospital inpatient costs, which shall be funded on a basis of 85% SGF and 15% County funds; and
  - (b) State Hospital programs shall be funded on the basis of 85 percent SGF and 15 percent County funds.
- 2. Counties with populations under 100,000:

State Hospital programs shall be funded on the basis of 90 percent SGF and 10 percent County funds, to the extent that allocations of SGF are made available for State Hospital programs.

3. Perinatal Services Network counties with populations over 100,000:

Perinatal Services Network programs shall be funded on the basis of 90 percent Perinatal State General Fund (PSGF) and 10 percent County funds. The 10 percent County funds match to PSGF funds must be used for perinatal-related activities. The 10 percent County match requirement does not apply to the Women and Children's Residential Treatment Services funds.)

4. Youth Treatment Programs in counties with populations over 100,000:

Youth Treatment Programs shall be funded on the basis of 90 percent SGF and 10 percent County funds. The 10 percent County funds match to SGF must be used for youth treatment activities.

H. Cost Efficiencies

1. It is intended that the cost to the County in maintaining the dedicated capacity and units of service shall be met by the total funding available for these services. The total funding shall be derived from the negotiated net amounts and other revenues. Amounts awarded pursuant to Part I shall not be used for services where payment has been made, or can reasonably be expected to be made under any other state or federal compensation or benefits program, or where services can be paid for from revenues.

2. Pursuant to HSC, Sections 11758.12 (e), (f), (g), and (h), savings of discretionary State General Funds (SGF) provided through this contract shall be treated as follows:

- (a) The County shall notify the State by April 1 of the current fiscal year of the estimated amount of retained unspent discretionary SGF to be expended in the next fiscal year beginning July 1.
- (b) Any savings redirected from the current fiscal year to the next fiscal year plus any accrued interest (see Article III, Section C) shall be included on the identified lines of the budget summary for the next fiscal year contract.
- (c) Unspent SGF may be retained by the County, less:
  - i. amounts reimbursable to the California Department of Corrections pursuant to Document 1D;
  - ii. amounts deemed necessary by the county to fund allowable DMC costs which exceed DMC maximum rates.
- (d) Retained SGF may only be spent on identifiable drug and alcohol services in accordance with this contract and shall be included on the identified lines of the budget summary for the subsequent fiscal year.

I. Unexpended Federal Funds from Fiscal Year 1999-00

Pursuant to Assembly Bill 1740 (Chapter 52, Statutes of 2000), the Budget Act for FY 2000-01, the Department of Alcohol and Drug Programs must, to the extent permissible under federal statutes and regulations, direct counties to expend up to \$20,935,000 of one-time federal carryover funds for the provision of prevention and treatment services for youth. The federal carryover funds are Substance Abuse Prevention and Treatment (SAPT) Block Grant Funds and Safe and Drug-Free Schools and Communities (SDFSC) Funds.

The term "permissible under federal statutes and regulations" means that utilization of the carryover funds for youth prevention and treatment services would not result in the breach of one or more federal block grant statutory or regulatory requirements. Such requirements include but are not limited to:

1. Title 42, USC, Section 300x-62(a), *Availability To States Of Grant Payments*, regarding the time frames for obligating and expending SAPT block grant funds;
2. Title 42, USC, Section 300-x-22, *Certain Allocations*, regarding primary prevention program services to pregnant women and women with dependent children, and the allocations regarding alcohol and other drugs; and,
3. Title 42, USC, Section 300x-24, *Requirements Regarding Tuberculosis and Human Immunodeficiency Virus*.

Requests for exceptions to this requirement can be addressed to ADP through the use of the justification process as outlined in ADP Bulletin 00-32, dated September 13, 2000.

J. Expenditure of SAPT Block Grant Funds and SDFSC Funds

1. SAPT Block Grant funds are allocated based upon the Federal Grant award period. The expenditure of these funds is subject to the availability period of the grant award. Any SAPT Block Grant funds that have not been expended by a county at the end of the expenditure period identified below, shall be returned to the State. The SAPT Block Grant funds are not subject to the provisions of Section H.

For State Fiscal Year (SFY) 2000/2001, 25% of the Federal Fiscal Year (FFY) 2000 award and 100% of the FFY 2001 award will be allocated. The expenditure period of the FFY 2000 award is July 1, 2000 through June 30, 2001; the expenditure period of the FFY 2001 award is October 1, 2000 through June 30, 2002.

2. SDFSC funds are allocated based upon the SFY. The expenditure of these funds is subject to the availability period of the grant award. Any SDFSC funds that have not been expended by a county at the end of the expenditure period identified below, shall be returned to the State. The SDFSC funds are not subject to the provisions of Section H.
  - (a) The FFY 1999 award was allocated in SFY 1999/2000. The expenditure period of the SFY award is July 1, 1999 through June 30, 2001.
  - (b) The FFY 2000 award was allocated in SFY 2000/2001. The expenditure period of the SFY award is July 1, 2000 through June 30, 2002.

## ARTICLE IV. PERFORMANCE PROVISIONS

### A. Monitoring

1. The County's performance under Part I shall be monitored during the term of this contract. Monitoring criteria shall include, but not be limited to:
  - (a) whether the quantity of work or services are being performed as identified in Part I, Exhibits A1 and A2;
  - (b) whether the County has established quality standards and is monitoring them;
  - (c) whether the County is abiding by all the terms and requirements of this contract; and
  - (d) whether the County is abiding by the terms of the Perinatal Services Network Guidelines.
2. Failure to comply with the provisions of Article IV, Section A, Subsections (a) through (c) above, may subject the County to suspension of payments and/or recovery of payments made, subject to the right of appeal as referenced in these requirements, or may result in termination of the contract or both.

### B. Performance Requirements

1. The County's NNA dedicated capacity is the agreed upon available capacity by service modality and capacity, negotiated by the County and the State, identified in Exhibit A1. These services shall be available to persons eligible for alcohol and drug programs, as required by the contract.
2. The County shall provide accessible and appropriate services in accordance with federal and state statutes and regulations to all eligible persons. The County shall assure that in planning for the provision of services, the following barriers to accessible services are considered and addressed:
  - (a) lack of educational materials or other resources for the provision of services;
  - (b) geographic isolation and transportation needs of persons seeking services or remoteness of services;
  - (c) institutional or cultural barriers;
  - (d) language differences;
  - (e) lack of service advocates; and
  - (f) failure to survey or otherwise identify the barriers to service accessibility.
3. The County shall comply with the additional requirements, if any, identified in referenced documents.
4. Amounts awarded pursuant to Part I shall be used exclusively for providing alcohol and/or drug program services consistent with the purpose of the funding.

## ARTICLE V. REPORTING REQUIREMENTS

### A. Financial Reports

1. Fiscal forms, provided as Exhibit A1, the County Prevention and Treatment Programs Fiscal Summary and Detail Forms, are required in accordance with the State's drug and alcohol fiscal reporting system requirements. The County agrees to submit Exhibit A1 with the original contract and with each contract amendment.
2. The County agrees to submit Quarterly Federal Financial Management reports and end-of-year cost data in the form of year-end cost settlement reports, including Document 2P(c), "County Certification Cost Report Year-End Claim for Reimbursement" with an original signature of the County's authorized designee in accordance with Document 1F, "Requirements for Data by Date."

### B. Additional Reports

1. The County agrees to submit to the State in accordance with HSC, Section 11758.12(d), information required by the State. The information shall include, but is not limited to, utilization reports, compliance reports, financial reports, treatment and prevention services reports, demographic characteristics of service recipients, and data as required in Documents 1K, 1L, 1M, 1N and 1R. These documents are incorporated by this reference as follows:

Document 1K: Drug and Alcohol Treatment Access Report (DATAR) (which includes and incorporates data from the Provider Waiting List Record);

Document 1L: California Alcohol and Drug Data System (CADDs) Participant (Admission and Discharge) Records;

Document 1M: California Alcohol and Drug Data System (CADDs) Provider Summary;

Document 1N: Drug and Alcohol Services Information System (DASIS) Uniform Facilities Data Set (UFDS);

Document 1R Perinatal Services Monthly Report; and

Document 1T Prevention Activities Data System (PADS) Forms, ADP7235A-G.

2. The County agrees by signing this contract to submit data requested pursuant to this section in a manner identified or on forms provided by the State, and to submit data by the due dates identified herein or in Document 1F, "Requirements for Data by Date."



## ARTICLE VI. GENERAL PROVISIONS

### A. Records

The County shall maintain sufficient books, records, documents, and other evidence necessary for the State to audit contract performance and contract compliance. The County will make these records available to the State, upon request, to evaluate the quality and quantity of services, accessibility and appropriateness of services, and to ensure fiscal accountability. These records shall be sufficient to determine the reasonableness, allowability, and allocability of cost incurred by the County regardless of the location or ownership of such records.

1. The County's contracts with audit firms shall have a clause to permit access by the State to the working papers of the external independent auditor, and copies shall be made as is reasonable and necessary.
2. The County shall keep adequate and sufficient financial records and statistical data to support the year-end documents filed with the State.
3. Accounting records and supporting documents shall be retained for a three-year period from the date the year-end cost settlement report was approved by the Department for interim settlement. When an audit has been started before the expiration of the three-year period, the records shall be retained until completion of the audit and final resolution of all issues that arise in the audit. Final settlement shall be made at the end of the audit and appeal process. If an audit has not begun within three years, the interim settlement shall be considered as the final settlement.
4. Financial records shall be kept so that they clearly reflect the source of funding for each type of service for which reimbursement is claimed. These documents include, but are not limited to, all ledgers, books, vouchers, time sheets, payrolls, appointment schedules, client data cards, and schedules for allocating costs.
5. The County shall require by written contract provisions that subcontractors comply, and require by contract that any subsequent subcontractors comply, with Section A. Records, above, and subdivisions 1 through 4 of thereof.
6. Should a subcontractor of the County discontinue their contractual agreement with the County, or ceases to conduct business in its entirety, the County assumes responsibility to retain fiscal and program records of the subcontractor for the required retention period. The State Administrative Manual (SAM) contains statutory requirements governing the retention, storage, and disposal of records pertaining to State funds.

The County shall follow the statutory requirements contained in SAM as noted in Title 45 CFR, Part 96, Block Grants, Subpart C, Financial Management, Section 96.30, Fiscal and Administrative Requirements, which states in part, "Except where otherwise required by Federal law or regulation, a State shall obligate and expend block grant funds in accordance with the laws and procedures applicable to the obligation and expenditure of its own funds."

If the County cannot physically maintain the fiscal and program records of the subcontractor, then arrangements shall be made with the State to take possession and maintain all records.

**B. Dispute Resolution Process**

1. In the event of a dispute arising out of Part I, other than an audit dispute, the disputant shall provide written notice of the particulars of the dispute to the other party before exercising any other available remedy. Written notice shall include the contract number. The Director (or designee) of the State and the County Drug or Alcohol Program Administrator (or designee) shall meet to discuss the means by which they can effect an equitable resolution to the dispute. The disputant shall receive a written response from the respondent within 60 days of the notice of dispute. The written response shall reflect the issues discussed at the meeting and recommend a resolution to the dispute.
2. In the event of a dispute over financial audit findings between the State and the County, the County may appeal the audit in accordance with the "Audit Appeals Process" (Document 1J), HSC, Sections 11817.8(d) and 11991.6(e), and pursuant to Chapter 5 (commencing with Section 11500) of the Government Code.
3. To ensure that necessary corrective actions occur, both management audit findings and financial audit findings either uncontested or upheld in appeal, may be used by the State during prospective contract negotiations.

**LIST OF PART I DOCUMENTS INCORPORATED BY REFERENCE\*  
FISCAL YEAR 2000/01**

The following documents are hereby incorporated by reference into Part I and as applicable into Part II of this contract though they may not be physically attached to the contract.

Document 1A: Title 45, Code of Federal Regulations 96, Subpart L, Substance Abuse Prevention and Treatment Block Grant Requirements

Document 1B: Safe and Drug-Free Schools and Communities (SDFSC) Act Requirements

Document 1C: Driving-Under-the-Influence Program Requirements

Document 1D: Services to California Department of Corrections (CDC) - Parolee Services Network Projects and Female Offender Treatment Project

Document 1E: California Public Contract Code, Section 7110

Document 1F: Requirements for Data by Date

Document 1G: Perinatal Services Network Guidelines (Non-Drug Medi-Cal)

Document 1H: Service and Program Codes and Service Code Descriptions

Document 1J: Audit Appeals Process

Document 1K: Drug and Alcohol Treatment Access Report (DATAR) (which includes and incorporates data from the Provider Waiting List Record)

Document 1L: California Alcohol and Drug Data System (CADDs) Participant (Admission and Discharge) Records

Document 1M: California Alcohol and Drug Data System (CADDs) Provider Summary

Document 1N: Drug and Alcohol Services Information System (DASIS) Uniform Facilities Data Set (UFDS)

Document 1P: Program Standards: Alcohol and/or Other Drug Program Certification Standards and Standards for Drug Treatment Programs

Document 1Q: Conditions for Use of Funding Received Under the California Mentor Initiative Allocation (ADP-RFA-CMI)

Document 1R: Perinatal Services Monthly Report

Document 1S: State Contracting Manual Section 7.40 – Insurance Requirements

Document 1T: Prevention Activities Data System (PADS) Forms, ADP 7235A-G

**LIST OF PART I DOCUMENTS INCORPORATED BY REFERENCE\***  
**FISCAL YEAR 2000/01**

(cont'd.)

Document 1U: Research-Based Prevention Requirements

Document 1V: Youth Treatment Guidelines

\*Document identifiers 1"I" and 1"O" were not used to avoid confusion with numbers.

**PART II**

**DRUG MEDI-CAL SUBSTANCE ABUSE TREATMENT SERVICES**

**ARTICLE I. FORMATION AND PURPOSE**

- A. Part II of this contract is entered into by and between the State and the County for the purpose of identifying and providing for covered Drug Medi-Cal (DMC) services for substance abuse treatment in the County service area pursuant to Sections 11987.3 and 11987.5(b) and (c) and Sections 11758.40 through 11758.47 of the Health and Safety Code (hereinafter referred to as HSC), Title 22, CCR (hereinafter referred to as Title 22), Sections 51341.1, 51490.1, and 51516.1 and consistent with the Interagency Agreement between the Department of Health Services (DHS) and the State.
  
- B. It is further agreed that Part II of this contract is controlled by applicable provisions of Welfare and Institutions Code (hereinafter referred to as W&IC), Chapter 7, Sections 14000, et seq., in particular, but not limited to, Sections 14021, 14021.5, 14021.6, 14043, et seq. and 14132.90 and Title 9, California Code of Regulations (hereinafter referred to as CCR), Division 4.
  
- C. It is understood and agreed that nothing contained in Part II shall be construed to impair the single state agency authority of DHS as set out in W&IC, Section 14100.1.
  
- D. The objective of Part II is to make available substance abuse treatment services to Medi-Cal beneficiaries through utilization of Title XIX of the Social Security Act, reimbursable covered services offered by certified DMC service providers.

## ARTICLE II. DEFINITIONS

The words and terms of this contract are intended to have their usual meaning unless a specific or more limited meaning is associated with their usage pursuant to HSC, Title 9, and Title 22. Definitions of covered treatment modalities and services are found in Title 22, Document 2C, attached and incorporated by this reference. The following definitions shall apply to Part II of this contract:

- A. **"Administrative Costs"** means the County's direct costs to administer the program or an activity to provide service to the DMC program. Administrative costs do not include the cost of treatment or other direct services to the beneficiary. Administrative costs may include, but are not limited to, the cost of training, program review, and activities related to billing.
- B. **"Beneficiary"** means a person who has been determined eligible for Medi-Cal and is not prohibited from benefits under federal law by virtue of institutionalization. A beneficiary who is eligible for DMC services must have a substance-related disorder per the "Diagnostic and Statistical Manual of Mental Disorders III (DSM)," and/or DSM IV criteria, and meet the admission criteria for the covered services in Part II.
- C. **"County"** means (1) the department authorized by the County Board of Supervisors to administer alcohol and drug programs, or (2) the County identified in the Standard Agreement.
- D. **"Covered Services"** means DMC services authorized by Title XIX of the Social Security Act; Title 22, Section 51341.1; the W & I Code; and California's Medicaid State Plan. Covered services are Naltrexone treatment, outpatient drug-free treatment, narcotic replacement therapy, day care habilitative, and perinatal residential substance abuse treatment.
- E. **"Drug Medi-Cal Program"** means the State system wherein eligible beneficiaries receive covered services from DMC certified substance abuse treatment providers who are reimbursed for the services with SGF and federal Medicaid funds.
- F. **"Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) Program"** means the federally mandated Medicaid benefit for full-scope Medi-Cal-covered beneficiaries under 21 years of age that provides any Medicaid service necessary to correct or ameliorate a defect, mental illness or other condition, such as a substance-related disorder, discovered during a health screening.  
  
**"EPSDT (Supplemental Service)"** means the supplemental individual outpatient drug-free (ODF) counseling services provided to a beneficiary under the age of 21 years who have full scope Medi-Cal coverage. Supplemental individual ODF counseling consists of any necessary individual alcohol and other drug (AOD) counseling not included in the ODF counseling modality under the Drug Medi-Cal program.
- G. **"Final Settlement"** means permanent settlement of actual allowable costs or expenditures as determined at the time of audit, which shall be completed within three years of the date the year-end cost settlement report was accepted for interim settlement by the state. If the audit has not begun within three years, the interim settlement shall be considered as the final settlement.
- H. **"Interim Payment"** means the one-twelfth (1/12) monthly payment in arrears of SGF from the State to the County for projected costs of providing and/or arranging for covered services described in Part II.
- I. **"Interim Settlement"** means temporary settlement of actual allowable costs or expenditures reflected in the year-end cost settlement report, exclusive of Title 22, CCR, disallowances and units of service denied by DHS for the period covered by Part II.

- J. **"Medical Necessity"** means substance abuse treatment services or in the case of EPSDT, services that meet the criteria specified in Title 22, Section 51431, which are reasonable and necessary to protect life, prevent significant illness or disability, or alleviate severe pain through the diagnosis and treatment of a disease, illness, or injury.
- K. **"Minor Consent Drug Medi-Cal Services"** means drug and alcohol abuse treatment and counseling services and other services mandated by the California Family Code, which provides that a person age 12 through 20 may, without parental consent, receive services related to drug and alcohol abuse. Except for full-scope Medi-Cal beneficiaries age 12 through 20 who have a zero share-of-cost Medi-Cal card, and who are only using the confidentiality provisions of the minor consent regulations, reimbursements for minor consent services are 100 percent State General Fund. Federal financial participation is limited to perinatal clients.
- "(Perinatal) Minor Consent DMC"** means pregnancy-related and family planning DMC services that may include drug and alcohol abuse services. The services must be relevant to the pregnancy and not covered as stand alone services. These services will be reimbursed at 51.67 percent FFP and 48.33 percent SGF until October 1, 2000 at which time the split will become 51.25 percent FFP and 48.75 percent SGF. For more information see ADP Letter 97-52, "New Minor Consent Aid Codes and Minor Consent Services to Pregnant/Postpartum Youth," dated September 17, 1997 (Document 2W).
- L. **"Narcotic Treatment Program "** means an outpatient service that provides narcotic replacement therapy using methadone and/or levoalphacetylmethadol (LAAM), directed at stabilization and rehabilitation of persons who are opiate addicted and have a substance abuse diagnosis.
- M. **"Performance Report"** means an annual report based on information submitted by NTPs via the program's DMC monthly claim form that is incorporated into a report in lieu of cost reports.
- N. **"Postpartum,"** (as defined for DMC purposes) means the sixty (60) day period beginning on the last day of pregnancy, regardless of whether other conditions of eligibility are met. Eligibility shall end on the last day of the calendar month in which the 60<sup>th</sup> day occurs.
- O. **"Postservice Postpayment Utilization Review"** means the review for program compliance and medical necessity after service was rendered and the claim paid. The State may take appropriate steps to recover payments made if subsequent review uncovers evidence that the claim should not have been paid.
- P. **"Projected Units of Service"** means the number of reimbursable DMC units of service, based on historical data and current capacity, the County expects to provide during each month.
- Q. **"Protected Population"** means (1) EPSDT eligible Medi-Cal beneficiaries under age 21 and (2) pregnant and postpartum women.
- R. **"Provider of DMC Services"** means any public or private agency that provides direct substance abuse treatment services and is certified by the State as meeting applicable standards for participation in the DMC Program as defined in the "DMC Certification Standards for Substance Abuse Clinics," Document 2E.
- S. **"Recipient County"** means a county that has received SGF in excess of the County's Fiscal Year 1993-94 SGF allocation for the purpose of funding their projected units of services to beneficiaries.
- T. **"Service Area"** means the geographical area under the jurisdiction of the County wherein the beneficiaries will have access to DMC covered services.
- U. **"Statewide Maximum Allowances (SMA)"** means the maximum amount authorized to be paid by DMC for each covered unit of service for outpatient drug free, day care habilitative, perinatal residential, and Naltrexone treatment services. Rates are subject to change annually. The SMA for FY 2000-01 are listed in the "Unit of Service" table in Article II, Section Y.

- V. **"Subcontractor"** means an agency which enters directly or through another subcontractor into an agreement with the County to be a direct provider of covered services to eligible beneficiaries or to be a vendor with a procurement agreement to provide any of the administrative functions related to fulfilling the obligations under the terms of Part II.
- W. **"Subcontract"** means any agreement between the County and the subcontractor for providing administrative services for the County or for providing direct services to the beneficiaries. The subcontractor is prohibited from subcontracting out direct services to another subcontractor.
- X. **"Uniform Statewide Monthly Reimbursement (USMR) Rate"** means the rate for an NTP which is based on a unit of service that is a calendar month of treatment service provided pursuant to Title 22, Section 51341.1, and Title 9, CCR, Chapter 4 commencing with Section 10000. See Title 22, Section 51516.1, for NTP services and the following table for the FY 2000-01 USMR.

Service	Type of Unit of Service (UOS)	Non-perinatal UOS (*)	Perinatal UOS (*)	Rate
NTP-Methadone	Daily	\$9.08	\$10.35	Maximum
	Monthly	\$276.18	\$314.81	
NTP-LAAM	Dose	\$22.46	N/A	Maximum
	Monthly	\$291.98	N/A	
NTP-Individual Counseling (**)	One 10 minute increment	\$12.78	\$21.85	Maximum
NTP Group Counseling (**)	One 10 minute increment	\$3.40	\$5.60	Maximum

(\*) The combined daily rates for methadone core, lab work, and dosing include \$.83 for non-perinatal services and \$.95 for perinatal services for County administrative cost. The combined daily rates for LAAM core, lab work, and dosing include \$2.06 for County administrative cost. The rate for individual counseling includes \$1.17 for non-perinatal services and \$2.01 for perinatal services for County administrative costs. The rate for group counseling includes \$.31 for non-perinatal services and \$.51 for perinatal services for County administrative costs. The subcontractor's claims shall be adjusted to reimburse the County for these costs.

(\*\*) The NTP subcontractors may be reimbursed for up to 200 minutes (20 10-minute increments) of individual and/or group counseling per calendar month per beneficiary.



Y. **"Unit of Service"** means a face-to-face contact on a calendar day for outpatient drug free, day care habilitative, perinatal residential, and Naltrexone treatment services. Only one face-to-face service contact per day is covered by DMC except emergencies when an additional face-to-face contact may be covered for crisis intervention. To count as a unit of service, the second contact shall not duplicate the services provided on the first contact, and each contact shall be clearly documented in the beneficiary's record. Units of service and SMA for FY 2000-01 are listed as follows:

Service	Type of Unit of Service (UOS)	Non-perinatal UOS	Perinatal UOS	Rate
Day Care Habilitative	Face-to-Face Visit	\$67.93 EPSDT only	\$70.62	Maximum Allowance
Naltrexone Treatment	Face-to-Face Visit	\$21.83	N/A	Maximum Allowance
Outpatient Drug Free Treatment	Face-to-Face			Maximum Allowance
	Individual Group	\$63.90 \$30.60	\$109.26 \$50.40	
Perinatal Residential	Residential Day	N/A	\$76.18	Maximum Allowance

### ARTICLE III. PROVISION OF SERVICE

#### A. Covered Services

1. The County shall establish assessment and referral procedures to arrange, provide for, or subcontract for the provision of covered substance abuse treatment services for beneficiaries in need of such services in the County service area. Covered services include:
  - (a) outpatient drug-free treatment;
  - (b) narcotic replacement therapy;
  - (c) Naltrexone treatment;
  - (d) day care habilitative; and
  - (e) perinatal residential substance abuse treatment services.
2. In the event of a conflict between the definition of services contained in Article II of Part II and the definition of services in Sections 51341.1, 51490.1, and 51516.1 of Title 22, the provisions of Title 22 shall govern.
3. A preprogrammed diskette for entry of the county budget and cost report of expenditures and revenues, entitled "County Prevention and Treatment Fiscal Detail," for covered services as established by the County for current subcontractors will be provided by the State.

#### B. Federal and State Mandates

1. The County, to the extent applicable, shall comply with Document 2A "Sobky v. Smoley, Judgment," signed February 1, 1995, in 855 F. Supp. 1123 (E.D. Cal 1994), incorporated by this reference.
2. The County shall comply with any additional legal requirements including any court ordered requirements or statutory or regulatory amendments to existing law including changes in covered services that are imposed subsequent to the execution of this contract and the contract shall be amended to reflect these requirements, amendments, or changes.
3. The County shall comply with federal laws and Title 22, to provide substance abuse treatment services deemed medically necessary for Medi-Cal eligible: (1) pregnant and postpartum women and (2) youth under age 21 who are eligible under the EPSDT Program.
4. The County shall comply with the California Family Code to provide Minor Consent Medi-Cal Services per Title 22.
5. The County shall maintain continuous availability and accessibility of covered services, facilities, service sites, and personnel to provide the covered services in the County service area. Such services shall not be artificially limited due to budgetary constraints.
  - (a) When a request for covered services is made by a beneficiary, the County shall require services to be initiated with reasonable promptness.
    - i. The County will have a documented system for monitoring and evaluating accessibility of care, including a system for addressing problems that develop regarding waiting times and appointments.

- ii. The County shall comply, and shall require that subcontractors comply, with Documents 2B, "Provider Waiting List Record" and 1K, "Drug and Alcohol Treatment Access Report (DATAR)," which are incorporated by this reference. Beneficiary specific information from Document 2B shall be incorporated as aggregate data in Document 1K. Document 1K shall be submitted to the State monthly, by the tenth of each month following the month for which the data is collected, in accordance with the capacity/waiting list management user manual that the State will provide to the County.
  - iii. The County shall comply, and shall require that subcontractors comply, with Document 1L, the "California Alcohol and Drug Data System (CADDs)" Participant Record (ADP Form 7360), and Document 1M, the "California Alcohol and Drug Data System Provider Summary Report (ADP Form 7365), samples of which are incorporated by this reference. Documents 1L and 1M shall be submitted in accordance with the instruction manual or all cartridge, diskette or Internet based submission specifications that the State will provide to the County.
  - iv. The County shall comply, and shall require that subcontractors comply, with Document 1N, the "Drug and Alcohol Services Information System (DASIS)" and the "Uniform Facility Data Set (UFDS)," a sample of which is incorporated by this reference. Data shall be submitted in accordance with the instructions that the State will provide to the County.
- (b) The County shall require that treatment programs are accessible to people with disabilities in accordance with Title 45, Code of Federal Regulations (hereinafter referred to as CFR), Part 84.
6. Covered services, provided either directly by the County or through subcontracts with private programs, in the County service area will be provided to beneficiaries without regard to the beneficiaries' county of residence.
7. In the event the County fails to comply with subdivisions 1 through 6 of this Section, the State may exercise its rights under subdivision 12.
8. The County shall notify the State in writing prior to reducing the provision of covered services to be provided in the County service area under this contract. In addition, a proposal to change the location for the provision of covered services, or to reduce their availability, shall be provided in writing to the State 60 days prior to the proposed effective date. Implementing the proposed changes are prohibited if the State denies the County's proposal to make changes.
9. The County shall amend County/subcontractor contracts to provide sufficient SGF DMC to match allowable federal Medicaid reimbursements for any increase in provider DMC services to beneficiaries.
10. The County shall require that providers of perinatal DMC treatment services are certified to provide these services and comply with the requirements contained in Services for Pregnant and Postpartum Women of Title 22, Section 51341.1, incorporated by this reference. The County shall submit to the State, Document 2D, "Perinatal Services Monthly Report," incorporated by this reference, no later than 30 days after the last day of the reporting month.
11. Any county failing to provide covered services in accordance with federal or State statutes and regulations or in accordance with the provisions of this agreement shall

risk forfeiture of the DMC SGF allocation and surrender its authority to function as the administrator of covered services in the County service area.

12. Failure to comply with Section B, Subdivision 3, of this Article will be deemed a breach of this contract sufficient to terminate this contract in accordance with Article I, Section G, Subsection 2, of the Introduction.

C. Provider Participation, Certification, Recertification, and Appeals

1. The State shall review and certify the providers to participate in the DMC Program. Certification agreements will not be time limited. Recertification on-site visits will be conducted at clinics for circumstances identified in the "Drug Medi-Cal Certification Standards for Substance Abuse Clinics," Document 2E, which is incorporated by this reference.
  - (a) Document 2E contains the appeal process in the event the State disapproves a provider's request for certification or recertification and shall be included in the County's subcontract with the provider.
  - (b) The County shall include a provision in the County's subcontract notifying the provider that assistance may be sought from the State in the event of a dispute over the terms and conditions of the County's subcontract.
2. The County shall require that the providers of services are licensed, registered, certified and approved as required by the appropriate agencies. Where applicable, the County shall require as a condition of County subcontracts that providers comply with the following regulations and guidelines:
  - (a) Title 21, CFR, Parts 291 and 1300, et seq. and Title 9, CCR, Chapter 4, Sections 10,000, et seq.;
  - (b) Drug Medi-Cal Certification Standards for Substance Abuse Clinics, Document 2E;
  - (c) Title 22, Sections 51341.1, 51490.1, and 51516.1, Document 2C; and
  - (d) Standards for Drug Treatment Programs, Document 1P, incorporated by this reference; and
  - (e) Title 9, CCR, Chapter 5, Section 10500, et seq.

In instances where inconsistencies occur, the provisions of Title 22 shall apply.
3. If, at any time, the provider's license, registration, certification, or approval to operate a substance abuse treatment program is revoked, suspended, modified, or not renewed, the State may amend this agreement as described in the Introduction, Article I, Section F, herein.

#### ARTICLE IV. FISCAL PROVISIONS

- A. To the extent the County provides the required services in a satisfactory manner, the State agrees to pay the County SGF and federal Medicaid funds according to the procedures delineated in Article V, Invoice/Claim and Payment Procedures, of Part II. The County shall be reimbursed federal Medicaid funds for allowable expenditures as established by the federal government and approved by DHS, subject to the availability of such funds, for the cost of services rendered to beneficiaries.
1. Reimbursement for covered services shall be made in accordance with applicable provisions of Title 22, Sections 51431.1, 51516.1, 51490.1, and all other currently applicable policies and procedures.
  2. It is understood and agreed that failure by the County to comply with applicable federal and state requirements in rendering the covered services under Part II shall be sufficient cause for the State to deny payments to and/or recover payments from the County. If the State, DHS, or DHHS disallows or denies payments made to the County for any claim submitted by the County, the County shall repay to the State, federal Medicaid funds, and SGF for all claims so disallowed or denied.  
  
Before such denial, recoupment, or disallowances are made, the State shall provide the County with written notice of its proposed action. Such notice shall include the reason for the proposed action and shall allow the County 60 days to submit additional information before the proposed action is taken.
  3. If, during the term of this contract, allowable DMC services for beneficiaries exceed the maximum amount of Part II, the County shall submit a request to the State, in writing for additional SGF DMC funding to meet the requirements of Article III.
  4. The County may apply to the State for additional SGF DMC to provide allowable DMC services in accordance with the provisions of this agreement if the County has utilized all available SGF appropriated for DMC for the purposes of DMC match.
  5. This contract encumbers a specific amount of SGF DMC to be used in accordance with the County's allocation as described in the State's final allocation notice. This amount is intended to cover all anticipated need for SGF DMC for Part II of this contract. If the need for allowable DMC services for beneficiaries is less than anticipated in any particular fiscal year, the State may transfer unspent SGF DMC to SGF discretionary services through a contract amendment, the cost settlement process, or other available process.
- B. The County assumes the total cost of providing covered services listed in Part II, Article III, herein on the basis of the payments delineated in Part II, Article V, herein. Any federal Medicaid funds and SGF DMC for DMC services paid to the County, but not expended by the County after having fulfilled all obligations under this contract will be returned to the State.
- C. For the mutual benefit of both parties, it is understood that this contract may have been written before ascertaining the availability of congressional appropriation of funds in order to avoid program and fiscal delays that would occur if the agreement were executed after that determination.
- D. This agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the purpose of this program. This agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress, or any statute enacted by the Congress, which may affect the provisions, terms, or funding of this agreement in any manner.

- E. It is mutually agreed that if the Congress does not appropriate sufficient funds for this program that this agreement will be amended to reflect any reduction in funds. The State has the option to void this agreement under the 30-day cancellation clause or to amend the agreement to reflect any reduction of funds.
- F. Exemptions to the provisions in Article IV, Sections C through E above, may be granted by the California Department of Finance provided that the Director of DHS certifies in writing that federal funds are available for the term of the contract.
- G. The State and County agree that any payment for covered services rendered in accordance with Part II shall only be made pursuant to applicable provisions of Title XIX of the Social Security Act; the W&IC; California's Medicaid State Plan; and Sections 51341.1, 51490.1, 51516.1, and 51532 of Title 22.
1. The County shall be reimbursed by the State on the basis of the County's actual net reimbursable cost, including any allowable County administrative costs, not to exceed the unit of service maximum rate.  
  
Reimbursement to NTP providers shall be limited to the lower of either the uniform statewide monthly reimbursement rate, pursuant to HSC, Section 11758.42 (c), or the provider's usual and customary charge to the general public for the same or similar service.
  2. Pursuant to HSC, Section 11987.5(a)(2), the County shall reimburse providers that receive a combination of Medi-Cal funding and other federal or State funding for the same service element and location based on actual costs in accordance with Medi-Cal reimbursement requirements. Payments at negotiated rates shall be settled to actual cost at year-end.
- H. Allowable costs, as used in Section 51516.1 of Title 22, shall be determined in accordance with Title 42, CFR, Parts 405 and 413, and HCFA-15, "Provider Reimbursement Manual." Funds allocated under Part II, including perinatal funding for perinatal services inclusive of case management services, as defined in "Services for Pregnant and Postpartum Women," Title 22, Section 51341.1, may not be used as match for targeted case management services (W&IC, Section 14132.44) or claiming for Medi-Cal administrative activities (W&IC, Section 14132.47).
- I. Records and Additional Audit Requirements
1. Accurate fiscal records and supporting documentation shall be maintained by the County and its subcontractors to support all claims for reimbursement.
  2. Should a contractor of the County discontinue operations, the County assumes the responsibility to retain fiscal and program records of that contractor for the required retention period.
  3. Accounting records and supporting documents shall be retained for a three-year period from the date the year-end cost settlement report was approved by the Department for interim settlement. When an audit has been started before the expiration of the three-year period, the records shall be retained until completion of the audit and final resolution of all issues that arise in the audit. Final settlement shall be made at the end of the audit and appeal process. If an audit has not begun within three years, the interim settlement shall be considered as the final settlement.
  4. In addition to the requirements set forth in Article I of the Introduction, the State may also conduct financial audits of DMC programs, exclusive of NTP services provided on or after July 1, 1997 to accomplish any or all, but not limited to, the following audit objectives:

- (a) To review reported costs for validity, appropriate allocation methodology, and compliance with Medicaid laws and regulations;
  - (b) To ensure that only the cost of allowable DMC activities are included in reported costs;
  - (c) To determine the provider's usual and customary charge to the general public in accordance with HCFA-15 (Provider Reimbursement Manual) for comparison to the DMC cost per unit;
  - (d) To review documentation of units of service and determine the final number of approved units of service;
  - (e) To determine the amount of clients' third-party revenue and Medi-Cal share of cost to offset allowable DMC reimbursement; and
  - (f) To compute final settlement based on the lower of actual allowable cost, the usual and customary charge, or the maximum allowance, in accordance with Title 22, Section 51516.1.
5. For NTP services on or after July 1, 1997, audits will address items 6(c) through 6(e) above, except that the comparison in 6(c) will be to the DMC uniform monthly rate in lieu of DMC cost per unit. In addition, these audits will include, but not be limited to:
- (a) For those NTP providers required to submit a cost report pursuant to HSC, Section 11758.46(h)(2), a review of cost allocation methodology between NTP and other service modalities, and between DMC and other funding sources;
  - (b) A review of actual costs incurred for comparison to services claimed;
  - (c) A review of counseling claims to ensure that the appropriate group or individual counseling rate has been used;
  - (d) A review of the number of clients in group sessions to ensure that sessions include no less than four and no more than ten clients;
  - (e) Computation of final settlement based on the lower of the uniform monthly rate or the usual and customary charge to the general public; and
  - (f) A review of supporting service, time, and financial records to verify validity of counseling claims.
6. Audit reports by the State and/or DHS shall reflect any findings, recommendations, adjustments, and corrective action as appropriate as a result of its findings in any areas.
7. The County shall be responsible for any disallowances taken by the federal government, the State, the Bureau of State Audits, or DHS as a result of any audit exception that is related to the County's responsibilities herein.
8. The County agrees to develop and implement any corrective action plans in a manner acceptable to the State in order to comply with recommendations contained in the audit report. Such corrective action plans shall include time specific objectives to allow for measurement of progress and are subject to verification by the State within one year from the date of the plan.
9. The County, in coordination with the State, must provide follow-up on significant findings in the audit report and submit the results to the State.

10. If differences cannot be resolved between the State and/or DHS and the County regarding the terms of the final audit settlements for funds expended under Part II, the County may request an appeal in accordance with the appeal process described in the "Audit Appeals Process," Document 1J, incorporated by this reference.



## ARTICLE V. INVOICE/CLAIM AND PAYMENT PROCEDURES

### A. Interim Payments

1. The State shall reimburse the County:
  - (a) a monthly interim payment in arrears of one twelfth (1/12) of the approved contract amount (Exhibit A1) for SGF DMC funds for the period July through September. Beginning with October, the SGF DMC payments will be based on approved services. When the DMC services are for Minor Consent, the State shall pay the County a monthly interim payment from SGF of 100 percent of the projected cost of the projected units of service. In the event the contract is approved for the State fiscal year period subsequent to July 1, the State will pay the County an interim payment to pay the provider for DMC beneficiary services retroactive to July 1.
  - (b) the federal Medicaid amount upon approval by the DHS of the monthly claims and reports submitted in accordance with Section B, Subdivision 1 of this Article.
  - (c) the federal Medicaid and SGF at the fixed rate for NTPs and at a rate that is the lesser of the projected cost or the maximum rate allowance for other DMC modalities.
2. The State will adjust subsequent reimbursements to the County to actual allowable costs. Actual allowable costs are defined in the Health Care Financing Administration's (HCFA) Provider Reimbursement Manual.

### B. Monthly Claims and Reports

1. In accordance with Title 22, Section 51516.1, counties or NTPs that exclusively bill the State or the County for services identified in HSC, Section 11758.42 are required to submit claim forms by paper or electronic submission. These forms include Documents 2G, 2H, 2J, 2K and 2L, which are incorporated by this reference:

Document 2G, DMC Eligibility Work Sheet (ADP Form 1584)

Document 2H, Monthly Claim for DMC Reimbursement and Monthly Provider Service and Revenue Summary (ADP Form 1592);

Document 2J, Provider Report of DMC Claims Adjustments (ADP Form 5035C - Revised 9/99); and

Document 2K, Multiple Billing Override Certification (ADP Form 7700)

Document 2L, Good Cause Certification (ADP Form 6065).
2. Except for good cause stated on Document 2L, Good Cause Certification (ADP Form 6065), failure to submit claims within 30 days of the end of the month of service shall result in the denial of such claims for payment.
3. Good cause shall be determined and approved by the State in accordance with W&IC, Section 14115 and Title 22, Section 51008.

4. Monthly claims for reimbursement shall include only those case management services, as defined in Services for Pregnant and Postpartum Women, Title 22, Section 51341.1 and administrative charges not claimed pursuant to W&IC, Sections 14132.44 and 14132.47.

C. Year-End Cost Settlement Reports and Performance Reports

1. The State will not accept year-end cost settlement reports from subcontractor(s) directly. Pursuant to HSC, Section 11758.46 (h)(2) the County shall submit to the State, on November 1 of each year, the following documents incorporated by this reference and/or a diskette representative.
  - (a) Document 2M(a) through 2M(h), DMC Fiscal Detail, Program Cost Summary (7990 Forms).
  - (b) Document 2P(c), County Certification Year- End Claim for Reimbursement (Form ADP 17885).
  - (c) Document 2Q(a) through 2Q(j), DMC Fiscal Detail, Report of Expenditures and Revenues (7895 Forms).
  - (d) Document 2T(a), NTP DMC Cost Summary – Alcohol and Drug Services (Form ADP 7990NAC 7990NTP-AD-C)
  - (e) Document 2T(p), NTP DMC Cost Summary – Perinatal Services (Form ADP 7990NPC 7990NTP-P-C)

The following documents are required only if a provider receives both NNA and DMC funding for the provision of ODF or DCH services:

- (f) Document 2S(g-1) and 2S(g-2), Cost Report Funding Application Worksheets For ODF-Group
  - (g) Document 2S(l-1) and 2S(l-2), Cost Report Funding Application Worksheets for ODF-Individual
  - (h) Document 2S(d-1) and 2S(d-2), Cost Report Funding Application Worksheets for DCH
2. The State may settle costs for DMC services based on the year-end cost settlement report as the final amendment to the approved single state/county contract.
  3. NTPs that bill the State or the County exclusively for services identified in HSC, Section 11758.42 shall be exempt from the requirements of paragraph C1 above. The State shall develop performance reports based on data submitted by these providers by November 1 of each fiscal year.
  4. Reimbursement for alcohol and drug treatment services provided under Part II shall be limited to the lower of: (a) the provider's usual and customary charges to the general public for the same or similar services; (b) the provider's actual allowable costs; or (c) the DMC SMA for the appropriate modality.
  5. Reimbursement to NTPs that meet the Subsection 3 requirement, shall be limited to the lower of either the USMR rate, pursuant to HSC, Section 11758.42, or the provider's usual and customary charge to the general public for the same or similar service.

## **ARTICLE VI. POSTSERVICE POSTPAYMENT UTILIZATION REVIEW**

- A. Title 22, Section 51341.1, describes the utilization controls applied to all DMC services. Service and payment audit controls, which are reviewed for medical necessity and program coverage after services are rendered and the claim paid, will apply to covered services provided under Part II.**
- B. The State shall take appropriate steps to recover payments made if subsequent investigation uncovers evidence that the claim(s) should not have been paid or that DMC services have been improperly utilized.**
- C. The State shall monitor the subcontractor's compliance with postservice postpayment utilization review requirements in accordance with Title 22. DHS and the federal government may also review the existence and effectiveness of the State's utilization review system in accordance with federal requirements**
- D. The County shall implement and maintain compliance with the system of review described in Title 22, Section 51341.1, to review the utilization, quality, and appropriateness of covered services funded by Part II and to ensure that applicable Medi-Cal requirements are met.**

**LIST OF PART II DOCUMENTS  
INCORPORATED BY REFERENCE\*  
FISCAL YEAR 2000/01**

The following documents are hereby incorporated by reference into Part II of the combined County contract though they may not be physically attached to the contract.

Document 2A:	Sobky v. Smoley, Judgement, Signed February 1, 1995
Document 2B:	Provider Waiting List Record
Document 2C:	Title 22, California Code of Regulations
Document 2D:	Perinatal Services Monthly Report
Document 2E:	Drug Medi-Cal Certification Standards for Substance Abuse Clinics
Document 2F:	Monthly Interim Payment Claim for Drug Medi-Cal State General Funds (applicable to direct providers only)
Document 2G:	Drug Medi-Cal Eligibility Work Sheet
Document 2H:	Monthly Claim for DMC Reimbursement, Monthly Provider Service and Revenue Summary
Document 2J:	Report of Drug Medi-Cal Disallowances by Provider
Document 2K:	Multiple Billing Override Certification
Document 2L:	Good Cause Certification
Documents 2M(a) through 2M(h):	ADP DMC Fiscal Detail, DMC Program Cost Summary (7990 Forms)
Document 2N:	State of California, Department of Health Services Additional Provisions (for Federally Funded Subvention Aid/Local Assistance Cost Reimbursement Contracts/Grants)
Document 2P(c):	County Certification - Cost Report Year-End Claim For Reimbursement
Document 2P(d):	Direct Provider Certification - Year-End Claim for Reimbursement
Documents 2Q(a) through 2Q(j):	ADP DMC Fiscal Detail, Report of Expenditures (7895 Forms)
Documents 2S(g-1) and 2S(g-2):	Cost Report Funding Application Worksheets for ODF-Group
Documents 2S(l-1) and 2S(l-2):	Cost Report Funding Application Worksheets for ODF-Individual

\*Document identifiers 2"l" and 2"o" were not used in order to avoid confusion with numbers.  
Document identifier 2"u" was not used in order to avoid confusion with 2"v."

## LIST OF PART II DOCUMENTS

(cont'd.)

- Documents 2S(d-1)  
and 2S(d-2): Cost Report Funding Application Worksheets for DCH
- Document 2T(a): NTP DMC Cost Summary – Alcohol and Drug Services (Form ADP 7990 NTP-AD-C)
- Document 2T(p): NTP DMC Cost Summary – Perinatal Services (Form ADP 7990NTP-P-C)
- Document 2V: Narcotic Treatment Programs Quarterly Performance Report (report generated by ADP)
- Document 2W: ADP Letter 97-52, "New Minor Consent Aid Codes and Minor Consent Services to Pregnant/Postpartum Youth"

\*Document identifiers 2"l" and 2"O" were not used in order to avoid confusion with numbers.  
Document identifier 2"U" was not used in order to avoid confusion with 2"V."